

Revised May 8, 2009
VERSION 2
PUBLIC BUILDING COMMISSION OF CHICAGO



**STATEMENT OF QUALIFICATIONS AND FINANCIAL CONDITION
FOR MICHAEL REESE HOSPITAL DEMOLITION AND ABATEMENT
CONTRACTOR WORK**

**REQUEST FOR QUALIFICATIONS (“RFQ”) Version 2
REVISED MAY 8, 2009**

Submittal due date: **Thursday, May 21, 2009**

Company Name: _____

Address: _____

City, State, ZIP: _____

Telephone No.: _____

Contact Name & Title: _____

Email / Fax: _____

This pre-qualification process is unique to the projects described herein and notwithstanding other pre-qualification by the Public Building Commission, all contractors wishing to bid the project(s) must be pre-qualified as defined in this RFQ.

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KEY INFORMATION ABOUT THIS RFQ

1. **RFQ CONTACT:** The RFQ Contact, identified below, is the sole point of contact regarding the RFQ from the date of issuance until selection of the successful Contractor.

Senior Contract Officer

Janice Meeks

Public Building Commission of Chicago

50 West Washington, Room 200

Chicago, Illinois 60602

Fax (312) 744-3572

janicemeeks@cityofchicago.org

2. **QUESTIONS:** Please direct all written questions (and requests for American Disabilities Act accommodations) to the RFQ Contact. PBC will post the answer in the Public Building Commission website, which may be viewed at www.pbcchicago.com.

3. **SUBMISSION DEADLINE AND PROCUREMENT TIMETABLE:** The following dates are set forth for informational and planning purposes; however, the Public Building Commission reserves the right to change the dates. Notice of any changes will be provided via addendum.

Issue RFQ.....April 10, 2009

Pre-Submittal ConferenceApril 15, 2009

Due Date and Time for Submissions.....May 21, 2009 at 4:00 PM Local Time

4. **PRE-SUBMITTAL CONFERENCE:** A Pre-Submittal Conference will be held on Wednesday, April 15, 2009, at 10:00 a.m., central time, at the Public Building Commission of Chicago – 2nd Floor Board Room. The Public Building Commission of Chicago is located at 50 West Washington Street, Chicago, Illinois 60602. Attendance at the Pre-submittal Conference is optional. The purpose of the Pre-submittal Conference is to discuss with prospective Contractors the work to be performed and allow prospective Contractors an opportunity to ask questions regarding the RFQ. Oral discussions at the Pre-submittal Conference will not be considered part of the RFQ unless confirmed in writing by the Public Building Commission in an addendum and incorporated into this RFQ.

5. **NUMBER OF COPIES:** Submit a signed original copy, five copies and four copies on compact disk (CD) of the Submission in a sealed envelope or container.

6. **SUBMIT QUALIFICATIONS TO:**

Janice Meeks, Sr. Contract Officer

Public Building Commission

50 West Washington Street, Room 200

Chicago, Illinois 60602

7. **RIGHT TO CANCEL:** The PBC reserves the right to cancel this procurement process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Contractors associated with this procurement process.

SUBMISSION CHECKLIST

Please review submission and ascertain that all applicable forms are complete and additional required documentation is attached. The submission must be signed by an authorized officer of the firm.

1. _____ Letter of Interest and Commitment
2. _____ Executive Summary
3. _____ Part I – General Information
4. _____ Copy of Joint Venture Agreement (if applicable)
5. _____ Copy of each applicable license
6. _____ Copy of current MBE/WBE certification letter (if applicable)
7. _____ Part II – Section A – NON-PBC Projects Experience for Michael Reese Hospital Demolition Contractor Work
8. _____ Part II – Section B - PBC Projects Experience
9. _____ Part II – Section C Key Personnel (Attach resumes of Key Personnel and detailed organization chart.)
10. _____ Part II- Section D Safety (Attach a copy of table of contents from Contractors' written safety program)
11. _____ Part III- Legal Actions (Attach additional information as necessary.)
12. _____ Part IV – Financial Capacity (Attach audited, reviewed or compiled financial statement)

Project Work Overview

1.0 Introduction

The Public Building Commission of Chicago (PBC) is seeking contractor qualifications for the demolition and abatement of the structures on the Michael Reese Hospital campus located at 29th Street and Cottage Grove Avenue in Chicago, Illinois. The campus includes 29 structures totaling approximately 1.6 million square feet.

Contractors will have the option to seek pre-qualification for Group A only, Group B only, Group C only, Group D only, Group E only, Group F only, or Group G only, or all Groups, or any combination. The PBC will determine if an applicant is qualified for one or more Groups. The approximate dollar values associated with each Group are provided solely for evaluation of comparable experience.

The PBC intends to separately procure fencing services, site security and pest control. These services are **not** included as part of the RFQ.

2.0 PBC Overview

The PBC is committed to maximizing contracting and business opportunities for Minority Owned Business Enterprises (MBEs), Women Owned Business Enterprises (WBEs), Business Enterprises Owned and Operated by a Person with a Disability (BEPD) and Veteran Owned Business Enterprises (VBEs) (collectively, "M/W/V/BEPD firms"). Therefore, in concert with the City of Chicago and Chicago 2016, the PBC has set the following procurement objectives:

30% participation by MBEs
(or a combination of MBEs, BEPDs and VBEs)

10% participation by WBEs
(or a combination of WBEs, BEPDs and VBEs)

For Group A only, the PBC, in concert with Chicago 2016, has established an additional goal of achieving 49% equity participation by MBE and/or WBE firms (to be accomplished by joint venture or other legal entity) in the Demolition/Deconstruction contract.

The PBC expects to exceed its goals for this project and it expects Contractors to cooperate with the PBC to identify and develop every opportunity for contract participation by MBEs, WBEs, BEPDs and VBEs.

