

PROFESSIONAL SERVICES AGREEMENT
CONTRACT NUMBER PS1672
With
Terra Engineering Ltd.
TO PROVIDE
ENGINEER OF RECORD SERVICES
FOR
MARSHALL CAMPUS PARK

Mayor Richard M. Daley Chairman

CHICAGO, ILLINOIS

Erin Lavin Cabonargi Executive Director

Richard J. Daley Center, Room 200 50 West Washington Street Chicago, Illinois 60602 www.pbcchicago.com

EXECUTION PAGE

ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

THIS AGREEMENT effective as of August 11, 2009, but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and Terra Engineering Ltd. with offices at 225 West Ohio Street, (the "Engineer"), at Chicago, Illinois.

Background Information - Recitals:

Whereas, The Commission on behalf of the Chicago Park District and Chicago Public Schools (referred to in this Agreement as the "User Agency"), intends to undertake the construction and/or improvement of the following facility or facilities in Chicago, Illinois based on the scope in Schedule A attached to the Agreement (the "Project").

Whereas, the Commission requires certain professional services described in the Agreement, in connection with the Project and desires to retain the Engineer on the terms and conditions set forth in the Agreement to perform such Services. The Engineer desires to be so retained by the Commission and has represented to the Commission that the Engineer has the knowledge, skill, experience and other resources necessary to perform the Services in the manner provided by the Agreement.

Whereas, the Engineer has consulted with the Commission and the User Agency, made site inspections, and taken such other actions as the Engineer deemed necessary or advisable to make itself fully acquainted with the scope and requirements of the Project and the Services. The Engineer represents that it is qualified and competent by education, training and experience to prepare drawings, specifications and construction documents necessary to complete the Project in accordance with standards of reasonable professional skill and diligence.

Whereas, the Commission has relied upon the Engineer's representations in selecting the Engineer.

dlb 8-4-09

NOW THEREFORE, the parties agree on the terms and conditions that follow:
SIGNED by:
PUBLIC BUILDING COMMISSION OF CHICAGO by: Richard M. Daley Chairman
Attest: Edgrick Cohnson Secretary
Karen S. Steingraber President
State of:
Approved as to form and legality Approved as to form and legality Neal & Leroy, LLC Page 3 of 58

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INCORPORATION OF RECITALS Article I.

The matters recited above, the "Background Information," are incorporated in and made a part of the Section 1.01 Agreement.

DEFINITIONS AND USAGE Article II.

<u>Definitions</u>. The following phrases have the following meanings for purposes of the Agreement: Section 2.01

- This Agreement for Engineer-of-Record Services, between the Commission and the Engineer, including all attached exhibits, schedules and documents and all such exhibits, schedules and documents (a) Agreement. incorporated by reference, all component parts and all amendments, modifications, or revisions made in accordance with its terms.
- (b) Engineer. The company or other entity identified in the Agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of the Agreement.
- (c) Authorized Commission Representatives. One or more persons designated in writing by the Executive Director for the purposes of assisting the Commission in managing the Project. As specifically directed by the Commission, the Authorized Commission Representative will act on behalf of the Commission
- (d) Commission. The Public Building Commission of Chicago, a municipal corporation, acting by and through its Chairman, Secretary, Assistant Secretary, Executive Director, including the Commission's Authorized Representative, as designated by the Executive Director in writing.
- (e) Additional Services. Additional services to be provided by the Engineer for the Project pursuant to the provisions of Schedule A.
- All of the Contract documents for the construction and improvement of the Project including the Bidding Instructions, Standard Terms and Conditions for Construction Contracts, Technical Contract Documents. Specifications, Drawings, Addenda, Bulletins and Modifications to those parts.
- (g) Day. Unless otherwise indicated, the word "day" means calendar day. The phrase "business day" refers to Monday through Friday, except for national holidays.
- (h) Deliverables. The documents, in any format (electronic or hard copy) requested by the Commission, including technical specifications, designs, drawings, plans, reports, forms, recommendations, analyses, and interpretations, the Engineer is required, under this Agreement, to provide to the Commission.
- Key Personnel. Those job titles and individuals identified in Schedule F. (i)
- Project. Marshail Campus Park
- Project Schedule. The Project Schedule will be provided to the Engineer in CPM and/or summary bar chart form. The Project Schedule will represent the information in Book 1 of the Contract Documents approved by the Commission for the Project. The Project Schedule will clearly identify major activities within the Project, including each phase of planning, design and construction. The Engineer must provide details of their pre-construction

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activities and will promptly notify the Authorized Commission Representative whenever there is an actual or projected variance to the Project Schedule.

- (I) Record Documents. Drawings prepared by the Engineer in an electronic editable format approved by the Commission showing significant changes in the work made during construction, based on marked-up prints, drawings, shop drawings and other data furnished by the Project's building contractor.
- (m) Services. Collectively, the duties, responsibilities and tasks that are necessary to allow the Engineer to provide the Scope of Services required by the Commission under this Agreement.
- (n) Subconsultant or Subcontractor. Any person or entity hired or engaged by the Engineer to provide any part of the Services required under the terms of this Agreement.
- (o) User Agency. The governmental agency or agencies identified in the Background Information that requested the Commission to undertake the construction and/or improvement of the Project.

Section 2.02 Usage and Conventions

- (a) Captions and Headings. The captions and headings of the various sections of the Agreement are used solely for reference purposes and do not construe, nor will they be deemed or used to construe, interpret, limit, or extend the meaning or scope of any work, clause, paragraph, or provision of the Agreement.
- (b) The term "include," in all its forms, means "include, without limitation" unless stated otherwise.
- (c) Terms of one gender imply the other gender(s) unless the context clearly indicates otherwise. Use of the singular includes the plural and vice versa.

Article III. INCORPORATION OF DOCUMENTS

The following documents are incorporated in and made a part of the Agreement. By executing the Agreement, the Engineer acknowledges that Engineer is familiar with the contents of each of such documents and will comply fully with all applicable portions of them in performing the Services.

Section 3.01 Policies Concerning MBE and WBE. The Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from time to time.

Article IV. ENGAGEMENT AND STANDARDS FOR PERFORMING SERVICES

Section 4.01 <u>Engagement.</u> The Commission engages the Engineer, and the Engineer accepts the engagement, to provide the Services described in this Agreement, as those Services may be amended by an Amendment to the Agreement as provided below in Section 4.13.

Section 4.02 Key Personnel. The Engineer must not reassign or replace Key Personnel without the written consent of the Commission. The Commission may at any time in writing notify Engineer that the Commission will no longer accept performance of Services under this Agreement by one or more Key Personnel listed in the Agreement in Schedule F. Upon that notice Engineer must immediately suspend the Key Person or Key Persons from performing Services under this Agreement and must replace him or them with a person or persons with comparable professional credentials and experience. Such replacements are subject to approval by the Commission.

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Section 4.03 Adequate Staffing. The Engineer must, upon receiving a fully executed copy of this Agreement, assign and maintain for the duration of the Agreement an adequate staff of competent personnel that is fully equipped, licensed as appropriate, available as needed, qualified and assigned exclusively to perform the Services. The Engineer must include among its staff the Key Personnel and positions as identified in the Agreement and specified in Schedule F. The level of staffing may be revised from time to time by notice in writing from Engineer to the Commission and with prior written consent of the Commission.

Nondiscrimination. In performing under this Agreement the Engineer will not discriminate against Section 4.04 any worker, employee, applicant for employment, or any member of the public, because of race, color, creed, national origin, gender, age, or disability, or otherwise commit an unfair labor practice. The Engineer certifies that he/she is familiar with, and will comply with, all applicable provisions of the Civil Rights Act of 1964, 28 U.S.C. § 1447, 42 U.S.C. §§ 1971, 1975a-1975d, 2000a to 2000h-6 (1992); the Age Discrimination in Employment Act of 1967, 29 U.S.C. §§ 623-634 (1992); the Americans with Disabilities Act of 1990, 29 U.S.C. § 706, 42 U.S.C. §§ 12101-12213, 47 U.S.C. §§ 152, 221, 225, 611 (1992); 41 C.F.R. § 60 (1992); 41 C.F.R. § 60 (1992); reprinted in 42 U.S.C. 2000(e) note, as amended by Executive Order No. 11,375 32 Fed. Reg. 14,303 (1967) and by Executive Order No. 12,086, 43 Fed. Reg. 46,501 (1978); the Age Discrimination Act, 43 U.S.C. Sec. 6101-6106 (1981); P.L. 101-336; 41 C.F.R. part 60 et seq. (1990); the Illinois Human Rights Act, 775 ILCS 5/1-101 et seq. (1990), as amended; the Discrimination in Public Contracts Act, 775 ILCS 10/0.01 et seq. (1990), as amended; the Environmental Barriers Act., 410 ILCS 25/1 et seq; and the Chicago Human Rights Ordinance, Chapter 2-160, Section 2-160-010 et seq. of the Municipal Code (1990), as amended. The Engineer will further furnish such reports and information as may be requested by the Commission, the Illinois Department of Human Relations, or any other administrative or governmental entity overseeing the enforcement, or administration of, or compliance with, the above mentioned laws and regulations.

Section 4.05 Employment Procedures; Preferences and Compliance. Salaries of employees of the Engineer, performing work under this Agreement, will be paid unconditionally, and not less often than once a month, without deduction or rebate on any account except such payroll deductions as are mandatory or permitted by applicable law or regulations. The Engineer certifies that he/she is familiar with, and will comply with, all applicable provisions of 820 ILCS 130/0.01 through 130/12 (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act). The Engineer will also comply with all applicable "Anti-Kickback" laws and regulations, including the "Anti-Kickback" Act of 1986, 41 U.S.C. §§ 51-58 (1992); 18 U.S.C. § 874 (1992); 40 U.S.C. § 276c (1986) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, any direct or indirect "kick-back" is made, as defined in any of the above mentioned laws and regulations, the Commission may withhold from the Engineer, out of payments due to the Engineer, an amount sufficient to pay any underpaid employees the difference between the salaries required to be paid under the law and this Agreement and the salaries actually paid such employees for the total number of hours worked. The amounts withheld may be disbursed by the Commission for and on account of the Engineer to the respective employees to whom they are due, as determined by the Commission in its sole discretion.

Section 4.06 Compliance with Policies Concerning MBE and WBE. Without limiting the generality of the requirements of the policies of the Commission referred to in Section 3.01 above, the Engineer will use every reasonable effort to utilize minority business enterprises for not less than 25% and women business enterprises for not less than 5% of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, concerning participation of minority business enterprises and women business enterprises on contracts, other than construction contracts, awarded by the Commission and to furnish to the Commission, such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.

Section 4.07 Records. The Engineer must maintain accurate and complete records of expenditures, costs and time incurred by the Engineer and by consultants engaged by the Engineer in connection with the Project and the Services. Such records will be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at the Engineer's offices upon reasonable notice during normal business hours. The Engineer must retain all such records for a period of not less than five calendar years after the termination of the Agreement. However, if there is a disagreement over fees, then five years or until a final resolution of the matter whichever occurs later.

Section 4.08 <u>Compliance with Laws</u>. In performing its engagement under the Agreement, the Engineer must comply with all applicable federal, state and local laws, rules, and regulations.

Section 4.09 <u>Weekly Meetings</u>. Weekly meetings for the Project and project Team will be scheduled upon the Commission's request for the duration of the Services. The Engineer will cause such meetings to be attended by appropriate personnel of the Design Team engaged in performing or knowledgeable of the Services.

Section 4.10 <u>Defects in Project</u>. The Engineer must notify the Commission immediately if the Engineer obtains knowledge of an issue or circumstances which could result in a delay in the performance of Services or significant problem in connection with the Project, including construction defects, cost overruns or scheduling delays.

Section 4.11 Performance Standard.

- (a) The Engineer represents that the Services performed under the Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing design professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement. The Engineer further promises that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Engineer to perform the Services in the manner required by the Agreement.
- (b) The Engineer must ensure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. The Engineer must maintain current copies of any such licenses and provide these copies upon request by the Commission. The Engineer remains responsible for the professional and technical accuracy of all Services furnished, whether by the Engineer or others on its behalf. All deliverables will be prepared in a form and content satisfactory to the Commission and delivered in a timely manner consistent with the requirements of the Agreement.
- (c) The Engineer must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.
- (d) If the Engineer fails to comply with the obligations under the standards of the Agreement, the Engineer must perform again at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve the Engineer of its responsibility to render the Services and deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Engineer either under the Agreement, at law or equity.

Section 4.12 Amendments to this Agreement. The Commission may from time to time request changes to the terms and Services of the Agreement. Such changes, including any increase or decrease in the amount of Page 7 of 58

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compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and Engineer, will be incorporated in a written amendment to the Agreement. The Commission will not be liable for any additional payment absent such written amendment.

Section 4.13 Representation and Covenant by Consultant. Neither the Engineer nor any affiliate of the Engineer is listed on any of the following lists maintained by the Office Foreign Assets Control of the U.S. Department of the Treasury, the Bureau of Industry and Security of the U.S. Department of Commerce or their successors, or on any other list of persons or entities with which the User Agency or the Commission may not do business under any applicable law, rule, regulation, order or judgment: the Specially Designated Nationals List, the Denied Persons List, the Unverified List, the Entity List and the Debarred List. For purposes of this subparagraph only, the term "affiliate," when used to indicate a relationship with a specified person or entity, means a person or entity that, directly or indirectly, through one or more intermediaries, controls, is controlled by or is under common control with such specified person or entity, and a person or entity shall be deemed to be controlled by another person or entity, if controlled in any manner whatsoever that results in control in fact by that other person or entity (or that other person or entity and any persons or entities with whom that other person or entity is acting jointly or in concert), whether directly or indirectly and whether through share ownership, a trust, a contract or otherwise.

Section 4.15 Subcontract Terms and Conditions. Engineer shall include a provision in any and all subcontracts that Engineer may enter into for the performance of the Services that states that the subcontractor shall comply with the terms and conditions of this Agreement in its performance of its portion of the Services. In addition, each subcontract for the performance of the Services shall provide that the Commission is a third-party beneficiary to the subcontract, and may enforce any of the subcontract terms including, but not limited to, those pertaining to standard of performance, indemnity and insurance. Nothing in this Agreement, nor any subcontract to this Agreement, shall state, imply or be construed to state or imply that the Commission or its User Agency are indemnitors or insurers of the Engineer or Engineer's subcontractors. Each subcontract shall further require that by executing the subcontract, the subcontractor consents to an assignment of the subcontract by the Engineer to the Commission upon the request of the Commission for such assignment.

Article V. TERM

Section 5.01 <u>Duration</u>. The term of the Agreement begins on the Commencement Date of Services specified in Schedule A, and subject to the provisions in this section, expires upon completion of the Services and acceptance of the Deliverables by the Commission.

Section 5.02 Termination by the Commission. The Commission has the right, at any time, to terminate this Agreement in whole or in part, with or without cause, by written notice given to the Engineer at least 30 days before the effective date of termination. So long as the Engineer is not in default under this Agreement at the time of termination, the Commission will pay the Engineer, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Engineer for periods up to the effective date of termination. The Commission may exercise any right of set off regarding Engineer's failure to properly perform Services from payments that are due to Engineer.

