

PUBLIC BUILDING COMMISSION OF CHICAGO



**PRE-QUALIFICATION OF GENERAL CONTRACTORS:
GATEWAY and 31ST STREET HARBOR CONSTRUCTION WORK**

Submittal due date: Thursday, September 17, 2009

Respondent Firm Name: _____

This pre-qualification process is unique to the Gateway and 31st Street Harbor projects described herein and notwithstanding any other pre-qualification by the Public Building Commission, only contractors determined to have satisfied the criteria established by this Pre-Qualification RFQ will be eligible to bid on Harbor Program projects.

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KEY INFORMATION ABOUT THIS PRE-QUALIFICATION PROCESS

1. **RESPONDENT CONTACT WITH THE PBC:** The PBC has identified the Contract Officer identified below as the *sole point of contact* regarding this Pre-Qualification. From the date of issuance until selection of the successful Contractor, Respondents communication with the PBC concerning this Pre-Qualification must be exclusively with:

Janice Meeks, Senior Contract Officer
Public Building Commission of Chicago
50 West Washington, Room 200
Chicago, Illinois 60602
Fax (312) 744-3572
janicemeeks@cityofchicago.org

3. **SUBMISSION DEADLINE AND PROCUREMENT TIMETABLE:** The following dates are set forth for informational and planning purposes; however, the PBC reserves the right to change the dates.
 - Issue RFQ September 4, 2009
 - Due Date and Time for Submissions September 17, 2009 by 3:00 PM Local Time

4. **NUMBER OF COPIES:** Submit **1 signed original copy, 4 copies and 1 copy on compact disk (CD)** of the Submission in a sealed envelope or container.

5. **SUBMIT STATEMENT OF QUALIFICATIONS TO:**

Janice Meeks, Senior Contract Officer
Public Building Commission
50 West Washington Street, Room 200
Chicago, Illinois 60602

6. **RIGHT TO CANCEL:** The PBC reserves the right to cancel this procurement process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Contractors associated with this procurement process.

EVALUATION OVERVIEW

I. EVALUATION PROCESS

Public Building Commission of Chicago (“PBC”) representatives will review the submitted Statements of Qualifications in accordance with the evaluation criteria set forth herein. PBC representatives may request additional documentation and may request to meet with Respondent to verify qualifications and capability and capacity to successfully perform as general contractor for the subject projects. After evaluating all relevant information, PBC representatives will determine which Respondents are eligible for pre-qualification. The Executive Director will identify eligible firms in a report to the PBC Board of Commissioners. Once approved, Respondents will be notified.

The PBC reserves the right to reject any and all Statements of Qualifications and to waive any informality in the submittals whenever it determines such rejection or waiver is in its best interest.

The PBC reserves the right to cancel this pre-qualification process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Respondents associated with this procurement process.

II. PRE-QUALIFICATION OF JOINT VENTURES

A. Minority-owned and Women-owned Business (MBE/WBE) Participation

It is the PBC’s policy to provide for maximum contracting opportunities for MBE/WBEs on all PBC projects and MBE/WBEs are encouraged to apply to be pre-qualified to bid as general contractors on the Harbor projects.

The PBC also strongly encourages the participation of MBEs and WBEs as joint venture general contractors. Therefore, the PBC permits contractors who are pre-qualified through this process to bid as joint ventures with MBE/WBE contractors. The joint venture can be established after the pre-qualification process is concluded (but before the bid is due). The MBE/WBE joint venture partner does *not* need to be pre-qualified; however the firm with controlling interest in the joint venture must be pre-qualified.

Joint ventures of MBE/WBE contractors and non-MBE/WBE contractors are also invited to apply directly for pre-qualification.

B. Evaluating Joint Venture Qualification

Joint ventures of contractors with appropriate qualifications, financial capacity and combined construction experience and capacity are eligible to be considered for this pre-qualification. Joint ventures applying for pre-qualification must complete and submit separate Parts I., II., III., and IV. for *each* joint venture partner. A completed Part I. General Information must also be submitted for the joint venture entity; and Respondent must submit a copy of the entity’s joint venture agreement which clearly identifies the resources, capabilities and capacity of each joint venture firm available to be allocated to the performance of the Harbor Project.