The demolition program associated with the Michael Reese Hospital property provides a unique opportunity to demonstrate the City's ability to overachieve the established goals and maximize:

- a. The utilization of Minority Owned Business Enterprises (MBEs), Women Owned Business Enterprises (WBEs), Business Enterprises Owned and Operated by a Person with a Disability (BEPD) and Veteran Owned Business Enterprises (VBEs).
- b. The employment opportunities for:
 1. Minorities
 2. Females
 3. City of Chicago and Community Residents
 4. Veterans, and
 5. Individuals with disabilities

The Contractor is required to submit an Executive Summary **not exceeding 9 pages** on the Contractor's experience, approach to meeting the aforementioned goals, as well as outline examples of projects that demonstrate past performance in economic opportunity and impact programs.

3.0 Michael Reese Hospital Project Site Information – See Attachment #1: Site Map

No.	Building Name	Address	Approx. Sq. Ft.	Date Built	Stories	Known Basements	Group
01	Administration Services Center	26 th & Vernon Ave.	57,542	1962	1	1	A
02	Baumgarten Pavilion	2939 S. Cottage Grove	119,308	1963	7	1	E
03	Ben Singer General Services Building - Receiving Area	2801 S. Ellis Ave.	56,657	1965	2	1	B
03a	Laundry	2801 S. Ellis Ave.	25,950	1949	3	1	B
04,14	Blum Pavilion, KND Building	515 E. 29 th Place	52,682	1981	2	1	E
05	Cummings Research Pavilion	2912 S. Ellis Ave.	28,176	1958	7	1	E
06	Dreyfuss Research Laboratories	504 E. 29 th St.	92,824	1965	13	1	E
07	Emergency Department Entrance	500E. 29 th St.	12,000	1960	2	1	C
08	Florsheim Professional Building	2800 S. Ellis Ave.	15,375	1960	4	1	C
09	Florsheim Memorial Library	3033 S. Cottage Grove	19,639	1935	6	1	E
10	Friend Pavilion	3001 S. Cottage Grove	21,122	1957	3	1	D
11	Kaplan Pavilion	2929 S. Ellis Ave.	101,888	1955	7	1	E
12	Kaplan Surgical Wing	2929 S. Ellis Ave.	78,241	1958	4	1	E
13,15	Klein Woman's Hospital, Kunstader Children's Hospital	539 E. 29 th St.	181,720	1970	13	1	E
16	Laz Chapman Pathology Institute	505 E. 29 th St.	14,390	1965	3	1	E
17	Levinson Building	530 E 31 st St.	53,402	1953	3	1	D
18	Radiation Oncology	2839 S. Ellis Ave.	24,645	1960	3	1	B
19	Main Reese (Abatement only)	2839 S. Ellis Ave.	231,422	1907	9	1	G
20	Mandel Clinic	500 E. 29 th St.	45,711	1928	7	1	C
21	Meyer House	547 E. 29 th St.	53,752	1927	6	1	E
22	Parking Structure	30 th & Vernon Ave.	48,00	1970	4	0	F
23	Power Plant	27 th & Ellis Ave.	6,186	1952	3	1	B
25	Rothchild Center	2816 S. Ellis Ave.	193,822	1928	8	1	C
26	Siegel Pavilion	3033 S. Cottage Grove	30,953	1970	3	1	D
27	Singer Pavilion	2959 S. Ellis Ave.	77,741	1951	7	1	D
28	Wexler Pavilion	2960 S. Lake Park	12,069	1962	2	1	D
29	Tunnels	Varies	-----	1952	N/A	N/A	All

3.1 Scope Descriptions by Group

3.1.1 Group # A – Demolition/Deconstruction with Asbestos Abatement
Approximate Value: Demolition/Deconstruction = \$400,000
Abatement = \$800,000

The project scope within Group A requires the abatement within the building prior to its physical deconstruction. Special care needs to be taken to deconstruct this building in a manner that will allow the maximum amount of building components to be reused. Special deconstruction expertise will be needed to perform this work within Group A as well as the ability to teach others how deconstruction work is to be accomplished. Once the components have been carefully removed from the building, they need to be disassembled. This building is 57,462 square feet and was built in 1962. It is composed of a combination of large wood roof trusses, exterior masonry walls, concrete slab on grade, metal window frames, interior gypsum drywall partitions, acoustical ceiling tile and other finishes built during this era. Group # A includes the buildings and all above ground walkways and structures within this Group.

The PBC, in concert with Chicago 2016, has established a goal of 49% equity participation by MBE and/or WBE firms (to be accomplished by joint venture or other legal entity) in the Demolition/Deconstruction contract to be awarded for this Group.

3.1.2 Group B – Demolition with Asbestos Abatement
Approximate Value: Demolition = \$500,000
Abatement = \$1.0 million

The project scope within Group B will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1949 and 1965 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a power plant and administration facility built during this era. Group B includes the building and all structures within this Group.

3.1.3 Group C – Demolition with Asbestos Abatement
Approximate Value: Demolition = \$1.3 million
Abatement = \$2.25 million

The project scope within Group C will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1928 and 1960 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a dormitory and medical facility built during this era. Group C includes the buildings and all structures within this Group.

3.1.4 Group D – Demolition with Asbestos Abatement and Potential Deconstruction
Approximate Value: Demolition = \$1.75 million
Abatement = \$3.0 million

The project scope within Group D will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1951 and 1962 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a medical facility built during this era. Group D includes the buildings and all structures within this Group.

3.1.5 Group E – Demolition with Asbestos Abatement
Approximate Value: Demolition = \$2.25 million
Abatement = \$4.0 million

The project scope within Group E will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1927 and 1981 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a medical facility built during this era. Group E includes the buildings and all structures within this Group.

3.1.6 Group F – Demolition with Asbestos Abatement
Approximate Value: Demolition = \$500,000
Abatement = \$500,000

The project scope within Group F will require abatement, if any, within this Parking Structure prior to its physical demolition. This structure was built in 1970 and is a combination of precast concrete panels, steel and concrete foundation structure, exterior masonry walls, elevators with concrete floors and terrazzo flooring at each level, acoustical ceiling tile and other finish materials typical of a parking structure built during this era. Group F includes the buildings and all structures within this Group.