Section 5.03 Suspension by the Commission. The Commission also has the right, at any time and from time to time, with or without cause, to suspend the performance of the Engineer hereunder with respect to all or any part of the Services, by written notice given to the Engineer at least 5 days before the effective date of suspension. During the notice period the Engineer must wind down its Services. So long as the Engineer is not in default under this Agreement at the time of suspension, the Commission will pay the Engineer, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Engineer for periods up to the effective date of suspension

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- (a) During the period the Engineer's performance is suspended, the Engineer is not entitled to incur fees or bill the Commission, except for Engineer's time for participating in substantive meetings concerning the Project (but not The Engineer may bill such time spent during a for meetings to discuss Engineer's invoices or claims). suspension only if the Engineer's participation is requested by the Commission and only for the time of one Commission will pay for such time at the applicable hourly billing rate set forth in individual per meeting. Schedule D. Participation in meetings at the request of the Commission is not considered to be resumption of the Engineer's Services.
- (b) If the Engineer is required to resume its Services under this Agreement, the Commission will notify Engineer in writing, giving Engineer a reasonable period not to exceed 10 days to remobilize itself. The Engineer may bill such time spent on remobilization. The Commission will pay for such remobilization as is reasonable and billed at the hourly rate for one Senior Project Manager or less at the hourly billing rate set forth in Schedule D. The number of days during which the suspension period lasted, including any remobilization time, will be added to the Completion Date of Services as determined in accordance with the provisions of Schedule C, establishing a revised Completion Date of Services, and Engineer will re-commence its Services at the point they were suspended and may resume billing in accordance with the terms of the Agreement.

Effect of Termination or Suspension. Termination or suspension of this Agreement in whole or in part does not relieve the Engineer from liability for its performance of any obligation under this Agreement that was performed or was to have been performed by the Engineer on or before the effective date of termination or suspension. In no event will the Commission be liable to the Engineer for any loss, cost or damage, including lost profits, which the Engineer or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided in it.

Force Majeure. Neither of the parties will be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform will give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance of the Engineer under the Agreement for the duration of the force majeure. The Commission will not be obligated to pay for the Services to the extent and for the duration that performance of the Services is delayed or prevented by force majeure, but, provided the Engineer is not in default of any obligation of the Engineer under the Agreement, the Commission will pay to the Engineer, according to the terms of the Agreement, all compensation and reimbursements due to the Engineer for periods up to the effective date of suspension. The term "force majeure" means an extraordinary event or effect that the parties could not have anticipated or controlled and that renders performance impossible or impracticable for the duration of the event or effect. Such events or effects include but are not limited to: extraordinary acts of nature, such as tornadoes; or of people, such as acts of terrorism; or of governments, such as imposition of martial law.

Article VI. COMPENSATION OF ARCHITECT; REIMBURSEMENT FOR EXPENSES

The Commission will compensate the Engineer for the Services in the amount and manner set forth on Schedule D.

Article VII. RIGHTS AND OBLIGATIONS OF COMMISSION

General and Specific. In connection with the administration of the Project by the Commission and the performance of the Agreement by the Engineer, the Commission has the following rights and obligations, in addition to those provided elsewhere in the Agreement:

(a) Information. The Commission will provide the Engineer all information reasonably required concerning the Commission's requirements for the Project and the Services.

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- (b) Review of Documents. Subject to the provisions of the Agreement, the Commission will make reasonable efforts to examine documents submitted by the Engineer and render decisions pertaining to them with reasonable promptness.
- (c) Site Data. To the extent the Commission determines to be necessary for the Engineer to perform the Services, the Commission may furnish, or may authorize the Engineer to obtain from a company or companies approved by the Commission as Reimbursable Expenses:
 - (i) A certified survey of the site or sites providing, as required, all grades and lines of streets, alleys, pavements and adjoining property, rights-of-way, encroachments, boundaries and contours of the building site.
 - (ii) A certified title.
 - (iii) Information concerning locations, dimensions and data pertaining to existing buildings and other improvements
 - (iv) Title information as to restrictions, easements, zoning and deed restrictions.
 - (v) Information concerning availability of both public and service and utility lines. See Schedule A for more details.
 - (vi) If the Engineer does procure these or any other services at the request of the Commission, the Engineer shall not be liable for the substantive accuracy or completeness of such services, nor shall the Engineer be vicariously liable for the procured services.
 - (d) Tests and Reports. To the extent required for the Engineer to perform the Services, the Commission may furnish structural, civil, chemical, mechanical, results of test borings and pits for determining soil and subsoil conditions and/or other tests and reports or may authorize the Engineer to procure such tests and reports from a consultant or consultants approved in writing by the Commission. See Schedule A for more details. The Commission will pay for such tests and reports, however, the Commission may direct the Engineer to procure such professional services as Reimbursable Expenses and submit invoices to the Commission for payment as provided in Schedule D.
 - e) Engineer's Rights and Obligations with Respect to Commission-Provided Information ("CPI"). Engineer may rely upon the CPI provided by the Commission as described in this Section 7.01, provided, however, that the Commission expects the Engineer to review such CPI in detail and verify such CPI to the extent it may be reasonable and prudent for the Engineer to do so for the proper performance of the Services under this Agreement. The Commission makes no warranties and representations with respect to the accuracy of the information provided. Engineer must promptly report any errors, omissions, inconsistencies or ambiguities in the CPI to the Authorized Commission Representative. In the event that Engineer believes that additional compensation is due to the Engineer from the Commission because of errors, omissions, inconsistencies or ambiguities in the CPI, the Commission will consider a request for additional compensation if, and only if, Engineer furnishes reasonable and appropriate evidence that Engineer has met its obligation to review and verify the CPI.

Section 7.02 Audits. The Commission has the right to abstract and audit the books of the Engineer and its subcontractors on all subjects relating to the Project and/or the Services.

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Section 7.03 <u>Legal, Auditing and other Services</u>. The Commission will arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Project. Such payments will not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of the Engineer.

Section 7.04 Ownership of Documents. All designs, drawings, documents, data, studies and reports prepared by the Engineer or any party engaged by the Engineer, pertaining to the Project and/or the Services will be the property of the Commission. Engineer shall provide the Commission with opportunity to review all such documents and shall provide copies to the Commission upon written request. The Engineer may reuse standard details and specifications on other projects.

- (a) The parties intend that, to the extent permitted by law, the drawings, specifications and other design documents to be produced by the Engineer and its subcontractors pursuant to this Agreement (the "Work") will conclusively be deemed "works made for hire" within the meaning and purview of Section 101 of the United States Copyright Act, 17 U.S.C. § 101 et seq., and that the Commission, the User Agency and their successors and assigns, will be the copyright owner of all aspects, elements and components of them in which copyrights can subsist. To the extent that any of the foregoing does not qualify as a "work made for hire," the Engineer hereby irrevocably grants, conveys, bargains, sells, assigns, transfers and delivers to the Commission, the User Agency and their successors and assigns, all right, title, and interest in and to the copyrights and all U.S. and foreign copyright registrations, copyright applications and copyright renewals for them, and all other intangible, intellectual property embodied in or pertaining to the Work contracted for under the Agreement, free and clear of any liens, claims or other encumbrances, to the fullest extent permitted by law.
- (b) The Engineer will execute all documents and, at the expense of the Commission, perform all acts that the Commission may reasonably request in order to assist the Commission, the User Agency and their successors and assigns, in perfecting their rights in and to the copyrights relating to the Work.
- (c) The Engineer represents to the Commission, the User Agency and their successors and assigns, that (1) the Work constitutes a work of authorship; (2) on the date of this Agreement the Engineer is the lawful owner of good and marketable title in and to the copyrights for the Work (including the copyrights on designs and plans relating to the Work); (3) the Engineer has the legal right to fully assign any such copyright with respect to the Work; (4) the Engineer has not assigned any copyrights nor granted any licenses, exclusive or non-exclusive, to any other party; and (5) the Engineer is not a party to any other agreement or subject to any other restrictions with respect to the Work.
- (d) In addition, the Engineer represents that the plans and designs for the Work will, upon completion of the Services be complete, entire and comprehensive in accordance with the typical practices and performance standard of this Agreement. The Engineer will provide the Commission the final plans and specifications for the project in an editable, electronic form. Further, the Engineer will not restrict or otherwise interfere with the Commission's and/or the User Agency's future actions in authorizing the use, adaptation, revision, or modification or destruction of the Work provided that the Engineer is indemnified by the Commission for any damages resulting from any such future re-use or adaptation of the Work by having the Executive Director and Engineer execute an Electronic File Transfer Agreement in the form attached to this Agreement as Exhibit C.

Article VIII. INDEMNIFICATION

Section 8.01 <u>Indemnification</u>. The Engineer must indemnify, defend, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, officials and employees, from and

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against all claims, demands, suits, losses, costs and expenses, including the fees and expenses of attorneys, (including court costs and expert's fees) that may arise out of or be based on any injury to persons or property that is, or is claimed to be, the result of the Engineer's negligent performance or non-performance of the agreement or of any error or omission or negligent or willfully wrongful act of the Engineer, or and any person employed by the Engineer, or and any Subcontractor retained by the Engineer in connection with this Project.

No official, employee or agent of the Commission shall be charged personally by Engineer, or by any subcontractor or assignee of Engineer, with any liability or expenses of defense, or be held personally liable to them under any term or provision of this Agreement, or because of the Commission's execution or attempted execution of the Agreement, or because of any breach of the Agreement.

To the extent permissible by law, Engineer waives any limits to the amount of its obligations to indemnify, defend or contribute to any sums due pursuant to Engineer's obligations under this Article VIII, including any claim by any employee of Engineer that may be subject to the Workers' Compensation Act, 820 ILCS 305/1 et seq., or any other law or judicial decision (such as Kotecki v. Cyclops Welding Corporation, 146 III. 2d 155 (1991)). The Commission, however, does not waive any limitations it may have on its liability under the Illinois Workers' Compensation Act, the Illinois Pension Code, or any other statute.

Article IX. INSURANCE MAINTAINED BY THE ARCHITECT

The Engineer will purchase and maintain at all times during the performance of Services, for the benefit of the Commission, the User Agency and the Engineer, insurance coverage which will insure the Commission, the User Agency and the Engineer against claims and liabilities which could arise out of the performance of such Services, including the insurance coverages set forth in Schedule E to this Agreement.

Article X. DEFAULT

Section 10.01 <u>Events of Default</u>. Each of the following occurrences constitutes an Event of Default by the Engineer under the Agreement:

- (a) Failure or refusal on the part of the Engineer to duly observe or perform any obligation or agreement on the part of the Engineer contained in the Agreement, which failure or refusal continues for a period of 10 days (or such longer period as the Commission, in its sole discretion, may determine if such failure is not capable of being cured within such 10-day period) after the date on which written notice of it has been given to the Engineer by the Commission;
- (b) Any representation or warranty of the Engineer set forth in this Agreement or otherwise delivered pursuant to the Agreement will have been false in any material respect when so made or furnished;
- (c) The Engineer becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals will take any action in furtherance of any of the foregoing;

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- (d) Any proceeding is commenced against the Engineer seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within 60 days following commencement of the proceeding, or appointment of, without the Engineer's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Engineer's assets and properties, and such appointment will not have been vacated, stayed, discharged, bonded or otherwise dismissed within 60 days of the appointment.
- (e) The Engineer's material failure to perform any of its obligations under the Agreement, including any of the following:
 - (i) Failure due to a reason or circumstance within the Engineer's reasonable control to perform the Services
 with sufficient personnel, and equipment or with sufficient material to ensure the performance of the Services
 according to Schedule C in this Agreement;
 - (ii) Failure to properly perform the Services or inability to perform the Services as a result of insolvency, filing for bankruptcy or assignment for the benefit of creditors;
 - (iii) Failure to promptly re-perform within a reasonable time the Services that were rejected as erroneous or unsatisfactory per the Terms of this Agreement;
 - (iv) Discontinuance of the Services for reasons within the Engineer's reasonable control; or
 - (v) Failure to comply with a material term of the Agreement, including the provisions concerning insurance and nondiscrimination.
 - (vi) The Engineer shall have a ten day period to cure following written notice for the events of default listed here.
 - (f) Any change in ownership or control of the Engineer (as defined in Article XIII) without prior written approval of the Executive Director which approval the Executive Director will not unreasonably withhold.
 - (g) The Engineer's default under any other agreement it presently may have or may enter into with the Commission, the User Agency, the City of Chicago, the Chicago Public Schools or the Chicago Park District. Engineer acknowledges that in event of a default under the Agreement the Commission may also declare a default under any such other agreements.

Section 10.02 If an Event of Default occurs and continues, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and has, in particular, without limiting the generality of the foregoing, the right to terminate the Agreement upon written notice to the Engineer, in which event the Commission has no further obligations hereunder or liability to the Engineer except as to payment for Services actually received and accepted by the Commission through the effective date of termination, subject to set off of any claims of the Commission against the Engineer for failure to properly perform its services. No courses of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right will operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies. The Commissioner's decision to terminate the Agreement is not subject to claim or dispute under Article XI.

Section 10.03 Remedies Not Exclusive. No right or remedy in the Agreement conferred upon or reserved to the Commission is exclusive of any right or remedy provided or permitted under this Agreement or by law or equity, but

each is cumulative of every other right or remedy given in the Agreement or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently or from time to time.

Article XI. CLAIMS AND DISPUTES

Section 11.01 General. All Claims arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including questions concerning allowability of compensation, and all claims for alleged breach of contract will first be presented to the Authorized Commission Representative. The Engineer will present all disputes which can not be resolved, by discussion with the Authorized Commission Representative, to the Executive Director for final determination, subject to Section 11.04 below.

Section 11.02 <u>Claim Procedure.</u> The Engineer will make all requests for determination of claims in writing, specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the position of the Engineer; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identification of any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. The Authorized Commission Representative will have 30 business days to respond in writing to the Claim by supplementing the submission or providing its own submission. The Authorized Commission Representative will attempt to negotiate a resolution of the claim by agreement, but if a negotiated resolution is not achieved, the Authorized Commission Representative must provide a written ruling within 60 days of receipt of the Claim. However, if the Engineer agrees in writing, an extension not to exceed sixty (60) days may be granted by the Executive Director. The Dispute must be filed within thirty (30) days of the receipt of the ruling by the Authorized Commission Representative.

Section 11.03 <u>Dispute Procedure</u>. In the event that the Authorized Commission Representative and Engineer can not resolve the Claim, the Engineer may file a Dispute to the Executive Director. The Dispute submission must be in writing and contain the information required in Section 11.02 above and be copied to the Authorized Commission Representative. The Authorized Commission Representative shall file a response within thirty (30) days.

Section 11.04 Executive Director's Determination. The Executive Director's final decision will be rendered in writing no more than 45 business days after receipt of the response by the Commission Representative was filed or was due unless the Executive Director notifies the Engineer that additional time for the decision is necessary. The Engineer must follow the procedures set out in this Section to receive the Executive Director's final decision. In the event the Engineer disagrees with the Executive Director's final decision, the Engineer may file, a common law writ of certiorari in the Circuit Court of Cook County which shall be the sole and exclusive judicial remedy of the Engineer. However, the Engineer must have followed the procedures in this section as a condition precedent to filing a common law writ of certiorari. The Engineer shall not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period.

Section 11.05 Engineer Self-Help Prohibited. The Engineer must never withhold performance of its Services by, for example, refusing to review and approve appropriately submitted invoices or pay applications, timely to make recommendations on general contractor claims, or promptly to issue other appropriate approvals needed by others where doing so would potentially harm third parties, such as subconsultants, the general contractor, or its subcontractors. Doing so to gain potential leverage in negotiating or settling the Engineer's claims against the Commission or User Agency will constitute bad faith on the Engineer's part. This provision is not intended to prohibit the Engineer from exercising its well-considered professional judgment, however, in carrying out its duties and responsibilities under the Agreement.