III. EVALUATION CRITERIA

The PBC shall review and evaluate the Statements of Qualifications of each Respondent in accordance with the following criteria:

A. Technical Competence and Specific Past Performance

1. **Gateway Harbor.** Eligible contractors must possess verifiable experience and demonstrate successful past performance on comparable public or private Great Lakes coastal projects with construction costs of \$20,000,000 or more. Only experience on comparable projects completed in the last 10 years on which Respondent performed as a general contractor will be considered. Eligible contractors must, *at a minimum*, have successfully performed on two or more comparable coastal projects that included features such as driven sheet piling, rubble mound breakwaters and floating docks.
2. **31st Street Harbor (Landside/Marina Project).** Eligible contractors must possess verifiable experience and demonstrate successful past performance on comparable public or private projects with construction costs of \$30,000,000 or more. Only experience on comparable projects completed in the last 10 years on which Respondent performed as a general contractor will be considered. Eligible contractors must, *at a minimum*, have successfully performed on two or more comparable marina projects.
3. **31st Street Harbor (Coastal Project).** Eligible contractors must possess verifiable experience and demonstrate successful past performance on comparable public or private Great Lakes coastal projects with construction costs of \$10,000,000 or more. Only experience on comparable projects completed in the last 10 years on which Respondent performed as a general contractor or as a specialty breakwater subcontractor will be considered. Eligible contractors must, *at a minimum*, have successfully performed on two or more comparable coastal projects that included features such as rubble mound breakwaters and procurement and transportation of large quantities of stone.

B. Past Performance: General

Eligible contractors must demonstrate successful past performance as a general contractor on PBC projects or projects successfully completed for other government agencies or private owners. Evidence of successful past performance will demonstrate Respondent's ability to successfully construct, administer, manage, schedule, and coordinate projects in order to control cost, ensure quality and comply with contract requirements. Respondent's history regarding defaults or terminations on projects; failure to complete projects on schedule; litigation history, including judgments and outstanding lawsuits; and violation of laws is all relevant to the evaluation of this criterion. The PBC may solicit relevant information concerning the firm's record of past performance from current and past clients or any available resources.

C. Qualifications and Relevant Experience of Management Staff

Eligible contractors must employ and have available appropriately qualified and experienced personnel (Project Manger, Superintendents, Scheduler, Quality Control Manager, Safety Manager) as needed to provide required management services on these projects.

D. Minority and Women Owned Business Participation

Eligible contractors must have a positive history of achieving M/WBE participation commitments on previous projects.

E. Equal Employment Opportunity and City of Chicago Residency Participation

Eligible contractors must have a positive history of achieving commitments made to employ minority, female and Chicago resident labor on previous projects.

F. Financial Capacity, Bonding Capacity and Licensing

Eligible contractors must have demonstrated financial resources, bonding capacity and licenses necessary to successfully complete the project work.

G. Safety Program

Eligible contractors must demonstrate the quality of their overall safety program as evidenced by their history of citations, OSHA incident rate and Experience Modification Rate (EMR).

IV. SUBMITTAL REQUIREMENTS

Format: Submit 1 signed original, 4 copies and 1 copy on compact disk (CD) in a sealed envelope or container.

A. General Information

Indicate projects for which Respondent is seeking to be Pre-Qualified and sign the Letter of Commitment. Complete, sign and notarize Part I. General Information.

B. Technical Competence and Past Performance

Complete Part II. Experience and Qualifications, Section A. *Relevant Experience and Past Performance* for each project offered by Respondent.

C. Past Performance: General Contracting, MBE/WBE Participation and Employment Opportunity

Complete Part II. Experience and Qualifications, Section B. *Past Performance: General Contracting, MBE/WBE and Employment Opportunity Experience* for each relevant project offered by Respondent.

D. Qualifications and Relevant Experience of Management Staff

Complete Part II. Experience and Qualifications, Section B. *Key Personnel* identifying available, appropriately qualified and experienced personnel (Project Manger, Superintendents, Scheduler, Quality Control Manager, Safety Manager) needed to provide required management services.

E. Minority and Women Owned Business Participation

Respondent may supplement the information provided in response to III. B. above with a narrative or summary report describing its history and commitment to MBE/WBE participation.

F. Equal Employment Opportunity and City of Chicago Residency Participation

Respondent may supplement the information provided in response to III. B. above with a narrative or summary report describing its history and commitment to providing employment opportunities for minorities, women and local residents.

G. Safety

Complete Part II. Experience and Qualifications, Section D. *Safety* and provide copies of 1) the table of contents of the Respondent's Company Safety Program and 2) Respondent's NCCI current experience modification (EMR) rating worksheet.

H. Financial Capacity

Complete Part IV Financial Capacity and provide the firm's most current year audited financial statement. All potential claims must be listed as liabilities. If submitted as Joint Venture all potential claims for either liable party must be listed as liabilities.

LETTER OF COMMITMENT

September 15, 2009

Public Building Commission of Chicago
Richard J. Daley Center
50 West Washington Street, Room 200
Chicago, Illinois 60602

RE: Statement of Qualifications
Pre-Qualification of General Contractors
Gateway and 31st Street Harbor Construction Work

We have reviewed the Request for Qualifications for General Contractors (RFQ) for Gateway and 31st Street Harbor Construction Work, understand the conditions, and hereby request to be found eligible for pre-qualification for the Public Building Commission of Chicago.

(Indicate each Harbor Project for which applicant is seeking Pre-Qualification with a check [] in the appropriate space below.)