3.1.7 Group G – Asbestos Abatement
Approximate Value: Abatement = \$1.75 million

The project scope within Group G will require abatement within the building. The building was built in 1905 / 1907 and is a combination of steel and concrete structure, exterior masonry walls, interior plaster partitions and concrete floors, terrazzo flooring, acoustical ceiling tile, painted walls and other finish materials typical of a medical facility built during this era. Group G includes the building and all structures within this Group.

4.0 Project Work Schedule

The PBC anticipates that the site will be available in July 2009 and that abatement and demolition will begin on several Groups soon thereafter. Work on Group F and Group G will begin at a later date.

Work on all or most Groups is expected to be substantially complete on October 1, 2010 and final completion to be December 1, 2010.

ATTACHMENT A
Letter of Interest and Commitment (Page 1 of 3)
Revised May 4, 2009

Date: _____

Public Building Commission of Chicago

Richard J. Daley Center
50 West Washington Street, Room 200
Chicago, Illinois 60602

RE: Identification of Groups of Interest and Commitment to comply with all Requirements

We have reviewed the current Request for Qualifications for Demolition Contractors (RFQ) documents, understand the conditions, and commit to meet the requirements for pre-qualification for the PBC.

Below apply a check for the project(s) for which qualifications are being submitted. Contractor is to demonstrate their firm's qualification and summarize their approach to the work in each group checked below.

- Group A – Demolition/Deconstruction with Asbestos Abatement**
Approximate Value: Demolition/Deconstruction = \$400,000
Abatement = \$800,000

The project scope within Group A requires the abatement within the building prior to its physical deconstruction. Special care needs to be taken to deconstruct this building in a manner that will allow the maximum amount of building components to be reused. Special deconstruction expertise will be needed to perform this work within Group A as well as the ability to teach others how deconstruction work is to be accomplished. Once the components have been carefully removed from the building, they need to be disassembled. This building is 57,462 square feet and was built in 1962. It is composed of a combination of large wood roof trusses, exterior masonry walls, concrete slab on grade, metal window frames, interior gypsum drywall partitions, acoustical ceiling tile and other finishes built during this era. Group # A includes the buildings and all above ground walkways and structures within this Group.

Technical Competence- Experience and past performance on previous comparable public or private Demolition/Deconstruction and abatement projects. Qualified Demolition/Deconstruction Contractors must have experience with urban demolition/deconstruction programs including experience managing and coordinating the disassembly and shipment of these materials, as well as abatement. When illustrating related experience, Respondents must fully detail the size, value and complexity of the comparable projects. Detailed descriptions must indicate the number of buildings and type of structures; total demolition square footage; total deconstruction square footage; number of demolition/deconstructed buildings requiring abatement and total value of each.

Joint Venture (or other ownership participation) by MBE/WBE firms: The PBC, in concert with Chicago 2016, has established a goal of 49% equity participation by MBE and/or WBE firms (to be accomplished by joint venture or other legal entity) in the Demolition/Deconstruction contract to be awarded for this Group.

- Group B – Demolition with Asbestos Abatement**
Approximate Value: Demolition = \$500,000,
Abatement = \$1.0 million

The project scope within Group B will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1949 and 1965 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a power plant and administration facility built during this era. Group B includes the building and all structures within this Group.

Technical Competence- Experience and past performance on at least three (3) comparable public or private Demolition with asbestos abatement projects.**

Letter of Interest and Commitment (Page 2 of 3)
Revised May 4, 2009

- Group C – Demolition with Asbestos Abatement**
Approximate Value: Demolition = \$1.3 million,
Abatement = \$2.25 million

The project scope within Group C will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1928 and 1960 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a dormitory and medical facility built during this era. Group C includes the buildings and all structures within this Group.

Technical Competence- Experience and past performance on at least three (3) comparable public or private Demolition with asbestos abatement projects.**

- Group D – Demolition with Asbestos Abatement and Potential Deconstruction**
Approximate Value: Demolition = \$1.75 million,
Abatement = \$3.0 million

The project scope within Group D will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1951 and 1962 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a medical facility built during this era. Group D includes the buildings and all structures within this Group.

Technical Competence- Experience and past performance on at least three (3) comparable public or private Demolition with asbestos abatement projects.**

- Group E – Demolition with Asbestos Abatement**
Approximate Value: Demolition = \$2.25 million,
Abatement = \$4.0 million

The project scope within Group E will require abatement within each of the buildings prior to its physical demolition. These buildings were built between 1927 and 1981 and are a combination of steel and concrete structure, exterior masonry walls, interior gypsum drywall partitions and concrete floors, terrazzo flooring, acoustical ceiling tile and other finish materials typical of a medical facility built during this era. Group E includes the buildings and all structures within this Group.

Technical Competence- Experience and past performance on at least three (3) comparable public or private Demolition with asbestos abatement projects.**

- Group F – Demolition with Asbestos Abatement**
Approximate Value: Demolition = \$500,000,
Abatement = \$500,000

The project scope within Group F will require abatement, if any, within this Parking Structure prior to its physical demolition. This structure was built in 1970 and is a combination of precast concrete panels, steel and concrete foundation structure, exterior masonry walls, elevators with concrete floors and terrazzo flooring at each level, acoustical ceiling tile and other finish materials typical of a parking structure built during this era. Group F includes the buildings and all structures within this Group.

Technical Competence- Experience and past performance on at least three (3) comparable public or private Demolition with asbestos abatement projects.**

Letter of Interest and Commitment (Page 3 of 3)

Revised May 4, 2009

[] **Group G – Asbestos Abatement**
Approximate Value: Abatement = \$1.75 million

The project scope within Group G will require abatement within the building. The building was built in 1905 / 1907 and is a combination of steel and concrete structure, exterior masonry walls, interior plaster partitions and concrete floors, terrazzo flooring, acoustical ceiling tile, painted walls and other finish materials typical of a medical facility built during this era. Group G includes the building and all structures within this Group.