Article XII. CONFIDENTIALITY

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All of the reports, information, or data prepared or assembled by the Engineer under the Agreement are confidential, and except as may be necessary to perform its services the Engineer must not make such reports, information or data must available to any party without the prior written approval of the Commission. In addition, the Engineer must not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning the Agreement, the Project or the Services. If the Engineer is served with a subpoena requiring the production of documents or information which is deemed confidential, the Engineer will immediately notify the Commission in writing and provide a copy of the subpoena to the Commission in sufficient time for the Commission to attempt to quash, or take other action in relation to, the subpoena.

Engineer acknowledges and understands that the Deliverables required by the Commission pursuant to this Agreement include the documents that the Commission will use to solicit bids for the construction of [name of project]. It is of the utmost importance to the Commission that any and all information pertinent to such bids not be divulged to any third utmost importance to the Commission that any and all information pertinent to such bids not be divulged to any third parties prior to the opening of bids for the Project. Accordingly, Engineer and its subcontractors, of any tier, are expressly prohibited from divulging any information that might materially impact a bid for the Project to any person or individual that is not a party to this Agreement. Engineer acknowledges and agrees that its obligations to the Commission with respect to information pertinent to bidding on the Project are those of a fiduciary, and that the Commission will hold Engineer to the standard of care of a fiduciary in this respect.

Article XIII. ASSIGNMENT

The Engineer acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Engineer and, therefore, that neither the Agreement nor any right or obligation in the Agreement may be assigned by the Engineer, in whole or in part, without the prior written approval of the Commission. For purposes of this paragraph, if the Engineer undergoes a change in control, the change in control is deemed an assignment of the Agreement; a change in control is defined as a transfer of more than 50% of the equity ownership of the Engineer during any 12-month period. In the event of an assignment by the Engineer without the prior written approval of the Commission, the Commission will have the right to immediately terminate the Agreement without fault or responsibility. The Engineer further acknowledges that the Engineer represented to the Commission the availability of certain members of the Engineer's staff who will be assigned to Project; therefore, in the event of the unavailability of such members for any reason, the Engineer must so notify the Commission in writing, and must assign other qualified members of the Engineer's staff, as approved by the Commission, to the Project.

Article XIV. RELATIONSHIP OF PARTIES

Under the Agreement, the relationship of the Engineer to the Commission is that of an independent contractor, and the Engineer will have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. The Agreement will not be construed as an agreement of partnership, joint venture, or agency.

Article XV. GENERAL

Section 15.01 Engineer's Authority. The Engineer represents that its execution of the Agreement is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Engineer have been made with complete and full authority to commit the Engineer to all terms and conditions of the Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

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Section 15.02 <u>Counterparts</u>. The Agreement may be executed in any number of counterparts, any of which will be deemed an original.

Section 15.03 Entire Agreement. The Agreement constitutes the entire understanding and agreement between the parties to this Agreement and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged in this Agreement. The Agreement must not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties.

Section 15.04 Governing Law. The Agreement has been negotiated and executed in the State of Illinois and will be construed under and in accordance with the laws of the State of Illinois.

Section 15.05 No Waiver. The waiver by either party of any breach of the Agreement will not constitute a waiver as to any succeeding breach.

Section 15.06 Notices. All notices required to be given under this Agreement must be given in writing and must be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to the Commission or to the Engineer at their respective addresses set forth above, as appropriate. If given as provided in this Agreement, such notice is deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Engineer may, from time to time, change the address to which notices will be sent by giving notice to the other party in the manner provided in this subparagraph.

Section 15.07 Non-liability of Public Officials. No Commission Board member, employee, agent, officer, or official is personally liable to Engineer or its subcontractors, and Engineer and its subcontractors are not entitled to, and must not attempt to, charge any of them with liability or expense or hold them personally liable to Engineer or its subcontractors under this Agreement.

Section 15.08 Severability. If any provision of the Agreement is held to be invalid or unenforceable by a court of competent jurisdiction, such provision will be severed from the Agreement and such invalidity or unenforceability will not affect any other provision of the Agreement, the balance of which will remain in full force and effect; provided, however, affect any other provision is deemed invalid or unenforceable as a matter of law, such provision will be deemed to have been modified so as to be valid and enforceable to the maximum extent permitted by law.

Section 15.09 Successors and Assigns. Except as otherwise provided in the Agreement, the Agreement is binding upon and inures to the benefit of each of the parties to the Agreement and their respective successors and assigns.

Section 15.10 Non-appropriation of Funds. If funds have not been appropriated in full or in part, the Commission has the right to terminate the Agreement. The Commission will not authorize the Engineer to provide services under this Agreement unless sufficient funds are appropriated to pay for the services.

SCHEDULE A SCOPE OF SERVICES ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

A. General Requirements

Completion of Design of the Project

The Engineer serves as the Engineer of Record for the Project, providing all Services required to complete the coordinated design of the Project.

The Commission has also designated the Authorized Commission Representative to assist the Commission in managing the Project and to have the authority, as specifically directed by the Executive Director, to act on its behalf. The Engineer shall cooperate at all times with the Commission, its Authorized Commission Representative and Program Manager in the performance of the Services. Although it is anticipated that the Engineer will interface and cooperate with representatives of the User Agency during the course of the Project, the Engineer will take direction with respect to the Services solely from the Authorized Commission Representative.

As the Engineer of Record, the Engineer will assist the Commission in the oversight of the construction of the Project.

II. Project Site and Program Components

The site is bounded by Faraday Elementary School to the North, West Jackson Boulevard to the South, South Kedzie Avenue to the East, and housing to the West. The site development will include the following: new entry drive and turnaround off South Kedzie Avenue, new parking lot for (84) cars South of the school building, reconfigured parking lot for (44) cars North of the school building, 12,000sf Garden Area, Softball Field, Multipurpose Practice Field, Arboretum and improvements to the adjacent public rights of way.

III. Term of this Agreement

Commencement Date of Services: August 12, 2009

The term of this Agreement will terminate when all Services required have been completed to the reasonable satisfaction of the Commission.

IV. Organization of the Services

The Services are broken into (2) Phases: Contract Administration Phase and Close-Out Phase. The Deliverables (and any other work product) of each of the phases must be approved by the Authorized Commission Representative in writing before commencement of the subsequent or dependent phase.

V. Responsibilities of the Engineer in Performing the Services

A. The Engineer shall use the Commission's project management software, as designated by the Authorized Commission Representative for all communications with the Commission, the Authorized Commission Representative and the Commission's Program Manager.

- D. The Engineer will certify its compliance with the Commission's Design Checklist for each phase of the Services. Such certification shall be a Deliverable for each phase of the Services.
- E. The Engineer will perform its Services promptly, with sufficient staffing to achieve the dates in Schedule C, Project Schedule.
- F. Read and become completely familiar with and knowledgeable of both the form and substance of the Commission's bid documents, including Book 1, Requirements for Bidders, Book 2, General Conditions, Book 2A, General Conditions User Manual, and Book 3, Technical Specifications.

B. Requirements by Phase

A. Construction Administration Phase

During the Construction Administration Phase, the Engineer shall provide the following Services:

- 1. Attend and participate in regularly scheduled: (i) weekly Project meetings, and (ii) monthly pay applications meetings for approval of contractor pay requests. Provide field observation of the construction as directed by the Commission Representative in order to monitor the progress and conformance of the permanent features of the work to the requirements of the Contract Documents. The Engineer's on-site representative shall not be removed or replace before final completion of the Project without the prior written approval of the Authorized Commission Representative. The Engineer's on-site representative will be removed immediately upon written request of the Authorized Commission Representative.
- 2. If necessary during construction, interpret the meaning and intent of the Contract Documents, and with the Authorized Commission Representative's concurrence, transmit such information to the contractor. If requested by the Authorized Commission Representative, make recommendations on any claims between the Commission and any contractor with whom the Commission has a contract relating to the Project and any other matters relating to the execution and progress of the work or the interpretation of the Contract Documents.
- 3. Unless the Commission specifies, in writing, a shorter or longer time period, within 5 business days following receipt the Engineer must comment upon and submit to the Authorized Commission Representative Engineer's responses to requests for approval of subcontractors, delivery schedules, material lists, shop drawings, samples, and the like. However, the parties acknowledge that the Engineer's internal costs and efficiencies during the construction phase are dependent on the Contractor's submittals and inquiries conforming to pre-approved schedules and deadlines. Any time limits for the Engineer's review of shop drawings or other submittals is conditioned upon the Contractor's preparing and obtaining the Engineer's approval of a master schedule of submittals and subsequently transmitting the submittals to the Engineer in accordance with this schedule. Additionally, if after commencement of construction, the Commission requests Engineer to review and analyze a requested product or material substitution, the Engineer shall undertake such review only as an Additional Service and after obtaining the Commission's approval to do so.

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- 4. Provide and distribute Construction Documents and explanatory sketches as required during construction. Review and approve samples, shop drawings, product data, as-built drawings, product substitutions and other submissions for compliance with the design concept of the Project and fulfillment of the contractor's obligations as set forth in the Contract Documents.
- 5. Provide an expert in roofing on the Project Site throughout the construction/installation of the roof for the Project.
- 6. Implement the Commission's specifications and procedures for processing scope changes, including applications for extensions of time. Receive and review all proposals, revisions in drawings and change orders requested by the contractor, Commission, User Agency, or as required by unforeseen conditions in the field, and make recommendations regarding practicality, costs, unit prices, time and material changes, effect on completion schedule and risk to the project.
- 7. Submit recommendations to the Authorized Commission Representative for approval before instituting any changes to the requirements of the Contract Documents. Process and prepare all bulletins, proposals, revisions in drawings and change orders approved by the Monitor all scope changes during construction to ensure compliance with Commission. approved revisions.
- 8. Identify instances of non-conformance of the Work, document such instances in a manner acceptable to the Authorized Commission Representative, and assist the Authorized Commission Representative in providing notice to contractors of such instances of nonconformance as necessary.
- 9. Issue clarifications for proper execution of the Work required by the Contract Documents; provided, however, the Engineer shall not have control or charge of and will not be responsible for construction means and methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work or for the act or omissions of the contractor, subcontractors or any other persons performing any of the work in accordance with the Contract Documents. Notwithstanding any contrary or potentially ambiguous description of the Engineer's Services, it is intended that the Engineer shall have no responsibility for jobsite safety on the Project. The Contractor and Subcontractors shall have full and sole authority for all safety programs and precautions in connection with the Work. When the Engineer is present at the site, such presence shall be only for the purpose reviewing the Work for deviations from the Construction Documents or defects, and the Engineer shall have no authority to take any action whatsoever on the site regarding safety precautions or procedures.
- 10. Maintain RFI and Bulletin logs in a format acceptable to the Authorized Commission Representative.
- 11. Review the Work to establish preliminary acceptance of the Project.

Project Close Out Phase В.

During the Project Close Out Phase, the Engineer shall provide the following Services:

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- 1. Conduct a comprehensive final inspection of the Project with the Authorized Commission Representative and User Agency to verify that the materials furnished and the work performed are substantially compliant with the contract documents.
- 2. The EOR is responsible for facilitating a walkthrough on site with the Authorized Board Representative, Commissioning Agent and User Agency to review punchlist items identified in the Contractor prepared initial punchlist. The EOR will consolidate and prepare punch lists indicating the items of work remaining to be accomplished before a Certificate of Final Acceptance will be issued. Prepare certificates of preliminary and final completion in consultation with the Commission and the User Agency.
- 3. Oversee the Contractor's efforts to assemble and deliver to the Commission all guarantees, warranties, operating and maintenance manuals required by the Contract Documents.
- 4. The User Agency requires a set of record drawings prepared and coordinated by the Engineer. This set of record drawings must be provided in editable, auto-CAD format. The Engineer shall, accordingly, oversee the Contractor's efforts to expedite the preparation and delivery of the Contractor's own record, "as-built" drawings and operations and maintenance manuals of the Project in accordance with the specifications. The "as-built" documents will be subject to the approval of the Commission. Submit approved "as-built" documents to the Commission upon completion of the Project.
- 5. Upon completion of the construction contract and all "punch list" items in accordance with the Contract Documents, issue a Certificate of Final Acceptance. A Certificate must not be issued by the Engineer until, to the best of its knowledge, information and belief, all work has been completed in accordance with the Contract Documents.
- 6. Post Construction Review. The Commission will review Engineer's performance in providing services during construction after the project punch list is complete. The Engineer will be required to attend a meeting to discuss the performance review.
- 7. Project Close Out Approval Form. The Engineer shall draft and complete the Project Closeout Approval Form for the Project. A sample form is attached to the Scope as Exhibit 1.

III. ADDITIONAL RESPONSIBILITIES AND REPRESENTATIONS WITHIN THE ARCHITECT'S BASE SCOPE OF SERVICES

Engineer shall:

- A. Assist the Commission with warranty inspection at 11 months following Substantial Completion of the Project.
- B. If the Engineer takes any photographs of the Project for any purpose, Engineer shall provide a complete set of such photographs, in negative or digital format, to the Commission.

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IV ADDITIONAL SERVICES

The following Additional Services may be authorized in writing by the Commission. If requested by the Authorized Commission Representative, and subject to the execution of a written Amendment in accordance with Section 4.13 of this Agreement, Engineer will be compensated for the Additional Services on either a negotiated Lump Sum basis or in accordance with the Billing Rates established in accordance with the requirements of Schedule D:

- A. Engineer may be required to provide consultation concerning replacement of any work damaged or destroyed by fire or other cause during construction and furnish additional services as may be required in connection with the replacement of the work.
- B. Engineer may be required to provide additional services made necessary by the default of the contractor in the performance of the construction contract.

SCHEDULE B PROJECT DOCUMENTS

ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

Drawings: Issued 100% Construction Documents on 1/16/2009 **Specifications:** Issued 100% Construction Documents

SCHEDULE C PROJECT SCHEDULE

ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

A. Construction Administration & Close Out Phase Services (2009 Scope: Construction of the following areas: Southeast parking lot, Adams St. with Cul-de-sac, provide new alleys, and the North parking lot. All utility work associated with the areas shall be included in the scope of work.):

Construction of the Project is anticipated to start on August 3, 2009 and be Substantially Complete on December 31, 2009.

B. Construction Administration & Close Out Phase Services (2010 Scope: Construction of the following areas: Arboretum, multi-purpose field, softball field, student garden and the remaining landscaping work for the entire site. All utility work associated with the areas shall be included in the scope of work.):

Construction of the Project is anticipated to start on March 22, 2010 and be Substantially Complete on August 30, 2010.

C. Time of Completion

Construction Phase Services to be provided shall be as stated above. Any time adjustments to the above phases shall be authorized in writing by the Commission.

D. The Engineer

The Engineer shall perform the requested services based on the terms and conditions stated in this Agreement.

SCHEDULE D COMPENSATION OF THE ARCHITECT

ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

1. ENGINEER'S FEE

The Commission shall pay the Engineer for the satisfactory performance of the Services on an hourly basis with a Not-To-Exceed Fee ("Fee") of \$65,376.00. The Fee will be allocated and payments made on a monthly basis. The Architect will not be entitled to compensation above this amount without a written amendment.

Engineer's Fee will include consultant's profit, overhead, general conditions, and all items not specifically identified as Reimbursable Expenses.