Technical Competence- Experience and past performance on at least three (3) comparable public or private Abatement projects.**

**** Qualified Demolition Contractors must have experience with urban, complex, multi-project demolition programs including experience managing and coordinating extensive abatement in multiple projects concurrent with demolition. When illustrating related experience, respondents must fully and specifically detail the size, value and complexity of the comparable projects. Detailed descriptions must indicate the number of buildings and structures; total demolition square footage; number of concurrent buildings and structures being demolished; number of concurrent buildings being abated and total value of the abatement.**

We agree to comply with all Public Building Commission of Chicago contract requirements if awarded a contract.

Signed by: _____

Print Name: _____

Title: _____

Date: _____

An authorized officer of (Name of Company): _____

EVALUATION OVERVIEW

I. EVALUATION PROCESS

The intent of this RFQ is to pre-qualify Contractors for the abatement, demolition and deconstruction of the former Michael Reese Hospital property. The PBC intends to solicit bids from the pre-qualified Contractors.

The PBC is looking for creative solutions to meet and/or exceed all the objectives outlined in this RFQ. Contractors are encouraged to highlight specific solutions to unique issues that the Contractor has successfully implemented on comparable projects.

The PBC representatives will review qualification submittals in accordance with the evaluation criteria set forth herein. PBC representatives may also request additional documentation in order to seek clarification of the submittal, and/or request one or more meetings with Contractors, and/or perform site visits in order to clarify Contractors' qualifications and capabilities for this Program. After evaluating all information, the PBC representatives will determine pre-qualification eligibility of each Contractor. The Executive Director will report eligible Contractors to the Board of Commissioners. Once reported to the Board of Commissioners, Contractors will be notified of the results of the evaluation.

The PBC reserves the right to reject any and all qualification submittals and to waive any informality in the submitted qualification submittals whenever it determines such rejection or waiver is in its best interest.

II. EVALUATION CRITERIA

The PBC shall review and evaluate the written qualifications of each Contractor in accordance with the following criteria:

A. Technical Competence and Past Performance.

Contractor's experience acting as a prime contractor on projects of similar scope, size, complexity and dollar values as the Groups selected on **Attachment A – Letter of Interest and Commitment**.

Experience on deconstruction, demolition and abatement projects which included LEED certification.

Past performance as a demolition contractor or deconstruction contractor with significant abatement requirements on PBC projects, other government agencies, and private owners demonstrating the Contractor's ability to successfully administer, manage, schedule, and coordinate projects in order to control cost and meet the terms outlined in the contract requirements.

Contractor must disclose Contractor's history regarding defaults or terminations on projects; failure to complete projects on schedule; litigation history, including judgments and outstanding lawsuits; and violation of laws. The PBC may solicit, from current and past clients or any available resources, relevant information concerning the Contractor's record of past performance.

B. Executive Summary. Quality, completeness and demonstration of experience as contained in the executive summary.

C. Financial Capacity. Adequacy of financial resources to successfully undertake and complete projects.

D. Bonding Capacity. Contractor's bonding capacity meeting or exceeding the value of the projects covered by this RFQ and the Contractor's current projects.

- E. Licensing.** Contractor's Demolition Contractor's license is required for the demolition and/or deconstruction of the projects listed in this RFQ.
- F. Wrecker's Bond.** Record of an approved Wrecker's Bond and Comprehensive Liability and Property Damage Insurance.
- G. Insurance Requirements.** Confirmation of Contractor's ability to meet the insurance requirements as set forth in Part I. - Section G Insurance Requirements
- I. The utilization of Minority Owned Business Enterprises (MBEs), Women Owned Business Enterprises (WBEs), Business Enterprises Owned and Operated by a Person with a Disability (BEPD) and Veteran Owned Business Enterprises (VBEs) (collectively "M/W/V/BEPD firms").**
 - a. Contractor's proposed strategy to maximize the utilization of M/W/V/BEPD firms during the demolition and abatement of Michael Reese Hospital.
 - b. The representation of M/W/V/BEPD firms on the team that you propose for this pre-qualification opportunity.
 - c. Levels of participation achieved on previous projects as demonstrated in Part II – Section A. PBC Experience and Section B. – Non-PBC Experience.

For Group A only, the PBC, in concert with Chicago 2016, has established an additional goal of achieving 49% equity participation by MBE and/or WBE firms (to be accomplished by joint venture or other legal entity) in the Demolition/ Deconstruction contract.

- J. Equal Employment Opportunity and City of Chicago Residency Participation.**
 - a. **Project Workforce.** Contractor's proposed strategy to maximizing the employment of minority, veteran, individuals with disabilities, females and City of Chicago residents during the demolition and abatement of Michael Reese Hospital;
 - b. **Proposer's Workforce.** The representation of minorities, veterans, individuals with disabilities and women within your firm.
 - c. Levels of participation achieved on previous projects as demonstrated in Part II – Section A. PBC Experience and Section B. – Non-PBC Experience.
- K. References.** The quality of responses received from Owners identified in Part II Section A: Non-PBC Experience and Project Managers identified in Section B: PBC Experience.
- L. Responsiveness.** Contractor's compliance with all submission requirements and commitment to comply with all contract requirements if awarded a contract.

INSTRUCTIONS FOR PREPARING AND SUBMITTING SUBMISSIONS

1. GENERAL INSTRUCTIONS:

- 1.1 These instructions prescribe the format and content of the Submission. They are designed to facilitate a fair and uniform review process. Failure to adhere to this format will affect PBC's evaluation and may result in disqualification of the Submission.
- 1.2 The response, completed, signed and returned, will constitute the Submission. A signed original and the designated number of copies of each Submission are required (see "Key Information about this RFQ"). Failure to submit the required number of copies may prevent the Submission from being evaluated within the allotted time.
- 1.3 Attachments must be referenced in the Submission.
- 1.4 Joint Venture Submissions - Contractors may submit qualifications as a Joint Venture. If the submission is for a joint venture, information, experience and required documentation for each partner in the joint venture must be provided in addition to all information required in Part I – General Information regarding Joint Ventures.

Contractors pre-qualified to bid on this project are pre-qualified to bid as the pre-qualified business entity. The pre-qualified Contractor is also pre-qualified to bid on this project as a Joint Venture with another business entity provided that the pre-qualified Contractor has a controlling interest in the Joint Venture.

2. SUBMITTAL REQUIREMENTS

- 2.1 **RESPONSIVENESS.** Contractor's compliance with all submission requirements.

3. TECHNICAL SUBMISSION: The following documents and responses will be included in the Technical Submission and tabbed as such in the order given below:

- 3.1 **LETTER OF INTEREST AND COMMITMENT:** An individual authorized to legally bind the Contractor must sign the Letter of Interest and Commitment. The person who signs the Letter of Interest and Commitment will be considered the contact person for all matters pertaining to the Submission unless the Contractor designates another person in writing.
- 3.2 **TABLE OF CONTENTS:** The Contractor must include a table of contents in its Submission. Submissions must be page numbered sequentially from front to back.
- 3.3 **EXECUTIVE SUMMARY:** The Contractor must prepare an Executive Summary and overview of the services it is proposing including all of the following information:
 - 3.3.1 Demonstrate that the Contractor understands the services as specified in RFQ, "Project Work Overview". The Contractor is to provide an overview of the Contractor's qualifications, experience in managing and coordinating deconstruction, demolition and abatement projects of similar scope and magnitude as the work covered by this RFQ. Include information which demonstrates the Contractor's capacity to perform the work covered under this RFQ. **Please limit to three pages.**
 - 3.3.2 Demonstrate the Contractor's level of commitment and creative approaches to provide and maximize M/W/V/BEPD firms' participation. **Please limit to three pages.**

If Contractor is submitting qualifications for Group A, its submission should include an unequivocal commitment and plan to achieve the PBC and Chicago 2016's goal of achieving 49% equity participation by MBE and/or WBE firms in the Demolition/Deconstruction contract.

- 3.3.3 Demonstrate the Contractor's support for maximum employment opportunities for minorities, women, veterans, individuals with disabilities, and City of Chicago residents. **Please limit to three pages.**

4. REQUIRED FORMS:

4.1 PART I – GENERAL INFORMATION

- 4.1.1 Copy of Joint Venture Agreement (if applicable)
- 4.1.2 Copy of each applicable license
- 4.1.3 Copy of letter from Surety Company
- 4.1.4 Copy of Demolition License.
- 4.1.5 Copy of General Contractor License (if applicable)
- 4.1.6 Copy of approved Wrecker's Bond
- 4.1.7 Copy of sample insurance certificate or statement of ability to comply with insurance requirements identified in Part I – Section G
- 4.1.8 Copy of current M/W/V/BEPD certification letter (if applicable)

4.2 PART II – SECTION A - NON-PBC PROJECTS EXPERIENCE

4.3 PART II – SECTION C – KEY PERSONNEL

- 4.3.1 Attach resumes of Key Personnel and detailed organization chart

4.4 PART II – SECTION D - SAFETY

- 4.4.1 Attach a copy of table of contents from Contractors' written safety program

4.5 PART II – SECTION E – ANTI-COLLUSION AFFIDAVIT

4.6 PART III – LEGAL ACTIONS

- 4.6.1 Attach additional information as necessary.

4.7 PART IV – FINANCIAL CAPACITY

- 4.7.1 Attach audited, reviewed or compiled financial statement

DISCLOSURE AFFIDAVIT

Part I. GENERAL INFORMATION

Section A. History of the Contractor

Name of Contractor: _____ Phone No. (____)_____

Address: _____ Fax No. (____)_____

City, State, ZIP: _____

Contact Person: _____ e-mail: _____

Submittal is for: ___ Parent Company ___ Subsidiary ___ Division ___ **Joint Venture*** ___ Branch Office
*If a joint venture, attach a copy of joint venture agreement.

Parent Company Name: _____

Address: _____

City, State, ZIP: _____

How many years has the Contractor or venture been in business under its present name? _____

Under what other names, if any, has the Contractor or venture operated? _____

Contractor Name: _____

Address: _____

FEIN or S.S. #: _____

DISCLOSURE OF OWNERSHIP INTERESTS

Pursuant to Resolution No. 5371 of the Board of Commissioners of the PBC, all submission shall provide the following information with their submission. If the question is not applicable, answer "NA". If the answer is none, please answer "none".

Bidder/Proposer/Contractor is a: Corporation LLC
 Partnership LLP
 Joint Venture Not-for-Profit Corporation
 Sole Proprietorship Other

FOR PROFIT CORPORATION

State of Incorporation _____

Authorized to do business in the State of Illinois: Yes No

Names of all officers of corporation
(Or attach list):

Names of all directors of corporation
(Or attach list):

Name (Print or Type) Title (Print or Type)

Name (Print or Type) Title (Print or Type)

Is the corporation owned partially or completely by one or more other corporations? Yes No

If "yes" provide the above information, as applicable, for each such corporation.

Indicate here or attach a list names and addresses of all shareholders owning shares equal to or in excess of seven and one-half percent (7.5%) of the proportionate ownership of the corporation and indicate the percentage interest of each.

Name of Shareholders (Print or Type)	Percentage Interest
_____	_____ %
_____	_____ %

PARTNERSHIPS

- a. If the bidder/proposer or Contractor is a partnership, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP)

Name of Partners (Print or Type)	Percentage Interest
_____	_____ %
_____	_____ %

SOLE PROPRIETORSHIP

- a. The bidder/proposer or Contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary: Yes [] No []
If NO, complete items b. and c. of this Section 3.
- b. If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.