II. BILLING RATES AND COMPENSATION FOR SERVICES

The Commission shall compensate the Engineer on a Time Card basis in accordance with this Agreement. For Time Card billings, rates of reimbursement for the Engineer's employees (and employees of any Subconsultant performing services) will be as follows:

Principal

\$176.00 per hour

Project Manager

\$143.00 per hour

Project Engineer

\$105.00 per hour

The hourly rate will fully compensate the Engineer for all direct and indirect costs associated with the Services and shall constitute full and complete compensation to the Engineer for labor burden costs (including Workers' Compensation insurance, FICA, SUTA, health benefits, long term disability benefits, pensions and similar contribution and other statutory and non-statutory employee benefits), indirect administrative expenses, general and administrative expenses, overhead, additional premium costs for insurance (including but not limited to general liability, professional liability, valuable papers and automobile, but excluding additional insurance premium costs for specialty subconsultants and subcontractors), computer and related charges, postage and handling charges, parking and mileage charges, telephone service (including local calling charges), profit, and all items not specifically identified below as "Reimbursable Expenses."

III. REIMBURSABLE EXPENSES

A. "Reimbursable Expenses" as referred to in this Agreement, are actual expenditures at cost without mark-up or surcharge, incurred by the Engineer, and required for the Services. Reimbursable Expenses must be supported with proper documentation in the form of itemized invoices which include a notation stating the Project-related purpose of the expenditure.

The following will be considered Reimbursable Expenses:

Plotting, printing, reproduction and distribution of drawings specifications, and presentation materials
requested by the Commission, or required for scheduled reviews of the progress of the work by the
Commission and/or the User Agency, public or city agency meetings and hearings, and as required
for professional peer reviews of documents as directed by the Commission.

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2. Printing and distribution costs associated with shop drawing and submittal reviews during construction.

The following are NOT Reimbursable Expenses:

- Plotting, printing and distribution of drawings and specifications for the purpose of coordination between members of the Engineer's team, or otherwise incidental to the Engineer's Services are not Reimbursable Expenses.
- Office and administrative expenses, including telephone system expenses, photocopying, duplicating costs, postage, office & drafting supplies, fax and delivery services (except as noted above in A. 1. and A. 2. are not Reimbursable Expenses.
- B. The following shall be Reimbursable Expenses provided that the Engineer has obtained the prior written approval by the Authorized Commission Representative:
 - Expense of transportation and living of principals and employees traveling in connection with the Project, but not including travel and expense to and from the job site or within a 50-mile radius of downtown Chicago. Travel expenses include coach air fare, hotel and per diem costs, auto rental, fuel and insurance, and must be supported with proper documentation in the form of itemized invoices.
 - Fees and costs of special consulting services requested by the Commission such as acoustical, theater, food service, masonry, roofing and elevator consultants will be paid as a reimbursable expense. Civil, structural, mechanical, electrical, plumbing and fire protection engineering services are included within the Fixed Fee.
 - 3. Costs for rental or purchase of special items or equipment requested by the Commission.
 - 4. Fees and costs to secure necessary permits or civil agency approvals, including permit fees and expenditure fees.
 - 5. Costs of surveys, geotechnical and environmental technical testing and reports.
 - 6. Other direct costs of the Project may be approved as a Reimbursable Expense by Commission's Authorized Representative provided that written approval is obtained in advance of incurring the expense and provided that the expense is to be reimbursed on a Lump Sum basis.
- C. Reimbursable Expenses shall not exceed \$10,000.00 except as approved by the Commission in the form of an Amendment issued in accordance with Section 4.13 of this Agreement

IV. METHOD OF PAYMENT

1. Invoices. Once each month, the Engineer will submit an invoice to the Commission for Services performed during the preceding month.

Each invoice must reference the contract number and be supported with such reasonable detail and data as the Commission may require, including detail and data related to Subconsultant costs. In accordance with the terms of the Agreement, the Engineer must maintain complete documentation of all costs incurred for review and audit by the Commission or its designated audit representative(s). Each invoice must be submitted in the format directed by the Commission. Invoices must be accompanied by a progress report in a format acceptable to the Commission. Such progress report must identify any variances from budget or schedule and explain and the reasons for such variances.

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The Consultant must attach MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE Sub-Consultant Payments", at the time of submitting each monthly invoice. The report should indicate the current and cumulative payments to the MBE and WBE sub-consultants.

- 2. Payment will be processed within 30 days after Commission receives an acceptable invoice from the Engineer.
- 3. Invoice Disputes. If the Commission disputes certain items in the Engineer's invoices, the amount not disputed will be paid in full. The amount in question must be resolved in accordance with the Claim and Disputes provisions of this Agreement.

VI. INVOICING

The Engineer will submit one original of its monthly invoice to the Commission's Accounts Payable Department clearly noting the contract numbers for approval.

IMPORTANT

If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must be endorsed. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

DISCLAIMER

The Certificate of Insurance on the reverse side of this form does not constitute a contract between the issuing insurer(s), authorized representative or producer, and the certificate holder, nor does it affirmatively or negatively amend, extend or alter the coverage afforded by the policies listed thereon.

SCHEDULE E INSURANCE REQUIREMENTS

ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

The Engineer of Record (Engineer) must provide and maintain at Engineer's own expense, until expiration or termination of the Agreement and during the time period following expiration if Engineer is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

E.1. INSURANCE TO BE PROVIDED

E.1.1. Workers' Compensation and Employers Liability

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, Illness or disease.

E.1.2. Commercial General Liability (Primary and Umbrella)

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, and contractual liability (with no limitation endorsement). The Public Building Commission, Board of Education of the City of Chicago, Chicago Park District and City of Chicago must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Engineer must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

E.1.3. Automobile Liability (Primary and Umbrella)

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Engineer must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission, Board of Education of the City of Chicago, Chicago Park District and the City of Chicago must be named as additional insureds on a primary, non-contributory basis.

E.1.4. Professional Liability

When any professional Engineer performs work in connection with the Agreement, Professional Liability Insurance will be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

E.1.5 Property

The Engineer is responsible for all loss or damage to Commission, Board of Education, City of Chicago and/or Chicago Park District property at full replacement or repair cost. The Engineer is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

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E.1.6 Valuable Papers

When any plans, designs, drawings, data, media, or other documents are produced or used under this Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

E.2. ADDITIONAL REQUIREMENTS

The Engineer must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Engineer must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Engineer is not a waiver by the Commission of any requirements for the Engineer to obtain and maintain the specified coverage. The Engineer will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Engineer of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Engineer and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission if any policies are canceled, substantially changed, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Engineer hereby waives and agrees to require their insurers to waive their rights of subrogation against the Commission, Board of Education of the City of Chicago, Chicago Park District and City of Chicago, their respective Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Engineer in no way limit the Engineer's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission, Board of Education of the City of Chicago, Chicago Park District and the City of Chicago do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Engineer is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Engineer must require all its subcontractors to provide the insurance required in this Agreement, or Engineer may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Engineer unless otherwise specified in this Agreement.

If Engineer or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission's Risk Management Department maintains the rights to modify, delete, alter or change these requirements.

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Marshall Campus Park – Engineer of Record Services
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CERTIFICATE OF INSURANCE

SUCH INSURANCE AS RESPECTS THE INTEREST OF THE CERTIFICATE HOLDER NAMED BELOW WILL NOT BE CANCELED OR OTHERIVISE TERMINATED WITHOUT GIVING 10 DAYS PRIOR WRITTEN NOTICE TO THE CERTIFICATE HOLDER, BUT IN NO EVENT SHALL THIS CERTIFICATE BE VALID MORE THAN 30 DAYS FROM THE DATE WRITTEN. THIS CERTIFICATE OF INSURANCE DOES NOT CHANGE THE COVERAGE PROVIDED BY ANY POLICY DESCRIBED BELOW.

This certifies that:	្ទា	ATE FA	RM MUTUA	L AUTOM	OBILE INSUR	ANCE COM	PANY of RI	loominator I	llinata		
This certifies that: STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY of Bloomington, Illinois STATE FARM FIRE AND CASUALTY COMPANY of Bloomington, Illinois											
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STATE FARM INDEMNITY COMPANY of Bloomington, Illinois has coverage in force for the following Named Insured as shown below:											
NAMED INSURED: Terra Engineering LTD.											
ADDRESS OF NAMED INSUFED: 225 W.OHIO ST., 4TH FLOOR, CHICAGO, IL 60654											
POLICY NUMBER	OLICY NUMBER 562-5770-E27-13C 562-5773-E27 13D										
EFFECTIVE DATE	FFECTIVE DATE										
OF POLICY 05/27/09 05/27/09											
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Name and Address of Certificate Holder Name and Address of Agent											
PUBLIC BUILDING COMMISSION OF CHICAGO MIKE MONAGHAN											
SO WEST WASHINGTON STREET CHICAGO, IL 60659											
CHICAGO, IL 60602											
PROJECT NAME: CIVIL ENGINEERING RETAINER CONTRACT											
ADDITIONAL INSURED: PUBLIC BUILDING COMMISSION											
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CERTIFICATE OF INSURANCE

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╝	STATE FARM FIRE AND CASUALTY COMPANY, Bloomington, Illinois
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≕	THE PARTY OF THE INSURANCE COMPANY, BICOMINGTON, ININOIS
نـ	STATE FARM FIRE AND CASUALTY COMPANY, Scarborough, Ontario
٦	STATE FARM FLORIDA INSURANCE COMPANY, Winter Haven, Florida
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Policy	holder	
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TERRA ENGINEERING LTD C/O STEINGRABER, KAREN

Address of policyholder

225 W CHIO ST 478 FLOOR

Location of operations

CHICAGO , IL 60610-4221

Description of operations

ADDL LOCATION: 401 MAIN ST STE 1130 PEORIA, IL 61602

The policies listed below have been issued to the policyholder for the policy periods shown. The insurance described in these policies is subject to all the terms, exclusions, and conditions of those policies. The limits of liability shown may have been reduced by any paid claim

93-KA-5490-6 F Comprehensive 04/10/09	te Expiration Date 04/10/10				
Business Liability This insurance includes: Contractual Liability Personal Injury			BODILY INJURY AND		
This insurance includes: ☐ Products - Completed Operations ☐ Contractual Liability ☐ Personal Injury					
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		General Aggregate	\$ 2,000,000		
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☑ VALUABLE PAPERS \$5000. LIMIT		Operations Aggregate	42,000,000		
POL	CY PERIOD	BODILY INJURY AND PROPERTY DAMAGE			
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Other	<u> </u>	Aggregate	\$		
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Effective Da	te Expiration Date				
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and Employers Liability		Each Accident	\$ 500,000		
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POLICY NUMBER TYPE OF INSURANCE Effective Da	te : Expiration Date				
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THE CERTIFICATE OF INSURANCE IS NOT A CONTRACT OF INSURANCE AND NEITHER AFFIRMATIVELY NOR NEGATIVELY AMENDS, EXTENDS OR ALTERS THE COVERAGE APPROVED BY ANY POLICY DESCRIBED HEREIN.

Name and Address of Certificate Holder

PROJECT NAME: CIVIL ENGINEERING RETRINER CONTRACT

CERTIFICAT HOLDER: PUBLIC BUILDING COMMISSION OF CHICAGO ROCJARD J DALEY CENTER, ROOM 200

50 W WASHINGTON STREET

CHICAGO IL 60602

ADDITIONAL INSURED: PUBLIC BUILDING COMMISSION OF CHICAGO

CHICAGO PARK DISTRICT

If any of the described policies are canceled before their expiration date, State Farm will try to mail a written notice to the certificate holder 45 days before cancellation. If however, we fail to mail such notice, no obligation or liability will be imposed on State-Farm or its agents or representative

Signature of Authorized Representative AGENT 08/10/09 Title NICK J PERISIN

Date

Agent Name

Telephone Number (312) 791-0099

Agent's Code Stamp Agent Code 1304 AFO Coce 5020 AFO Coce

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ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

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SCHEDULE G

SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES

ENGINEER OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

1. Policy Statement

- a. It is the policy of the Public Building Commission of Chicago ("PBC") to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Professional Service Provider must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Professional Service Provider also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Professional Service Provider to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission Construction Contracts to certified MBEs and 5% of the annual dollar value of all Commission Construction Contracts to qualified WBEs.
- b. Further, the Professional Service Provider must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification.
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Professional Service Provider or such other remedy, as the Commission deems appropriate.

3. Definitions

- a. For purposes of this Special Condition, the following definitions applies:
 - (1) "Certified Minority Business Enterprise" means a person or entity granted certification by Page 30 of 58

Marshall Campus Park – Engineer of Record Services PS 1672

the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.

- (2) "Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.
- (3) "Professional Service Contract" means a contract for professional services of any type.
- (4) "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform and anticipated scope of work of the contract and the Commission's progress towards meeting the aspirational goals.
- (5) "Professional Service Provider" means any person or business entity that seeks to enter into a Professional Service Contract with the Commission and includes all partners, affiliates and joint ventures of such person or entity.
- (6) "Executive Director" means the Executive Director of the Commission or his duly designated representative as appointed in writing.
- (7) "Good faith efforts" means actions undertaken by a Professional Service Provider to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.
- (8) "Joint venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each joint venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the joint venture is equal to its ownership interest. Joint ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.

(9) "Minority" means:

- a. Any individual in the following racial or ethnic groups, members of which are rebuttably presumed to be socially disadvantaged:
 - i. African-Americans or Blacks, which includes persons having origins in any of the Black racial groups of Africa;
 - ii. Hispanics, which includes persons of Spanish culture with origins in Mexico, South or Central America or the Caribbean Islands, regardless of race; and
- b. Individual members of other groups, including but not limited to Asian-Americans, Arab-Americans and Native-Americans, found by the Commission to be socially disadvantaged by having suffered racial or ethnic prejudice or cultural bias within American society, without regard to individual qualities, resulting in decreased opportunities to compete in Chicago area markets or to do business with the Commission.

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Rev. 10-10-08

- (10) "Minority-owned business enterprise" or "MBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged minority persons, or in the case of a publicly held corporation at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged minority persons whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged minority persons.
- (11) "Program" means the minority- and women-owned business enterprise construction procurement program established in this special condition.
- (12) "Women-owned business enterprise" or "WBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged women or in the case of a publicly owned business, at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged women, whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged women.

4. Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Professional Service Provider employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same sub-consultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which sub-consultant may be counted toward only one of the goals, not toward both.
- c. A Professional Service Provider may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible joint venture equal to the percentage of the ownership and control of the MBE or WBE partner in the joint venture. A joint venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A joint venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the joint venture:
 - (1) Shares in the ownership, control, management responsibilities, risks and profits of the joint venture; and
 - (2) Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Professional Service Provider may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a

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commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.

- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Professional Service Provider subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.
- f. A Professional Service Provider may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Professional Service Provider may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process.

5. Submission of Proposals

- a. The following schedules and documents constitute the Proposer's MBE/WBE compliance proposal and must be submitted at the time of the proposal.
 - (1) Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or any other entity accepted by the Public Building Commission of Chicago must be submitted. The PBC certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.
 - (2) Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Proposer's MBE/WBE compliance proposal includes participation of any MBE or WBE as a joint venture participant, the Proposer must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the joint venture agreement proposed among the parties. The Schedule B and the joint venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.
 - (3) Schedule C: Letter of Intent to Perform as a sub-consultant, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture sub-consultant) must be submitted by the Proposer for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.
 - (4) Schedule D: Affidavit of Prime Professional Service Provider Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Proposer has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 7), the Proposer must include the specific dollar amount or percentage of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Proposers are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total proposal.

The submittals must have all blank spaces on the Schedule pages applicable to the contract b. correctly filled in. Agreements between a Proposer and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Proposers are prohibited.