Name(s) of Principal(s). (Print or Type)

- c. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, PBC the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised.

Name(s)	Address(es)
_____	_____
_____	_____
_____	_____

Section B. Licensing

Is your firm licensed to do business in the City of Chicago, Cook County, Illinois? Yes ___ No ___

List categories in which the Contractor or venture is licensed to do business and indicate registration or license numbers, if applicable. Please indicate which government entity issued the license. Attach a copy of each license listed.

Category	Registered License (or license number)	Organization Issuing License	Expiration Date
_____	_____	_____	_____
_____	_____	_____	_____

Section C. Surety Bonding

Prequalification is contingent upon the applicant having a surety (performance) bond capacity authorized only by a surety company acceptable to PBC. Surety companies that are listed in AM Best's Key Rating Guide and/or are listed in the Treasury Circular are considered acceptable.

Provide the current level of performance bonding (in dollar amount) authorized by the surety as of the date of this Qualification Submittal (or as of the date last bonded, including said date):

Single Limit: _____ Maximum Limit: _____ A.M. Best Rating: _____

Total Bonding Capacity: _____ as of: _____

Provide information regarding the surety that will provide the Payment and Performance Bond for project(s) to be awarded by or on behalf of the PBC.

Name of Surety: _____

Street Address: _____

City, State, Zip: _____

Telephone No: (_____) _____ Fax No: (_____) _____

Section D. License

Does your firm have a Demolition License? Yes ___ No ___

If yes, provide a record of an approved Demolition License.

Does your firm have a General Contractor License? Yes ___ No ___

If yes, provide a record of an approved General Contractor License.

Section E. Wrecker's Bond

Does your firm have a Wrecker's Bond? Yes ___ No ___

If yes provide a record of an approved Wrecker's Bond and Comprehensive Public Liability and Property Damage Insurance.

Section F. MBE/VBE/BEPD/WBE Certifications

Is your organization currently certified as a MBE, BEPD, VBE or WBE with any of the following agencies or organizations?

City of Chicago (MBE, BEPD and WBE only) yes

Cook County (MBE and WBE only) yes

Chicago Minority Business Development Council (MBE only) yes

State of Illinois – Central Management Services (MBE, WBE and BEPD only) yes

Women’s Business Development Center (WBE and VBE only) yes

* If yes, please check and attach copy of current Letter of Certification.

List others, (attach a copy of certification) _____

1) **Veteran Owned Business Enterprise (VBE) definition:**

The PBC acknowledges the Federal government’s definition as published in 38 CFR Part 74 which reads as follows:

Veteran is a person who served on active duty with the U.S. Army, Air Force, Navy, Marine Corps or Coast Guard, for any length of time and at any place and who was discharged or released under conditions other than dishonorable. Reservists or members of the National Guard called to Federal active duty or disabled from a disease or injury incurred or aggravated in the line of duty or while in training status also qualify as a veteran.

2) **Business Owned and Operated by a Person with a Disability (BEPD) definition:**

The PBC acknowledges the State of Illinois’ definition as published in Public Act 87-701 which reads as follows:

Person With A Disability shall mean a person who is a citizen or lawful permanent resident of the United States and who has a medically diagnosed, severe, physical or mental disability that results from amputation, arthritis, autism, blindness, burn injury, cancer, cerebral palsy, cystic fibrosis, deafness, head injury, heart disease, hemiplegia, hemophilia, respiratory or pulmonary dysfunction, mental retardation, mental illness, multiple sclerosis, muscular dystrophy, musculoskeletal disorders, neurological disorders (including stroke and epilepsy), paraplegia, quadriplegia and other spinal cord conditions, sickle cell, anemia, specific learning disabilities, or end stage renal failure disease; and substantially limits at least one of the major life activities such as mobility, communication, self-care, self-direction, interpersonal skills, and work tolerance or work skills in terms of employability; or any other disability or combination of disabilities, which is determined by an evaluation of rehabilitation potential to cause a comparable degree of substantial functional limitation similar to the specific list of disabilities, listed above.

Section G. Insurance

Does your firm have the ability to comply with the following Insurance requirements? Yes _____ No _____

If yes, provide a statement of confirmation or a sample insurance certificate that demonstrates your Firm’s ability to comply.

The Contractor must provide and maintain at Contractor's own expense, the minimum insurance coverage and requirements specified below, insuring all operations related to the Contract. The insurance must remain in effect from: the date of the notice to proceed until Substantial Completion of the project, during completion of Punch List, as well as any time Contractor returns to perform additional work regarding warranties or for any other purpose.

INSURANCE TO BE PROVIDED

1) **Workers' Compensation and Employers Liability**

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under this Contract and Employers Liability coverage with limits of not less than \$1,000,000 each accident, illness or disease. Coverage will include a Wavier of Kotecki endorsement specifically insuring the Contractor's obligations pursuant to its wavier of its Kotecki rights.

2) **Commercial General Liability (Primary and Umbrella)**

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations (for minimum of two (2) years following project completion), flood, explosion, collapse, underground, separation of insureds, defense, and contractual liability with no limitation endorsement. The Public Building Commission, Chicago 2016 and the City of Chicago must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work, including the two year completed operations periods.

Subcontractors performing work for Contractor must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

3) **Automobile Liability (Primary and Umbrella)**

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Contractor must provide Automobile Liability Insurance, with limits of not less than \$2,000,000 per occurrence for bodily injury and property damage. The Public Building Commission, Chicago 2016 and the City of Chicago must be named as additional insureds on a primary, non-contributory basis.

Subcontractors performing work for Contractor must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

4) **Contractors Pollution Liability**

Contractors Pollution coverage is required with limits of not less than \$1,000,000 per occurrence for any portion of the services, which may entail, exposure to any pollutants, whether in the course of sampling, remedial work or any other activity under this contract. Policy must pay for claims for bodily injury, property damage, clean up costs and other losses caused by pollution conditions that arise from the Contract scope of services, Contractors operation, and completed operations. Coverage must also include: transportation, contractual liability, defense, excavation, environmental cleanup, remediation and disposal. When policies are renewed or replaced, the policy retroactive date must coincide with or precede, start of work on the Contract. Coverage must be maintained for two years after Substantial Completion. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years. The Public Building Commission, Chicago 2016 and the City of Chicago must be named as additional insureds on a primary, non-contributory basis.

Subcontractors performing work for Contractor must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

5) **Professional Liability**

When any architects, engineers, construction managers or other professional consultants perform work in connection with this Contract, Professional Liability Insurance covering acts, errors, or omissions must be maintained with limits of not less than \$1,000,000. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede, start of work on the Contract. Coverage must be maintained for two years after Substantial Completion. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Contractor must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein.

6) **Property**

The Contractor is responsible for all loss or damage to Public Building Commission, Chicago 2016 and City of Chicago property at full replacement cost. The Contractor is responsible for all loss or damage to personal property including but not limited to materials, equipment, tools, and supplies owned, rented, or used by Contractor.

7) **Railroad Protective Liability**

When any work is to be done adjacent to or on railroad or transit property, Contractor must provide, with respect to the operations that Contractor or subcontractors perform, Railroad Protective Liability Insurance in the name of railroad or transit entity. The policy must have limits of not less than the requirement of the operating railroad/transit entity for losses arising out of injuries to or death of all persons, and for damage to or destruction of property, including the loss of use thereof.

8) **Asbestos Abatement Liability**

When any asbestos abatement work is performed in connection with this Contract, Asbestos Abatement Liability Insurance must be provided with limits of not less than \$5,000,000 per occurrence insuring bodily injury, property damage and environmental cleanup costs. Coverage must be maintained for two years after Substantial Completion. When policies are renewed or replaced, the policy retroactive date must coincide with or precede, start of work on the Contract. A claims-made policy which is not renewed or replaced must have an extended reporting period of one (1) year. The Public Building Commission, Chicago 2016 and the City of Chicago are to be named additional insureds on a primary and non-contributory basis.

Subcontractors performing work for Contractor must maintain limits of not less than \$2,000,000 per occurrence with the same terms herein.

B. ADDITIONAL REQUIREMENTS

Contractor must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Contract, and Renewal Certificates of Insurance, or such similar evidence, if any insurance policy has an expiration or renewal date occurring during the term of this Contract. The Contractor must submit evidence of insurance to the Public Building Commission prior to Contract award. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Contract have been fully met or that the insurance policies indicated on the certificate are in compliance with all Contract requirements. The failure of the Commission to obtain certificates or other insurance evidence from Contractor is not a waiver by the Commission of any requirements for the Contractor to obtain and maintain the specified insurance. The Contractor will advise all insurers of the Contract provisions regarding insurance. Non-conforming insurance does not relieve Contractor of the obligation to provide insurance as specified in this contract. Nonfulfillment of the insurance conditions may constitute a breach of the

Contract, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Contract may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Contractor and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission in the event coverage is substantially changed, canceled, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Contractor.

The Contractor waives and agrees to cause all its insurers to waive to their rights of subrogation against the Public Building Commission, Chicago 2016 and City of Chicago, their respective Board members, employees, elected officials, officers, or representatives.

The insurance coverage and limits furnished by Contractor in no way limit the Contractor's liabilities and responsibilities specified within the Contract or by law.

Any insurance or self-insurance programs maintained by the Public Building Commission, Chicago 2016 and City of Chicago, will not contribute with insurance provided by the Contractor under the Contract.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Contract or any limitation placed on the indemnity in this Contract given as a matter of law.

If contractor is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured.

The Contractor must require all subcontractors to provide the insurance required herein, or Contractor may provide the insurance for subcontractors. All subcontractors are subject to the same insurance requirements of Contractor unless otherwise specified in this Contract.

If Contractor or subcontractor desires additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost. The Public Building Commission maintains the rights to modify, delete, alter or change these requirements.

Section H. Anti-Collusion

The Contractor, its agents, officers, or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this Submission or contract. Failure to attest to this section as part of the bid will make the bid non-responsive and not eligible for award consideration.

(Signed)

(Title)

Subscribed and sworn to before me this ____ day of _____, 2009

(Signature)

(SEAL)

My Commission expires:(Title)

PART II TECHNICAL COMPETENCE

Section A. NON-PBC Projects- Experience

Describe your experience and past performance as a demolition contractor managing the abatement on at least (6) projects of similar complexity, size and type. Describe how the projects you deem similar are comparable in scope and complexity to the demolition and abatement project described in this RFQ.

LIST COMPARABLE EXPERIENCE :

Project Name: _____
Project Address: _____
Project Role (specify, ADC, Sub, CM etc): _____
Project Description: _____

Contract Amount: \$ _____ Completed: Mo/Yr: _____
Was Project Work self-performed? Yes No Other (explain): _____
Did project receive LEED certification? Yes () Level: _____ No ()
Names of abatement subcontractor: _____
Owner's Name: _____
Owner's Contact (name & current phone number): _____
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
_____ % Minority Tradeworker Participation _____ % Female Tradeworker Participation
_____ % City of Chicago Residency

Project Name: _____
Project Address: _____
Project Role (specify, ADC, Sub, CM etc): _____
Project Description: _____

Contract Amount: \$ _____ Completed: Mo/Yr: _____
Was Project Work self-performed? Yes No Other (explain): _____
Did project receive LEED certification? Yes () Level: _____ No ()
Names of abatement subcontractor: _____
Owner's Name: _____
Owner's Contact (name & current phone number): _____
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
_____ % Minority Tradeworker Participation _____ % Female Tradeworker Participation
_____ % City of Chicago Residency

Project Name: _____
Project Address: _____
Project Role (specify, ADC, Sub, CM etc): _____
Project Description: _____

Contract Amount: \$ _____ Completed: Mo/Yr: _____
Was Project Work self-performed? Yes No Other (explain): _____
Did project receive LEED certification? Yes () Level: _____ No ()
Names of abatement subcontractor: _____
Owner's Name: _____
Owner's Contact (name & current phone number): _____
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
_____ % Minority Tradeworker Participation _____ % Female Tradeworker Participation
_____ % City of Chicago Residency

PART II TECHNICAL COMPETENCE

Section B. PBC Projects- Experience

Identify all comparable projects completed by the Applicant within the last five years. Comparable projects include only those that are both comparable based on dollar value (inflation adjusted) and complexity are preferred.