6. **Evaluation of Compliance Proposals**

- The Proposer's MBE/WBE compliance proposal will be evaluated by the Commission. The a. Proposer agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A proposal may be treated as nonresponsive by reason of the determination that the Proposer's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Proposer was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.
- If the Commission's review of a Proposer's proposal concludes that the MBE or WBE proposal b. was deficient, the Commission will promptly notify the Proposer of the apparent deficiency and instruct the Proposer to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Proposer's proposal as non-responsive.
- Proposers will not be permitted to modify their MBE/WBE compliance proposal except insofar as C. directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE consultants or suppliers should be satisfactorily negotiated prior to the submission to the Commission of the Proposer's MBE/WBE compliance proposal. If circumstances should arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 12 should be followed.

7. Request for Waiver

- If a Proposer is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill a. the MBE or WBE percentage goals for this Contract, the proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Proposer's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.
- Good Faith efforts to achieve participation include but are not limited to: b.
 - (1) Attendance at the Pre-proposal conference;
 - (2) The Proposer's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
 - (3) Advertisement in trade association newsletters and minority and woman-oriented and general circulation media for specific sub-consultants;
 - (4) Timely notification of specific sub-consultants to minority and woman assistance agencies and associations:
 - (5) Description of direct negotiations with MBE and WBE firms for specific sub-consultants, including:

Page 34 of 58

- i. The name, address and telephone number of MBE and WBE firms contacted:
- ii. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
- iii. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
- (6) A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation.
- (7) As to each MBE and WBE contacted which the Proposer considers to be not qualified, a detailed statement of the reasons for the Proposer's conclusion.
- (8) Efforts made by the Proposer to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
- (9) General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Proposer, may grant a waiver request upon the determination that:
 - (1) Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Proposer;
 - (2) The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.

8. Failure To Achieve Goals

- a. If the Professional Service Provider cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the Professional Service Provider has made such good faith efforts, the performance of other Professional Service Providers in meeting the goals may be considered. The Executive Director or his designee shall consider, at a minimum, the Professional Service Provider's efforts to do the following:
 - (1) Soliciting through reasonable and available means the interest of MBEs or WBEs that Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
 - (2) Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
 - (3) Negotiating in good faith with interested MBEs or WBEs that have submitted proposals. Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the

Page 35 of 58

information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a Professional Service Provider's failure to meet the goals, as long as such costs are reasonable.

- (4) Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of a their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting proposals to meet the goals.
- (5) Making a portion of the work available to MBE or WBE sub=consultants and suppliers and to select those portions of the work or material consistent with the available MBE or WBE sub-consultants and suppliers, so as to facilitate meeting the goals.
- (6) Making good faith efforts despite the ability or desire of a Professional Service Provider to perform the work of a contract with its own organization. A Professional Service Provider that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.
- (7) Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.
- (8) Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Professional Service Provider.
- (9) Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and
- (10) Effectively using the services of the Commission; minority or women community organizations; minority or women groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.
- b. In the event the Public Building Commission Procurement Officer determines that the Professional Service Provider did not make a good faith effort to achieve the goals, the Professional Service Provider may file a Dispute to the Executive Director as provided in Section 18.02. Disputes Book 2.

9. Reporting and Record-Keeping Requirements

a. The Professional Service Provider, within 5 working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Professional Service Provider's proposal and MBE/WBE assurances. Upon request by the PBC, the Professional Service Provider must provide copies of the contracts or purchase orders executed between it and the MBE and WBE firms. During the performance of the contract, the Professional Service Provider will submit partial and final waivers of lien from MBE and WBE sub-consultant and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date.

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- b. The Professional Service Provider must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on 5 business days' notice in order for the Commission to determine the Professional Service Provider's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.
- c. The Professional Service Provider will file regular MBE and WBE utilization reports on the form entitled "Status Report of MBE and WBE Sub-Contract Payments", at the time of submitting each monthly invoice. The report should indicate the current and cumulative payments to t MBE and WBE sub-contractors.

10. Disqualification of MBE or WBE

- a. The Contract may be terminated by the Executive Director upon the disqualification of the Professional Service Provider as an MBE or WBE if the sub-consultants status as an MBE or WBE was a factor in the award and such status was misrepresented by the Professional Service Provider.
- b. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the sub-consultants or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the sub-consultant or supplier was misrepresented by the Professional Service Provider. If the Professional Service Provider is determined not to have been involved in any misrepresentation of the status of the disqualified sub-consultant or supplier, the Professional Service Provider shall make good faith efforts to engage a qualified MBE or WBE replacement.

11. Prohibition On Changes To MBE/WBE Commitments

The Professional Service Provider must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE sub-consultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a sub-consultant with the Professional Service Provider's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Professional Service Provider to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably should have been known by the parties prior to entering into the subcontract.

12. MBE/WBE Substitution Requirements and Procedures

a. Arbitrary changes by the Professional Service Provider of the commitments earlier certified in the Schedule D are prohibited. Further, after once entering into each approved MBE and WBE subcontract agreement, the Professional Service Provider shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Professional Service Provider of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:

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Rev. 10-10-08

- (1) The Professional Service Provider must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work, if needed in order to sustain the fulfillment of the MBE/WBE contract requirements.
- (2) The Professional Service Provider's notification should include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons:

 a) Unavailability after receipt of reasonable notice to proceed; b) failure of performance; c)financial incapacity; d) refusal by the sub-consultant to honor the proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the sub-consultant to meet insurance, licensing or bonding requirements; g) the sub-consultant's withdrawal of its proposal; or h) decertification of the sub-consultant as MBE or WBE.
- (3) The Professional Service Provider's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Professional Service Provider; issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

The Profession Service Provider's notification should include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached should be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms.

- (4) The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) working days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.
- (5) Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) working days, and a copy of the MBE WBE subcontract with signatures of both parties to the agreement should be submitted immediately to the Executive Director.
- b. The Executive Director will not approve extra payment for escalated costs incurred by the Professional Service Provider when a substitution of sub-consultants becomes necessary for the Professional Service Provider in order to comply with MBE/WBE contract requirements.
- c. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Professional Service Provider to locate specific firms, solicit MBE and WBE proposals, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

Non-Compliance

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- a. The Executive Director has the authority to apply suitable sanctions to the Professional Service Provider if the Professional Service Provider is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Professional Service Provider's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Professional Service Provider did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Professional Service Provider from entering into future contracts with the Commission.

14. Severability

a. If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held invalid by any count, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.



August 17, 2009

Mr. Kevin S. Hall, AIA, LEED AP Deputy Director for Municipal Design Public Building Commission of Chicago Richard J. Daley Center, Room 200 50 West Washington Street Chicago, IL 60602

RE: Marshall Campus Construction Administration

Dear Kevin:

Attached please find Schedule C and Schedule D for the above referenced project. I am requesting a waiver from the requirement since we will be self performing the work, and we are a certified WBE firm.

Should you have any questions, or comments, please do not hesitate to contact me.

Sincerely yours,

TERRA ENGINEERING, LTD.

Karen S. Steingraber, P.E.

President

SCHEDULE D - Affidavit of General Contractor Regarding MBE/WBE Participation (1 of 2)

Name of Project:	ar ar ar com			
Mars	<u>hall Campus (</u>	<u>Construction</u>	Services	
. % _ar.				
STATE OF ILLINOIS)			
COUNTY OF COOK	} S S			
In connection with the	e above-captioned o	contract, I HEREB	Y DECLARE AND AF	FIRM that I am
Presid	lent			
Title and duly	authorized represer	ntative of		
Terra	Engineering,	Ltd.		
	eral Contractor who Ohio 4th Pl			
in the City ofCl	iicago	, State of	Illinois	
and that I have perso of MBE/WBE particip B (if applicable), and participate in this Con-	nally reviewed the mation in the above-real the following is a	naterial and facts si eferenced Contract statement of the o	, including Schedule extent to which MBE	C and Schedule

Name of MBE/WBE Contractor	Type of Work to be Done in Accordance with Schedule C	Dollar Credit Toward MBE/WBE Goals	
ega kantusak sa sa katawa sa s	e de transce de	MBE	WBE
Terra Engineering	Civil Eng.	\$	s 65,376
		\$	S
		\$	S
		\$	Š .
		5	5
	and the state of 	5	\$
		\$	\$
	Total Net MBE/WBE Credit	ş	\$ 65,376
· ·	Percent of Total Base Bid	%	100 %

The General Contractor may count toward its MBE/WBE goal a portion of the total dollar value of a contract with a joint venture equal to the percentage of the ownership and control of the MBE/WBE partner.

SCHEDULE D - Affidavit of General Contractor Regarding MBE/WBE Participation (2 of 2)

SUB-SUBCONTRACTING LEVELS

Joint Venture Partner (Print) Date	Signature Name (Print)
Joint Venture Partner (Print)	Signature
By:	
IF APPLICABLE:	
Phone	
Date 312-467-0123	Name (Print)
August 18, 2009	Signature V Karen S. Steingraber
Terra Engineering, Ltd. Name of Contractor (Print)	Jan Sh
Ву:	M. IW.
Commission, and will do so within five (5) bu from the Commission.	siness days of receipt of a notice of Contract award
THE WAR HITS, CONTINUED THE DOOR DOOR	ement for the above work with the above-referenced rmance as Contractor of a Contract with the
If more than 10% of the value of the MBE/V brief explanation and description of the work to	VBE subcontractor's scope of work will be sublet, a to be sublet must be provided.
if MBE/WBE subcontractor will not be sub Schedule, a zero (0) must be filled in each bla	-subcontracting any of the work described in this ank above.
contractors.	EWBE subcontract will be sublet to MBEWBE
0 % of the dollar value of the MB	

ATTACHMENT F DISCLOSURE OF RETAINED PARTIES

A.	Definitions and	Disclosure Requirements	i	
1.	As used herein, "Consultant" means a person or entity that has any contract with the Public Building Commission of Chicago ("Commission").			
2.	Commission bids, contracts, and/or qualification submittals must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.			
3.	"Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.			
B.	Certification Consultant hereb	y certifies as follows:		
1.	This Disclosure r	elates to the following trans	action: Marshall EOF	Contract
	Description or goods or services to be provided under Contract: Civil engineering services			
2.	Name of Consult	ant: Terra Engineering	g, Ltd.	
3.			ticipated to be retained by t I below. Attach additional pa	he Consultant with respect to or in ages if necessary.
Retained	d Parties:			
	Name	Business Address	Relationship (Attorney,	Fees (indicate whether
			Lobbyist, etc.)	paid or estimated)
Check H	lere If No Such Pe	rsons Have been Retained	or Are Anticipated To Be Re	tained: X

4. The Consultant understands and agrees as follows:

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
- b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
- c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury. I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.

7/30/09

Signature

Date

Karen Steingraber, P.E.

President

Name (Type or Print)

Title

Subscribed and sworn to before me

this _

30th

_ day of _July ___ 20__

Notary Public

OFFICIAL SEAL
GUAT H LIM
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPIRES: 10/13/12

Date:	<u>7/30/09</u>

DISCLOSURE AFFIDAVIT

ARCHITECT OF RECORD SERVICES MARSHALL CAMPUS PARK PS1672

Name: <u>Terra Engineeri</u>	ng, Ltd.			_
Address: 225 W. Ohio Stre	eet, 4 th Floor, Chicag	o, IL 60654		
Telephone No.: <u>312-467</u>	-0123			
Federal Employer I.D. #.:3	6-3853964	_Social Securi	ity #:	
Nature of Transaction:				
[] Sale or purchase of land [] Construction Contract [X] Professional Services Agr [] Other	reement			
Instructions: FOR USE proposing one of the above to complete this Disclosure Affithe joint venture and each of Affidavit.	ransactions with the davit. Please note the	Public Building at in the event	ng Commission of C the Contractor is a j	Chicago must joint venture,
The undersigned Karen Stei	ingraber, as	President		
(Nam		(Title)		
and on behalf ofTerra E	Engineering, Ltd.		A	
("Bidder/ Proposer" or "Contr	ractor") having been	duly sworn un	der oath certifies tha	ıt:
I. DISCLOSURE OF OW	NERSHIP INTERI	ESTS		
Pursuant to Resolution No. Commission of Chicago, all libid/proposal. If the question answer "none".	bidders/proposers sh	all provide the	following informati	ion with their
Bidder/Proposer is a:	[X] Corporation [] Partnership [] Joint Venture [] Sole Proprieto		[] LLC [] LLP [] Not-for-Profit C [] Other	Corporation

SECTION 1.

FOR PROFIT CORPORATION OR LIMITED LIABILITY COMPANY (LLC)

a. State of Incorporation or	organization <u>Illir</u>	<u>nois</u>	· · · · · · · · · · · · · · · · · · ·
b. Authorized to do busines	ss in the State of Illinois:	Yes [X] No []	
c. Names of all officers of (or attach			tors of corporation ch list):
Name (Print or Type) T	itle (Print or Type)	Name (Print or Type)	Title (Print or Type)
Karen Steingraber	President	Karen Steingraber	<u>President</u>
Jami Bou-Saab	Vice President	Jami Bou-Saab	Vice President
·		Suhair Jasevious	<u>Director</u>
	ewer than 100 sharehold ders and the percentage in	ders indicate here or attach nterest of each.	a list of names and
Name (Print or Type)		Address	Ownership
Karen Steingraber	1464 W. Pensa	icola, Chicago, IL	Interest%
Jamil Bou-Saab	1023 S. Home	Ave, Oak Park, IL 60304_	43%
****		· 	%
addresses of all shareho	lders owning shares equa	rs, indicate here or attach al to or in excess of seven rporation and indicate the p Address	and one-half percent percentage interest of Ownership Interest
			%
10 MM 1 1			%
		***************************************	%
f. For LLC's, state whether	member-managed or ide	entify managing member:	

g.	For LLC's identify each member:		
	Name (Print or Type)	Address	Ownership Interest
			%
_			%
_			%
h.	Is the corporation or LLC owned plegal entities? Yes [] No [partially or completely by one or more	other corporations or
		on, as applicable, for each such corporati	ion or entity.
SE	CTION 2. PARTNERSHIPS		
a.	If the bidder/proposer is a partnersl interest of each therein. Also indic partner (LP)	nip, indicate the name of each partner a cate, if applicable, whether general par	and the percentage of tner (GP) or limited
	Name of Partner	rs (Print or Type)	Percentage Interest
	May 1944		%
			%
			%
SE	CTION 3. SOLE PROPRIETORSE	ПР	
a.	The bidder/proposer is a sole propri behalf of any beneficiary: Yes [] If NO, complete items b. and c. of the	ietorship and is not acting in any repres No [] is Section 3.	sentative capacity on
b.	If the sole proprietorship is held by a the agent or nominee holds such inter	n agent(s) or a nominee(s), indicate the prest.	orincipal(s) for whom
	Name(s)	of Principal(s). (Print or Type)	
	If the internal of		
c.	entity, state the name and address relationship under which such control	r party is constructively controlled by an of such person or entity possessing so I is being or may exercised.	other person or legal uch control and the

Name(s)	Address	(es)
If the bidder/proposer is	s a land trust, business trus	STS, ESTATES & OTHER t, estate or other similar coming legal title as well as eac	umercial or legal entity,
behalf title is held include Name(ding the name, address and	percentage of interest of eac Address(h beneficiary.
	R-PROFIT CORPORAT		
	and directors of corporation	on (or attach list):	
Name (Print or Type)	Title (Print or Type)		Title (Print or Type)
	· · · · · · · · · · · · · · · · · · ·	debby	

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

II. CONTRACTOR CERTIFICATION

A. CONTRACTOR

- 1. The Contractor, or any subcontractor to be used in the performance of this contract, or any affiliated entities of the Contractor or any such subcontractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such subcontractor or any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification or if a subcontractor or subcontractor's affiliated entity during a period of three years prior to the date of award of the subcontract:
 - a. Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or
 - b. Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or
 - c. Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.
- 2. The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.³
- 3. The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating⁴ in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.
- 4. The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.
- 5. The Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal, state or local department or agency.
 - b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgement rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a

public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

- c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and
- d. Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

B. SUBCONTRACTOR

- 1. The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, certifications substantially in the form of Section 1 of this Disclosure Affidavit. Based on such certification(s) and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.
- The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.
- 3. For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.
- 4. The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.