LIST COMPARABLE EXPERIENCE :

Project Name: _____
Role: General or Sub: _____
Contract Amount: \$ _____ Completed: Mo/Yr: _____
PBC Project Manager: _____
If General Contractor:
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
Liquidated Damage Assessment for EEO Non-Compliance: \$ _____
Liquidated Damage Assessment for City of Chicago Residency Non-Compliance: \$ _____

Project Name: _____
Role: General or Sub: _____
Contract Amount: \$ _____ Completed: Mo/Yr: _____
PBC Project Manager: _____
If General Contractor:
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
Liquidated Damage Assessment for EEO Non-Compliance: \$ _____
Liquidated Damage Assessment for City of Chicago Residency Non-Compliance: \$ _____

Project Name: _____
Role: General or Sub: _____
Contract Amount: \$ _____ Completed: Mo/Yr: _____
PBC Project Manager: _____
If General Contractor:
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
Liquidated Damage Assessment for EEO Non-Compliance: \$ _____
Liquidated Damage Assessment for City of Chicago Residency Non-Compliance: \$ _____

Project Name: _____
Role: General or Sub: _____
Contract Amount: \$ _____ Completed: Mo/Yr: _____
PBC Project Manager: _____
If General Contractor:
MBE Goal: _____ MBE Goal Attainment: _____
WBE Goal: _____ WBE Goal Attainment: _____
Liquidated Damage Assessment for EEO Non-Compliance: \$ _____
Liquidated Damage Assessment for City of Chicago Residency Non-Compliance: \$ _____

Note: Duplicate form as necessary.

Section C. Key Personnel

Provide the names of key personnel currently employed by the Applicant who worked on the projects listed in Part II sections A&B of this statement of qualifications. Attach resumes of all individuals listed.

Name	Role	Project

Section D. Safety

PBC is committed to working with safe Abatement and Demolition Contractors. To that end, the PBC has developed a safety questionnaire to aid in selection of Abatement and Demolition Contractors with good safety records. As a part of the Abatement and Demolition Contractor solicitation process you must answer the questions below.

1. Does your organization have a safety program? Yes No
 If yes, provide the following information:
 - Month and Year first implemented _____
 - Method of review of program _____
 - Whether regular work site safety meetings are held and how frequently _____
 - Copy of table of contents of safety/loss control manual

2. Have any citations been issued to your organization during the period of the last three years for workplace safety law violation. Yes No If yes, provide detailed information for each occurrence regarding:
 - The nature of the violation for which your organization was cited.
 - Summary of your position of the matter.
 - Official resolution of violation

3. Provide your organization's OSHA reportable incident rate: _____. If this is greater than 3.0, please attach your OSHA Form 300A Summaries for the last three years and a written explanation to the qualification questionnaire (attach as necessary).

4. Provide a copy of your organization's NCCI current experience modification rating (EMR) worksheet. If the rating is greater than 1.0 please attach the NCCI rating information for the last 3 years and a written explanation to the qualification questionnaire. As a follow up, you may be asked to provide your written safety plan.

PART III LEGAL ACTIONS

Section A. Legal Actions

Please provide the information below. If the answer to any of the questions is “Yes”, provide a brief description or explanation on a separate sheet.

Question	Yes	No
1. Has the Contractor or venture been issued a notice of default on any contract awarded in the last three years?		
2. Does the Contractor or venture have any judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the Contractor or venture or its officers? If yes, include the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed. Attach explanation.		
3. Within the past three years, has the Contractor or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?		
4. Within the last three years, has any officer or principal of the Contractor or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?		
5. Has any key person with the Contractor or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid rigging or bid-rotating?		
6. Has the Contractor or venture ever been temporarily or permanently debarred from a contract awarded by any federal, state, or local agency?		
7. Within the last three years, has the Contractor or venture been assessed penalties for any statutory or administrative violations, including MBE, WBE and EEO?		
8. Has the Contractor or venture ever failed to complete any work awarded to it?		

PART IV FINANCIAL CAPACITY

Section A. Banking

Provide the following information about the Contractor's or ventures primary commercial bank.

Name of Bank: _____

Address: _____ Phone No.: _____

City, State, ZIP _____ Fax No.: _____

Contact Officer: _____ Phone No.: _____

In what year was the banking relationship established? _____

Does your firm or venture have access to a line of credit? Yes _____ No _____

If yes, indicate upper limit. \$ _____ How much is currently available? \$ _____

Other sources of capital: _____

Section B. Gross Revenues

Indicate below the amount of revenues earned by the Contractor or venture from construction-related activities for the last three (3) years.

Year	Amount
_____	\$ _____
_____	\$ _____
_____	\$ _____

Section C. Financial Statement

Attach your firm's audited, reviewed or compiled financial statement with notes for the last fiscal year. If a joint venture, submit financial statement for the joint venture name and each joint venture partner. Independent accountant may provide a copy of a complete financial statement. All notes and schedules must be provided.

Independent Accountant Information

Year ending financial statement date: ___/___/___ Audited Reviewed Compiled

Consolidated financial statement: Yes No

Name: _____

Address: _____

Phone No. _____

City, State, ZIP: _____

Fax No. _____

Contact: _____

Title: _____

ATTACHMENT 1 SITE MAP Revised May 8, 2009