5. The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontractor if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontractor as required by this certification.

C. STATE TAX DELINQUENCIES

- 1. The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.
- 2. Alternatively, the contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

3.	If the Contractor is unable to certify to any of the above statements [(Section II (C)], the Contractor shall explain below. Attach additional pages if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

4. If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

D. OTHER TAXES/FEES

- 1. The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.
- If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

E. ANTI-COLLUSION

The Contractor, its agents, officers or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this proposal or contract. Failure to attest to this section as part of the bid will make the bid non-responsive and not eligible for award consideration.

F. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

G. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

1.	The Contractor is not a party to any pending lawsuits against the City of Chicago or the
	Public Building Commission of Chicago nor has Contractor been sued by the City of
	Chicago or the Public Building Commission of Chicago in any judicial or administrative
	proceeding.

۷.	number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.
	Transit additional sheets it necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

III. CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

A. Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction⁵, (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

B. Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.

C. Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

IV. CERTIFICATION OF COURT-ORDERED CHILD SUPPORT COMPLIANCE

For purpose of this Section IV, "SUBSTANTIAL OWNER" means any person who owns or holds a ten percent (10%) or more percentage of interest in the Contractor. If the Contractor is an individual or sole proprietorship, substantial owner means that individual or sole proprietorship. Percentage of interest includes direct, indirect and beneficial interests in the Contractor. Indirect or beneficial interest means that an interest in the Contractor is held by a corporation, joint venture, trust, partnership, association, state or other legal entity in which the individual holds an interest or by agent(s) or nominee(s) on behalf of an individual or entity. For example, if Corporation B holds or owns a twenty percent (20%) interest in Contractor, and an individual or entity has a fifty percent (50%) or more percentage of interest in Corporation B, then such individual or entity indirectly has a ten (10%) or percentage of interest in the Contractor. In this case, the response to this Section IV, must cover such individual(s) or entity. If Corporation B is held by another entity, then this analysis similarly must be applied to that next entity.

If Contractor's response in this Section IV is 1 or 2, then all of the Contractor's Substantial Owners must remain in compliance with any such child support obligations (1) throughout the term of the contract and any extensions thereof; or (2) until the performance of the contract is completed, as applicable. Failure of Contractor's Substantial Owners to remain in compliance with their child support obligations in the manner set froth in either 1 or 2 constitutes an event of default.

Check one:

- X No Substantial Owner has been declared in arrearage on his or her child support obligations by the Circuit Court of Cook County or by another Illinois court of competent jurisdiction.
 The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on their child support obligations. All such Substantial Owners, however, have entered into court-approved agreements for the payment of all such child support owed, and all such Substantial Owners are in compliance with such agreements.
 The Circuit Court of Cook County or another Illinois court of competent jurisdiction has issued an order declaring one or more Substantial Owners in arrearage on
- their child support obligations and: (1) at least one such Substantial Owner has not entered into a court-approved agreement for the payment of all such child support for the payment of all such child support owed; or both (1) and (2).
- 4. ____ There are no Substantial Owners.

V. INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the

Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

VI. VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public is ny of

completing and submitting a new Disclosure grounds for your firm to be deemed non-q	n 14 days of the effective date of such change re Affidavit. Failure to comply with this requirement qualified to do business with the PBCC. Deliver a dic Building Commission of Chicago, Director 0, Chicago, IL 60602. Signature of Authorized Officer
	<u>Karen Steingraber, P.E.</u> Name of Authorized Officer (Print or Type)
	Name of Authorized Officer (Print or Type)
	<u>President</u>
	Title 312-467-0123
_	312-407-0123
	Telephone Number
State of <u>Illinois</u> County of <u>Cook</u>	
Signed and sworn to before me on this <u>30th</u>	day ofJuly, 20_09_by
Karen Steingraber (N	Name) as <u>President</u> (Title) of
Terra Engineering, Ltd.	(Bidder/Proposer or Contractor)
Notary Cublic	OFFICIAL SEAL GUAT H LIM NOTARY PUBLIC: STATE OF ILLINOIS SIGNA CUMMISSION PROPIRES: 10/13/12

Notes 1-5 Disclosure Affidavit

- Business entities are affiliated if, directly or indirectly, one controls or has the power to control the other, or if a third person controls or has the power to control both entities. Indicia of control include without limitation: interlocking management or ownership; identity of interests among family members; shared facilities and equipment; common use of employees; or organization of another business entity using substantially the same management, ownership or principals as the first entity.
- 2. For purposes of Section II (A) (2) of this certification, a person commits the offense of and engages in bidrigging when he knowingly agrees with any person who is, or but for such agreement should be, a competitor
 of such person concerning any bid submitted or not submitted by such person or another to a unit of state or
 local government when with the intent that the bid submitted or not submitted will result in the award of a
 contract to such person or another and he either (1) provides such person or receives from another
 information concerning the price or other material term or terms of the bid which would otherwise not be
 disclosed to a competitor in an independent non-collusive submission of bids or (2) submits a bid that is of
 such a price or other material term or terms that he does not intend the bid to be accepted. see 720 ILCS
 5/33-E-3.
- 3. No corporation shall be barred from contracting with any unit of state or local government as a result of a conviction, under either Section 33E-3 or Section 33E-4 of Article 33 of the State of Illinois Criminal Code of 1961, as amended, of any employee or agent of such corporation if the employee so convicted is no longer employed by the corporation and: (1) it has been finally adjudicated not guilty or (2) it demonstrates to the governmental entity with which it seeks to contract and that entity finds that the commission of the offense was neither authorized, requested, commanded, nor performed by a director, officer or a high managerial agent on behalf of the corporation as provided in paragraph (2) of subsection (a) of Section 5-4 of the State of Illinois Criminal Code.
- 4. For purposes of Section II(A) of this certification, a person commits the offense of and engages in bid rotating when, pursuant to any collusive scheme or agreement with another, he engages in a pattern over time (which, for the purposes hereof, shall include at least three contract bids within a period of ten years, the most recent of which occurs after January 1, 1989) of submitting sealed bids to units of state or local government with the intent that the award of such bids rotates, or is distributed among, persons or business entities which submit bids on a substantial number of the same contracts. See 720 ILCS 5/33E-4.
- "Environmental Restriction" means any statute, ordinance, rule, regulation, permit, permit condition, order or directive relating to or imposing liability or standards of conduct concerning the release or threatened release of hazardous materials, special wastes or other contaminants into the environment, and to the generation, use, storage, transportation, or disposal of construction debris, bulk waste, refuse, garbage, solid wastes, hazardous materials, special wastes or other contaminants including but not limited to (1) Section 7-28-440 or 11-4-1500 or Article XIV of Chapter 11-4 or Chapter 7-28 or 11-4 of the Municipal Code of Chicago; (2) Comprehensive Environment Response and Compensation and Liability Act (42 U.S.C. § 9601 et seq.) the Hazardous Material Transportation Act (49 U.S.C. § 1801 et seq.); (4) the Resource Conversation and Recovery Act of 1976 (42 U.S.C. § 7401 et seq.); (5) the Clean Water Act (33 U.S.C. § 1251 et seq.); (6) the Clean Air Act (42 U.S.C. § 7401 et seq.); (7) the Toxic Substances Control Act of 1976 (15 U.S.C. § 2601 et seq.); (8) the Safe Drinking Water Act (42 U.S.C. § 300f); (9) the Occupational Health and Safety Act of 1970 (29 U.S.C. § 651 et seq.); (10) the Emergency Planning and Community Right to Know Act (42 U.S.C. § 11001 et seq.); and (10) the Illinois Environmental Protection Act (415 ILCS 5/1 through 5/56.6).

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EXHIBIT C

ELECTRONIC FILE TRANSFER AGREEMENT

	· · · · · · · · · · · · · · · · · · ·				
Betv	veen the Engineer and the Owner				
Owr	er: Public Building Commission of Chicago (PBC)				
RE:	ELECTRONIC MEDIA				
PRO	DJECT NAME AND NO.:				
DES	CRIPTION OF DATA: This Agreement shall apply to all Electronic Drawings which are listed and otherwise identified in an attached cover letter(s) to the PBC				
TER	MS OF AGREEMENT:				
	The PBC acknowledges that it has requested Engineer to provide certain designs as electronic drawing file data in disk format and that the information contained on these disks is provided for its sole use and convenience. The PBC, at its own discretion, may choose to reassign this data to a third party, to whom all terms of this agreement shall also apply, by obtaining the third party's signature on the line below and sending a signed copy to Engineer.				
	The undersigned further acknowledges that the true record of the design is the most recent printed copy of the design by Engineer, and that errors and other changes may subsequently be introduced to the electronic format without the fault or knowledge of, and beyond the control of Engineer.				
	The PBC and Engineer hereby acknowledge and agree that to the extent the PBC, its agents, employees, consultants or contractors modify a design on electronic drawing file data such that the design differs from the last sealed hard copy prepared by the Engineer, the PBC shall be responsible for any cost or harm incurred by the PBC due such modification.				
	This Data is an instrument of professional service prepared by Engineer. Unless otherwise provided for in the Agreement, the reuse of this data, including designs and information included therein shall be at the sole risk of the user.				
Engi	neer;				
Engi	neer Authorized Signature [date]				
Ackr	nowledged and Accepted for:				
Signa	ature of PBC Executive Director [date]				
Ackr	owledged and Accepted by Third Party:				
Signa	ature of Third Party [date]				

Page 58 of 58

Exhibit D

(Rev. October 2007)

Request for Taxpayer Identification Number and Certification

Give form to the requester. Do not

Depar Intern	tment of the Treasury at Revenue Service	- Republication Number and Certifica	tion	requester. Do not send to the IRS.					
	Name (as shown of	Name (as shown on your income tax return)							
e 2.	1	EURA ENGLYBERGING LTD.							
Business name, if different from above									
등									
မ္	Check appropriate box: Individual/Sole proprietor Corporation Partnership								
충호	Limited liability								
2 5	ship) ▶[_ Exempt payee							
Print or type Instructions	Other (see instru	street, and apt. or suite no.)		раува					
Print or type Specific Instructions	77	25 W. Ohio St. 4th floor 1 188	quester's name and addr	ess (optional)					
ě	City, state, and ZIF								
জ		115dh Il 60654							
See	List account numb	r(s) here (optional)		<u></u>					
	<u> </u>								
Par	t Taxpaye	r identification Number (TIN)							
Entar									
backı	your in the app ID withholding For	ropriate box. The TIN provided must match the name given on Line 1 to av	oid Social security	number					
alien.	sole proprietor, or a	disrogarded earlier your social security number (SSN). However, for a residen	nt l	;					
		The second secon	is ———	·!					
11010.	" " " account is in	more than one name, see the chart on page 4 for guidelines on whose		or					
			Employer identi	fication number					
Pari	Certifica	tion	<u> </u>	53464					
Under	penalties of perjun	. Certify that:							
1. Th	e number shown or	this form is my correct towns to the time.							
2. la	m not subject to ba	this form is my correct taxpayer identification number (or I am waiting for a	a number to be issued	to me), and					
Re	venue Service (IRS)	that I am subject to begin withholding, or (b)	I have not been notifi	ed by the Internal					
HQ	uneu me that I am I	no longer subject to backup withholding and	interest or dividends,	or (c) the IRS has					
3. Ia	m a U.S. citizen or	other U.S. person (defined below)							
arrange	artgage interest paid ement (IRA), and ge	You must cross out item 2 above if you have been notified by the IRS that have failed to report all interest and dividends on your tax return. For real est, acquisition or abandonment of secured property, cancellation of debt, connerally, payments other than interest and dividends, you are not required to see the instructions on page at.	idio itansactions itan	0.2 door not annue.					

Signature of Here U.S. person ▶

General Instructions Section references are to the Internal Revenue Code unless otherwise noted.

Purpose of Form

Sign

A person who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) to report, for example, income paid to you, real estate transactions, mortgage interest you paid, acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN to the person requesting it (the requester) and, when applicable, to:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
 - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income.

Note. If a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien,
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States.
- An estate (other than a foreign estate), or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax on any foreign partners' share of income from such business. Further, in certain cases where a Form W-9 has not been received, a partnership is required to presume that a partner is a foreign person, and pay the withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid withholding on your share of partnership income.

The person who gives Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States is in the following cases:

The U.S. owner of a disregarded entity and not the entity,

- The U.S. grantor or other owner of a grantor trust and not the trust, and
- The U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person, do not use Form W-9. Instead, use the appropriate Form W-8 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

- The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
 - 2. The treaty article addressing the income.
- The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
- The type and amount of income that qualifies for the exemption from tax.
- Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity not subject to backup withholding, give the requester the appropriate completed Form W-8.

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

- 1. You do not furnish your TIN to the requester,
- You do not certify your TIN when required (see the Part II instructions on page 3 for details),
- 3. The IRS tells the requester that you furnished an incorrect TIN, $\,$

- The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or
- 5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See the instructions below and the separate Instructions for the Requester of Form W-9.

Also see Special rules for partnerships on page 1.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Name

If you are an individual, you must generally enter the name shown on your income tax return. However, if you have changed your last name, for instance, due to marriage without informing the Social Security Administration of the name change, enter your first name, the last name shown on your social security card, and your new last name.

If the account is in joint names, list first, and then circle, the name of the person or entity whose number you entered in Part I of the form.

Sole proprietor. Enter your individual name as shown on your income tax return on the "Name" line. You may enter your business, trade, or "doing business as (DBA)" name on the "Business name" line.

Limited flability company (LLC). Check the "Limited liability company" box only and enter the appropriate code for the tax classification ("D" for disregarded entity, "C" for corporation, "P" for partnership) in the space provided.

For a single-member LLC (including a foreign LLC with a domestic owner) that is disregarded as an entity separate from its owner under Regulations section 301.7701-3, enter the owner's name on the "Name" line. Enter the LLC's name on the "Business name" line.

For an LLC classified as a partnership or a corporation, enter the LLC's name on the "Name" line and any business, trade, or DBA name on the "Business name" line.

Other entities. Enter your business name as shown on required federal tax documents on the "Name" line. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on the "Business name" line.

Note. You are requested to check the appropriate box for your status (individual/sole proprietor, corporation, etc.).

Exempt Payee

If you are exempt from backup withholding, enter your name as described above and check the appropriate box for your status, then check the "Exempt payee" box in the line following the business name, sign and date the form.

Generally, individuals (including sole proprietors) are not exempt from backup withholding. Corporations are exempt from backup withholding for certain payments, such as interest and dividends.

Note. If you are exempt from backup withholding, you should still complete this form to avoid possible erroneous backup withholding.

The following payees are exempt from backup withholding:

- 1. An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2),
- 2. The United States or any of its agencies or instrumentalities,
- A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities,
- A foreign government or any of its political subdivisions, agencies, or instrumentalities, or
- An international organization or any of its agencies or instrumentalities.

Other payees that may be exempt from backup withholding include:

- 6. A corporation.
- 7. A foreign central bank of issue,
- 8. A dealer in securities or commodities required to register in the United States, the District of Columbia, or a possession of the United States.
- A futures commission merchant registered with the Commodity Futures Trading Commission,
 - 10. A real estate investment trust,
- 11. An entity registered at all times during the tax year under the investment Company Act of 1940,
- A common trust fund operated by a bank under section 584(a).
 - 13. A financial institution,
- 14. A middleman known in the investment community as a nominee or custodian, or
- 15. A trust exempt from tax under section 664 or described in section 4947.

The chart below shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 15.

IF the payment is for	THEN the payment is exempt for				
Interest and dividend payments	All exempt payees except for 9				
Broker transactions	Exempt payees 1 through 13. Also, a person registered under the Investment Advisers Act of 1940 who regularly acts as a broker				
Barter exchange transactions and patronage dividends	Exempt payees 1 through 5				
Payments over \$600 required to be reported and direct sales over \$5,000	Generally, exempt payees 1 through 7				

See Form 1099-MISC, Miscellaneous income, and its instructions.

However, the following payments made to a corporation (including gross proceeds paid to an attorney under section 6045(f), even if the attorney is a corporation) and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, and payments for services paid by a federal executive agency.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited liability company (LLC)* on page 2), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local Social Security Administration office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting www.irs.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, write "Applied For" in the space for the TiN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TiN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TiN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded domestic entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, and 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). Exempt payees, see Exempt Payee on page 2.

Signature requirements. Complete the certification as indicated in 1 through 5 below.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983. You must give your correct TiN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983. You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.

- 3. Real estate transactions. You must sign the certification. You may cross out item 2 of the certification.
- 4. Other payments. You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions. You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

o dito ino ricquester
Give name and SSN of:
The individual
The actual owner of the account or, if combined funds, the first individual on the account'
The minor *
The grantor-trustee 1
The actual owner '
The owner *
Give name and EIN of:
The owner
Legal entity *
The corporation
The organization
The partnership
The broker or nominee
The public entity

List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, social security number (SSN), or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- · Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

Call the IRS at 1-800-829-1040 if you think your identity has been used inappropriately for tax purposes.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to *phishing@irs.gov*. You may also report misuse of the IRS name, logo, or other IRS personal property to the Treasury Inspector General for Tax Administration at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: *spam@uce.gov* or contact them at *www.consumer.gov/idtheft* or 1-877-IDTHEFT(438-4338).

Visit the IRS website at www.irs.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons who must file information returns with the IRS to report interest, dividends, and certain other income paid to you, mortgage interest you paid, the acquisition or abandonment of secured property, cancellation of debt, or contributions you made to an IRA, or Archer MSA or HSA. The IRS uses the numbers for identification purposes and to help verify the accuracy of your tax return. The IRS may also provide this information to the Department of Justice for civil and criminal litigation, and to cities, states, the District of Columbia, and U.S. possessions to carry out their tax laws. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You must provide your TIN whether or not you are required to file a tax return. Payers must generally withhold 28% of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to a payer. Certain penalties may also apply.

²Circle the minor's name and furnish the minor's SSN.

³You must show your individual name and you may also enter your business or "DBA" name on the second name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see Special rules for partnerships on page 1.



CERTIFICATE OF INSURANCE

SUCH INSURANCE AS RESPECTS THE INTEREST OF THE CERTIFICATE HOLDER NAMED BELOW WILL NOT BE CANCELED OR OTHERIVISE TERMINATED WITHOUT GIVING 10 DAYS PRIOR WRITTEN NOTICE TO THE CERTIFICATE HOLDER, BUT IN NO EVENT SHALL THIS CERTIFICATE BE VALID MORE THAN 30 DAYS FROM THE DATE WRITTEN. THIS CERTIFICATE OF INSURANCE DOES NOT CHANGE THE COVERAGE PROVIDED BY ANY POLICY DESCRIBED BELOW.

	STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY of Bloomington, Illinois STATE FARM FIRE AND CASUALTY COMPANY of Bloomington, Illinois STATE FARM COUNTY MILTIAL INSURANCE COMPANY of Bloomington, Illinois							
L	JSTATE FA	ARM COUNT	TY MUTUA	L INSURANC	E COMPAN	minätoli' ilill	noomington, lois AS of Dallas	Texas or
has coverage in force	for the follow	Ving Named	Insured a	AL INSUKANO PANY of Bloc s shown below	mington, III "	inois		randa , Or
		ering LTD				- 		
				TOOP CHICKO				· · · · · · · · · · · · · · · · · · ·
ADDRESS OF NAMED INSUFED: 225 W.OHIO ST., 4TH FLOOR, CHICAGO, IL 60654 POLICY NUMBER 562-5770-E27-13C 562-5773-E27-13E								
EFFECTIVE DATE								
OF POLICY	05/27/09 2002 JEE) :P	05/27/	09				
DESCRIPTION OF VEHICLE (Including VIN)	1 J4GX468	82C255045	2005 J 1J4GR4	EEP 8K75C544268		· · · · · · · · · · · · · · · · · · ·		
LIABILITY COVERAGE	[] YES	□ NO	⊠ YES	□ NO	YES	□NO	773.4776	
LIMITS OF LIABILITY a. Bodily Injury					<u></u>		YES	□ NO
Each Person	1 404		1,8M					
Each Accident	1 4M		1MM					
b. Property Damage								
c. Bodily Injury &	3 30		500					
Property Damage Single Limit								
Each Accident PHYSICAL DAMAGE							<u> </u>	
COVERAGES	21YES		⊠ YES		YES	□ NO	YES	□NO
a. Comprehensive	\$ 500 21YES	Deductible	\$ 500	Deductible	\$	Deductible	\$	Deductible
b. Collision	\$ 500	☐ NO Deductible	⊠ YES \$ 500	☐ NO Deductible	YES	□ NO	YES	□NO
EMPLOYERS NON-OWNED CAR LIABILITY COVERAGE	[]YES	□NO	YES			Deductible	\$	Deductible
HIRED CAR LIABILITY	[]YES	□NO		□ NO	☐ YE\$	NO	YES	□ NO
FLEET - COVERAGE FOR	L 1123	LI NO	YES	□ NO	YES	Пио	YES	NO
ALLOWNED AND LICENSED MOTOR VEHICLES	[]YES	□NO	YES	□NO	☐ YES	□NO	YES	□NO
Signature of Authorized Repres	ser lativé		AGE			46 lent's Code Nur	8/1	0/09
Name and Address of Certification Public Building Committee Public Bui	fici ite Holder	A		Name and Add	ress of Agen	t t	mber Da	te
RICHARD J. DALEY CENT	TER ROOM 2	CHICAGO 00	Í	MIKE MONAGH 2609 W PETE	AN RSON AVE			
50 WEST WASHINGTON ST CHICAGO, IL 60602		CHICAGO, IL	60659					
PROJECT NAME: CIVIL ENGINEERING RETAINER CONTRACT							,	
ADDITIONAL INSURED: PU	BUIC BUIL	DING COMMI	NCIE					
CHICAGO PARK DISTRICT	7				٨	1 on		İ
BOARD OF EDUCATION OF	o		John N	11/0/				
					. B/			



This certifies that

CERTIFICATE OF INSURANCE

☐ STATE F	ARM FIRE AND CASUALTY COMPANY, Bloomington, Illinois
STATE F	ARM GENERAL INSURANCE COMPANY, Bicomington, Illinois
STATE	ARM FIRE AND CASUALTY COMPANY, Scarborough, Ultrois
STATE	ARM EL OPIDA INCLIDANCE COMPANY, Scarborough, Ontario
STATE	ARM FLORIDA INSURANCE COMPANY, Winter Haven, Florida

insures the tollowing p	olicyholder fo	or the coverages	indicated below:
-------------------------	----------------	------------------	------------------

Policyholder	TERRA ENGINEERING LTD C/O STEINGRABER, KAREN	
Address of policyholder	225 W CHIO ST 4 ^{2H} FLOOR	
Location of operations	CHICAGO , IL 60610-4221	
Description of operations	ADDL LOCATION: 401 MAIN ST STE 1130 PEORIA, IL 61602	

The policies listed below have been issued to the policyholder for the policy periods shown. The insurance described in these policies is subject to all the terms, exclusions, and conditions of those policies. The limits of liability shown may have been reduced by any paid

	TYPE OF INSURANCE Comprehensive	Effective Date			LIABILITY		
	Comprehensive		Expiration Date	(at beginning of policy period)			
		04/10/09	04/10/10		BODILY INJURY AND		
	Business Liability	<u>[</u>	•	ļ	PROPERTY DAMAGE		
This insurance includes:	Products - Completed C	perations			, TO CATT DAMAGE		
	☑ Contractual Liability			Each Occurrence	\$1,000,000		
	Personal Injury			220,7 0 0000,700	4 7,000,800		
	Advertising Injury			General Aggregate	\$2,000,000		
				Products - Completed	\$ 2,000,000		
	VALUABLE PAPERS 55			Operations Aggregate	, ,		
1	EXCESS LIABILITY		PERIOD	BODILY INJURY AND I	PROPERTY DAMAGE		
		Effective Date	Expiration Date	(Combined S	lingle Limit)		
1 .	Umbrella Umbrella	11/03/08	11/03/09	Each Occurrence	\$2,000,000		
	☐ Other			Aggregate	\$		
		POLICY	PERIOD	Part I - Workers Compe	sation - Statuton		
		Effective Date	Expiration Date		- Olektrory		
93-VQ-9573-5F	Workers' Compensation	05/26/09	05/26/10	Part II - Employers Liabi	lih		
	and Employers Liability			Each Accident	\$500,000		
	ł			Disease - Each Emplo			
				Disease - Policy Limit	\$ 500,000		
BOX ICV ANIARDED		POLICY	PERIOD	LIMITS OF I			
POLICY NUMBER	TYPE OF INSURANCE	Effective Date	Expiration Date	(at beginning of			

THE CERTIFICATE OF INSURANCE IS NOT A CONTRACT OF INSURANCE AND NEITHER AFFIRMATIVELY NOR NEGATIVELY AMENDS, EXTENDS OR ALTERS THE COVERAGE APPROVED BY ANY POLICY DESCRIBED HEREIN.

PROJECT NAME: CIVIL ENGINEERING RETAINER CONTRACT

CERTIFICAT HOLDER: PUBLIC BUILDING COMMISSION OF CHICAGO ROCJARD J DALEY CENTER, ROOM 200

50 W WASHINGTON STREET

CHICAGO IL 60602

ADDITIONAL INSURED: PUBLIC BUILDING COMMISSION OF CHICAGO CHICAGO PARK DISTRICT

If any of the described policies are canceled before their expiration date, State Farm will try to mail a written notice to the certificate holder 45 days before cancellation. If however, we fail to

mail such notice, no obligation or liability will be imposed on State Farm or its agents or

Signature of Authorized Representative

AGENT 08/10/09 Date NICK J PERISIN Agent Name Telephone Number (312) 791-0099

Agent's Code Stamp Agent Code 1304 AFO Code 0020

representatives

558-994 a.6 Rev. 11-08-2004 Printed in U.S.A.

4	4 <i>C</i>	O	RD, CERTIFI	CATE OF LIABI	LITY IN	S	URANCI	E		ATE (MM/DD/YYYY)
Wi 42 Su	DUCE lli 5 N ite	R S c Ma 11	of Illinois, Inc. artingale Rd.		THIS CONLY HOLDE	ER AN R.	TIFICATE IS ISS D CONFERS N THIS CERTIFIC	SUED AS A MATTER NO RIGHTS UPON T LATE DOES NOT AMI AFFORDED BY THE I	OF HE	CERTIFICATE
		mbu	rg IL 60173		INSURER	INSURERS AFFORDING COVERAGE				NAIC#
1	RED rra	En	gineering, Ltd.		INSURER A:	ACI	E American	Insurance Compa	any	22667
			n Steingraber		INSURER B:					
22	5 W	est	Ohio Street, 4th	Floor	INSURER C:					
Ch	ica	go	IL 60654		INSURER D:		······			
<u></u>	/ED	A ==			INSURER E:					
	VER				~		···	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
CER	TIFI 15,	CAT		BELOW HAVE BEEN ISSUED T TERM OR CONDITION OF ANY PERTAIN, THE INSURANCE A OF SUCH POLICIES. AGGREG	CONTRACT OF AFFORDED BY S SATE LIMITS S	r o' The Shoi	THER DOCUMENT POLICIES DES WN MAY HAVE B	WITH RESPECT TO WE CRIBED HEREIN IS SU EEN REDUCED BY PAID		
INSR	NSRI,		TYPE OF INSURANCE	POLICY NUMBER	DATE (MM/DD/Y	Ϋ́	POLICY EXPIRATION DATE (MM/DD/YY)	LIM	ITS	
		GEI	NERAL LIABILITY					EACH OCCURRENCE	\$	
		\vdash	COMMERCIAL GENERAL LIABILITY					PREMISES (Ea occurence)	5	
			CLAIMS MADE OCCUR	1				MED EXP (Any one person)	\$	
						ı		PERSONAL & ADV INJURY	\$	
								GENERAL AGGREGATE	\$	***
		GE	POLICY PRO-					PRODUCTS-COMP/OP AGG	\$	
		AUT	FOMOBILE LIABILITY ANY AUTO					COMBINED SINGLE LIMIT (Ea accident)	s	
			ALL OWNED AUTOS SCHEDULED AUTOS					BODILY INJURY (Per person)	\$	
			HIRED AUTOS NON-OWNED AUTOS				·	BODILY INJURY (Per accident)	\$	7.4
								PROPERTY DAMAGE (Per accident)	s	
		GAF	RAGE LIABILITY					AUTO ONLY - EA ACCIDENT	5	
			ANY AUTO					OTHER THAN EA ACC	\$	
								AUTO ONLY: AGG	\$	
		EXC	ESS/UMBRELLA LIABILITY					EACH OCCURRENCE	\$	
			OCCUR CLAIMS MADE					AGGREGATE	\$	
									\$	
		_	DEDUCTIBLE						\$	
	11100	<u></u>	RETENTION \$			-		WCSTATU- OTH-	\$	
			COMPENSATION AND RS' LIABILITY					TORY LIMITS ER	<u> </u>	
	ANY!	PROP	RIETOR/PARTNER/EXECUTIVE //EMBER EXCLUDED?					E.L. EACH ACCIDENT	S	
	If yes	desc	ribe under					E.L. DISEASE - EA EMPLOYEE	\$	
A	OTHE		PROVISIONS below	ECHANGE OF COLUMN		-		E.L. DISEASE - POLICY LIMIT		
A	Pro Lia		sional ity	EONN01879674005	3/1/2009			\$2,000,000 \$2,000,000		Claim regate
DESC	RIPTI	ON OF	OPERATIONS / LOCATIONS / VEHICL	ES / EXCLUSIONS ADDED BY ENDORSEM	ENT/SPECIAL PRO	VISIO	ONS	\ .9\		······································
*Exc	ept	for	Non-Payment, 10 Days.	ring Retainer Contract				4/11/81		
							ع کساز	501.		
							1 Cr			
CEF	TIFI	CAT	E HOLDER		CANCELL	ATI	ON			
					SHOULD AN	TY C	OF THE ABOVE D	DESCRIBED POLICIES	BE (CANCELLED
Richard J. Daley Center, Room 200 50 West Washington Street				BEFORE THE WILL ENDE CERTIFICA SHALL IMP	BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30* DAYS WRITTEN MOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.					
					AUTHORIZED	REPR	RESENTATIVE	uam. Jack	21	buru
ACC	RD:	25 (2	(001/08)				vjuo	@ ACORD COR		
		•	-					GACOKDCOR	·rU	WALION 1888

	AC	ORD	CERTIF	CATE OF LIA	ARII I	TYINS	HRANCI	=	DATE (MM/DD/YYYY)	
PR	ODUCE	R 1117		<u> </u>	\ U 'L'				8/10/2009	
42 St	5 N iite	Marting				HOLDER.	OF INFORMATION HE CERTIFICATE END, EXTEND OR POLICIES BELOW.			
	haur	mburg IL	60173			INSURERS A	NAIC#			
		Engineer	ring, Ltd.			INSURER A: AC	E American	Insurance Compa	ny22667	
Μs	. Ka	aren Ste:	ingraber			INSURER B:		<u> </u>		
22	5 We	est Ohio	Street, 4th	Floor		INSURER C:				
CI.	icag	30 IT 60	554			INSURER D:				
CC	VER	AGES				INSURER E:			_ l	
CEF	TIFIC MS,	CATE MAY B	E ISSUED OR MAY	D BELOW HAVE BEEN ISS TERM OR CONDITION OF PERTAIN, THE INSURA OF SUCH POLICIES. A	NCE YEEV	NTRACT OR O	THER DOCUMENT	WITH RESPECT TO WH	HICH THIS	
	ADD'L INSRD	1	OF INSURANCE	POLICY NUMBER	Į PC	LICY EFFECTIVE ATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMI		
		GENERAL LIAB	ILITY				DATE (MIN) OUT 1	EACH OCCURRENCE	s	
		COMMERC	CIAL GENERAL LIABILITY					DAMAGE TO RENTED PREMISES (Ea occurence)	s	
		CLAIN	MS MADEOCCUP					MED EXP (Any one person)	\$	
				-				PERSONAL & ADV INJURY	\$	
				-				GENERAL AGGREGATE	\$	
		GEN'L AGGREG	PRO- LOC	`				PRODUCTS - COMP/OP AGG	\$	
		AUTOMOBILE L	IABILITY					001		
		ANY AUTO						COMBINED SINGLE LIMIT (Ea accident)	\$	
		ALL OWNE	D AUTOS					BODILY INJURY		
		SCHEDULE	ED AUTOS					(Per person)	\$	
		- HIRED AUT	os					BÓDILY INJURY		
		NON-OWN	EDAUTOS					(Per accident)	\$	
								PROPERTY DAMAGE (Per accident)	\$	
		GARAGE LIABIL	ITY				:	AUTO ONLY - EA ACCIDENT	s	
		ANY AUTO						OTHER THAN EA ACC	s	
	<u> </u>		7					AUTO ONLY: AGG	\$	
		EXCESS/UMBRE	LLA LIABILITY					EACH OCCURRENCE	\$	
		OCCUR	CLAIMS MADE					AGGREGATE	\$	
		D	_				ļ		\$	
	<u> </u>	DEDUCTIBI					ļ		\$.	
	WORK	RETENTION						WC STATU- OTH-	\$	
	EMPL	OYERS' LIABILIT	Υ				-	TORY LIMITS ER		
	ANY P	ROPRIETOR/PAR ER/MEMBER EXC	TNER/EXECUTIVE LUDED?				ļ	E.L. EACH ACCIDENT	\$	
	If yes,	describe under IAL PROVISIONS	below					E.L. DISEASE - EA EMPLOYEE		
4	OTHE	R		EONN01879674005	3/	1/2009	3/1/2010	E.L. DISEASE - POLICY LIMIT \$2,000,000	Per Claim	
		essional ility				1/2009		'	Aggregate	
DEŞ	RIPTIO	N OF OPERATION	NS / LOCATIONS / VEHICL	LES / EXCLUSIONS ADDED BY END	ORSEMENT!	SPECIAL PROVISIO	NIC .	19		
Exc	ept :	for Non-Pa	vment, 10 Davs.			or Coire Providic		1/1/8/		
10,	ecc/i	Reference:	Civii Enginee	ering Retainer Contra	ict		ري	4/11/8)		
							Jan Je		İ	
							1 Ch			
CEI	RTIFIC	ATE HOLDE	ER			CANCELLATI				
			·					ESCRIBED POLICIES I	RE CANCELLES	
Public Building Commission of Chicago				I E	BEFORE THE E	EXPIRATION DAT	E THEREOF, THE ISSI	HING INSHRED		
		Richard	J. Daley Cen	ter, Room 200	T I	ERTIFICATE	HOLDER NAMED	DAYS WRITTEN NOTIC TO THE LEFT, BUT FA	ATTITUE TO DO GO I	
			Washington S IL 60602	treet		HALL IMPOSE	S NO OBLIGATION	N OR LIABILITY OF A	ANY KIND UPON	
			55552		1	THE INSURER, ITS AGENTS OR REPRESENTATIVES.				
					T ⁷	AUTHORIZED REPRESENTATIVE				
-	CODD 25 (2004 (00)					Jackebury Jackebury				

ACORD 25 (2001/08)

@ ACORD CORPORATION 1988



CERTIFICATE OF INSURANCE

SUCH INSURANCE AS RESPECTS THE INTEREST OF THE CERTIFICATE HOLDER NAMED BELOW WILL NOT BE CANCELED OR OTHER/VISE TERMINATED WITHOUT GIVING 10 DAYS PRIOR WRITTEN MOTICE TO THE CERTIFICATE HOLDER, BUT IN NO EVENT SHALL THIS CERTIFICATE BE VALID MORE THAN 30 DAYS FROM THE DATE WRITTEN. THIS CERTIFICATE OF INSURANCE DOES NOT CHANGE THE COVERAGE PROVIDED BY

I his certifies that:	STATE FA	RM COUNT	Y MI ITHAL	DBILE INSUR LTY COMPA INSURANC	IN A OL BIOOL	nington, Illini	oomington, (ols S of Dallas,	lfinois Texas or
has coverage in force	for the follow	ring Named i	VIIY COMP insured as	PANY of Bloo	mington, Illi	nois	,	. =
****		ering LTD.		SHOWN DEIGW				***************************************
ADDRESS OF NAMED IN:	SUFED: 22	5 W.OHIO S	T.,4TH FI.	OOR, CHICAGO) TT 60654	·		
POLICY NUMBER	1	-E27-13¢			7713 00004			
EFFECTIVE DATE	302-3110	-527-13C	362-577	3-E27-13B		······································		
OF POLICY	05/27/09		05/27/0					
DESCRIPTION OF VEHICLE (Including VIN)	2002 JEE 1J4GX46S	82¢2\$5045	2005 JE 1J4GR48	EP K75C544268				
LIABILITY COVERAGE	[]YES	□ NO	⊠ YES	□ NO	YES	Пио	[]YES	□ NO
LIMITS OF LIABILITY a. Bodily Injury							1_1 11_0	LINU
Each Person	1 4M	***	1.MM					
Each Accident	1 4M		1MM					
b. Property Damage			·	W.d. *				
Each Accident c. Bodily Injury &	3)0	***************************************	500	·····				
Property Damage Single Limit						,		
Each Accident PHYSICAL DAMAGE		W				**************************************		
COVERAGES	[]YES	□ NO	⊠ YES	☐ NO	YES	□ NO	YES	□NO
a. Comprehensive	\$ 500	Deductible	\$ 500	Deductible	\$	Deductible	\$	Deductible
b. Collision	[] YES \$ 500	Deductible	X YES \$ 500	☐ NO Deductible	YES \$	☐ NO Deductible	☐ YES	☐ NO Deductible
EMPLOYERS NON-OWNED CAR LIABILITY COVERAGE	[]YES	□ NO	YES	□NO	☐ YES	□ NO	[] YES	□ NO
HIRED CAR LIABILITY COVERAGE	[]YES	□NO	YES	□NO	YES	Пио	YES	□ NO
FLEET - COVERAGE FOR ALL OWNED AND LICENSED MOTOR VEHICLES	[]YES	□NO	YES	Пио	YES	□NO	YES	
		V			L	<u> </u>	L. IES	□ №
			AGE	NT	80	946	9/1	0/09
Signature of Authorized Repre Name and Address of Cert			Titi			jent's Code Nu	***************************************	ite
PUBLIC BUILDING COMM	IIS SION OF	CHICAGO		Name and Add	iress of Ager	t		
RICHARD J. DALEY CEN	TER,ROOM 2	00	i	2609 W PETE	RSON AVE			
50 WEST WASHINGTON S CHICAGO, IL 60602	TR SET		,	CHICAGO, II	60659			
PROJECT NAME: CIVIL	en gineerin	IG RETAINER						
CONTRACT ADDITIONAL INSURED: P	UBJIC BUII	DING COMMI	SION					
OF CHICAGO					Ř.	1 m		
CHICAGO PARK DISTRIC BOARD OF EDUCATION O		OF CHICAG	0	(Josep V	11/02/		
						1		4

MRAT STATE	CERTIFICATE OF INSURANCE			
IN BUT HOUSE	rtifies that STATE FARM FIRE AND CASUALTY COMPANY, Bloomington, Illinois STATE FARM GENERAL INSURANCE COMPANY, Bloomington, Illinois STATE FARM FIRE AND CASUALTY COMPANY, Scarborough, Ontario STATE FARM FLORIDA INSURANCE COMPANY, Winter Haven, Florida STATE FARM LLOYDS, Dailas, Texas			
insures the following pol	cyholder for the coverages indicated below:			
Policyholder	TERRA ENGINEERING LTD C/O STEINGRABER, KAREN			
Address of policyholder	225 W CHIO ST 4 TH FLOOR			
Location of operations Description of operations	CHICAGO , IL 60610-4221			
	ADDL LOCATION: 401 MAIN ST STE 1130 PEORIA, 15 61602			

The policies listed below have been issued to the policyholder for the policy periods shown. The insurance described in these policies is subject to all the terms, exclusions, and conditions of those policies. The limits of liability shown may have been reduced by any paid claims.

93-KA-5490-6 F Comprehensive 04/10/09 04/10/10 BODILY INJURY.	POLICY NUMBER	TYPE OF INSURANCE	POLICY	PERIOD	LIMITS OF LIABILITY (at beginning of policy period)		
Comprehensive Business Liability This insurance includes: Products - Completed Operations Contractual Liability Personal Injury Policy Personal Injury Personal In			Effective Date	Expiration Date			
This insurance includes: Susiness Liability PROPERTY DAM.			04/10/09	04/10/10		BODILY INJURY AND	
Policy Period Workers' Compensation Workers' Compensation and Employers Liability Policy NUMBER Personal Injury Each Occurrence \$1,000,000 Each Occurrence \$1,000,000 Each Occurrence \$2,000,000 Products - Completed \$2,000,00	***************************************		1	1		PROPERTY DAMAGE	
Personal Injury Advertising Injury Advertising Injury Advertising Injury Character Papers \$5000. LIMIT Products - Completed \$2,000,000 Operations Aggregate EXCESS LIABILITY Operations Aggregate POLICY PERIOD Effective Date Expiration Date 11/03/08 11/03/09 Each Occurrence \$2,000,000 Aggregate \$ POLICY PERIOD Effective Date Expiration Date POLICY PERIOD Effective Date Expiration Date O5/26/09 05/26/10 Part II - Employers Liability Each Accident \$500,000 Disease - Bach Employee \$500,000 Disease - Policy Limit \$500,000 Disease - Policy Limit \$500,000	This insurance includes:	Products - Completed (Operations				
Personal Injury Advertising Injury Advertising Injury Advertising Injury Advertising Injury Products - Completed \$2,000,000		☑ Contractual Liability		Each Occurrence	\$1,000,000		
Products - Completed \$2,000,000 VALUABLE PAPERS \$5000. LIMIT Operations Aggregate		Personal Injury			,		
POLICY NUMBER EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY Effective Date		Advertising Injury □			General Aggregate	\$ 2,000,000	
POLICY NUMBER EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY EXCESS LIABILITY Effective Date				Products - Completed	\$ 2,000,000		
EXCESS LIABILITY 93-06-3103-6F EXCESS LIABILITY □ Umbrelia □ Other POLICY PERIOD Effective Date Expiration Date (Combined Single Limit) 11/03/08 11/03/09 Each Occurrence \$2,000,000 Aggregate \$ POLICY PERIOD Effective Date Expiration Date Policy Date Expiration Date 05/26/09 05/26/10 Disease - Each Employee \$500,000 Disease - Policy Limit \$500,000 Disease - Policy Limit \$500,000 POLICY NUMBER Type OF INSURANCE POLICY PERIOD POLICY NUMBER Type OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY		▼ VALUABLE PAPERS 5:	SOCO. LIMIT		0 0,000,000		
93-06-3133-8F Umbrella	93-U6-3103-6F		POLICY PERIOD				
POLICY NUMBER Other POLICY PERIOD Effective Date Expiration Date C5/26/09 Policy Number POLICY PERIOD Effective Date Expiration Date C5/26/09 Part II - Employers Liability Each Accident \$300,000 Disease - Each Employee \$500,000 Disease - Policy Limit \$500,000 POLICY NUMBER Type OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY		EXCESS LIABILITY	Effective Date	Expiration Date			
93-VQ-9573-5F Workers' Compensation and Employers Liability POLICY NUMBER Other		☑ Umbrelia	11/03/08	11/03/09	Each Occurrence	\$ 2,000.000	
93-VQ-9573-5F Workers' Compensation and Employers Liability Effective Date Expiration Date 05/26/10 Part II - Employers Liability Each Accident \$300,000 Disease - Each Employee \$500,000 Disease - Policy Limit \$500,000 POLICY NUMBER Type OF INSURANCE POLICY NUMBER		Other			Aggregate		
93-VQ-9573-5F Workers' Compensation and Employers Liability Workers' Compensation and Employers Liability Bach Accident \$500,000 Disease - Each Employee \$500,000 Disease - Policy Number Type OF INSURANCE POLICY Number Type OF INSURANCE			POLICY PERIOD		Part I - Workers Compensation - Statutory		
and Employers Liability Each Accident \$ 500,000 Disease - Each Employee \$ 500,000 Disease - Policy Limit \$ 500,000 POLICY NUMBER TYPE OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY			Effective Date	Expiration Date	,	,	
Disease - Each Employee \$ 500,000 Disease - Policy Limit \$ 500,000 POLICY NUMBER TYPE OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY	93~VQ-9573-5F	Workers' Compensation	05/26/09	05/26/10	Part II - Employers Liab	ility	
POLICY NUMBER TYPE OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY		and Employers Liability		1	Each Accident	\$ 300,000	
POLICY NUMBER TYPE OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY			ļ		Disease - Each Empl	ovee \$ 500,000	
POLICY NUMBER TYPE OF INSURANCE POLICY PERIOD LIMITS OF LIABILITY					Disease - Policy Limit		
	POLICY NUMBER		POLICY	PERIOD	William Committee of the Committee of th		
		TYPE OF INSURANCE	Effective Date Expiration Date				
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THE CERTIFICATE OF INSURANCE IS NOT A CONTRACT OF INSURANCE AND NEITHER AFFIRMATIVELY NOR NEGATIVELY AMENDS, EXTENDS OR ALTERS THE COVERAGE APPROVED BY ANY POLICY DESCRIBED HEREIN.

PROJECT NAME: Name and Address of Certificate Holder CIVIL ENGINEERING RETAINER CONTRACT

CERTIFICAT HOLDER: PUBLIC BUILDING COMMISSION OF CHICAGO

ROCJARD J DALEY CENTER, ROOM 200

50 W WASHINGTON STREET

CHICAGO IL 60602

ADDITIONAL INSURED: PUBLIC BUILDING COMMISSION OF CHICAGO

CHICAGO PARK DISTRICT

45 days before cancellation, if however, we fail to mail such notice, no obligation or liability will be imposed on State Farm or its agents or representatives.

written notice to the certificate holder

If any of the described policies are canceled before

their expiration date, State Farm will try to mail a

Agent's Code Stamp Agent Code 1304 AFO Code 0020

All &