1. [] **GATEWAY HARBOR**

Program: New 240-slip marina to be constructed immediately south of Navy Pier. Existing Dime Pier will be encapsulated in a double row of steel sheet piling and capped with concrete. Two new steel sheet breakwaters comprised of a vertical steel wall on the harbor side and armor stone on the lake side will be constructed as will one new rubble mound stone stub breakwater. A 1.4 acre lakefill will be constructed to connect Dime Pier to land. The fill will include a vertical steel wall on its north side and sloping rubble on the lake side to the east. Relieving platform piers will be constructed adjacent to Navy Pier. Project includes installation of floating docks, utility connections and fueling facilities. Marina amenities include a two-story, 200-person dockside restaurant, landfill, commercial boat piers, harbor services building, utilities, roads, at-grade parking and landscaping. (See *Exhibit A, Concept Plan for Chicago Gateway Harbor*.)

Construction Schedule: Completion by May 1, 2011

Construction Budget: \$33 - \$48 million

Technical Competence and Specific Past Performance:

- Eligible contractors must possess verifiable experience and demonstrate successful past performance on comparable public or private coastal projects with construction costs of \$20,000,000 or more.
- Only experience on comparable projects completed in the last 10 years on which Respondent performed as a general contractor will be considered.
- Eligible contractors must, *at a minimum*, have successfully performed on two or more comparable coastal projects that included features such as driven sheet piling, rubble mound breakwaters and floating docks.

2. [___] 31st STREET HARBOR: Landside/Marina Project

Landside Program: New Community Building/Restaurant/Marina Facility. The project's two-story restaurant/community building will be integral with a 335-space, Green roof parking garage. Two-thirds of the parking space in the garage will be available for heated winter boat storage. Project also includes an expanded play ground; community plaza; and exterior at-grade parking with between 80 and 240 parking spaces. Site development includes extensive hard and soft landscaping, access roads and underground storage tank. The project includes installation of floating docks and utility connections for 830 boat slips and a fuel dock with associated fuel storage facilities. Dock utilities include an at-slip sewage pump system. Project may include modifications to Lake Shore Drive intersection at 31st Street and construction of Lake Shore Trail underpass. (See *Exhibit B, Concept Plan for 31st Street Harbor.*)

Construction Schedule: Completion by May 1, 2012

Construction Budget: \$55 - \$73 million

Technical Competence and Specific Past Performance:

- Eligible contractors must possess verifiable experience and demonstrate successful past performance on comparable public or private marina projects with construction costs of \$30,000,000 or more.
- Only experience on comparable projects completed in the last 10 years on which Respondent performed as a general contractor will be considered.
- Eligible contractors must, *at a minimum*, have successfully performed on two or more comparable marina projects.

NOTICE: PBC Option to Combine Landside/Marina and Coastal Projects

The Public Building Commission may, in its sole discretion, elect to bid and award the 31st Street Harbor: Landside/Marina Project and 31st Street Harbor: Coastal Project as a single combined project.

If the PBC elects to bid and award the projects together in a single bid package, then **ONLY** firms pre-qualified to bid on the 31st Street Harbor: Landside/Marina Project will be eligible to bid on the 31st Street Harbor: **Combined Landside/Marina/Coastal Project**.

Also, if the PBC elects to bid and award the projects together in a single bid package, then the Contractor awarded the 31st Street Harbor: **Combined Landside/Marina/Coastal Project** will be required, by the Contract Documents, to subcontract the breakwater work to one of the firms pre-qualified for the 31st Street Harbor: Coastal Project.

3. [___] 31st STREET HARBOR: Coastal Project

Program: 2,700 lineal foot rubble mound breakwater for protection of the new 31st Street Harbor and a 250 lineal foot stub breakwater at the harbor mouth to protect a new 830 slip marina. Park and play lawn, Lake Michigan overlook, and landscaped terrace steps. *Approximate Breakwater Stone Quantities:* Armor Stone - 215,000 Tons; Underlayer Stone - 112,000 Tons; Core Stone - 225,000 Tons. (See *Exhibit B, Concept Plan for 31st Street Harbor.*)

Construction Schedule: Completion by October 1, 2011

Construction Budget: \$17,000,000

Technical Competence and Specific Past Performance:

- Eligible contractors must possess verifiable experience and demonstrate successful past performance on comparable public or private coastal projects with construction costs of \$10,000,000 or more.
- Only experience on comparable projects completed in the last 10 years on which Respondent performed as a either coastal project general contractor or a specialty breakwater subcontractor as a will be considered.
- Eligible contractors must, *at a minimum*, have successfully performed on two or more comparable coastal projects that included features such as rubble mound breakwaters and procurement and transportation of large quantities of stone.

We agree to comply with all Public Building Commission of Chicago contract requirements if awarded a contract.

Signed by: _____

Name: _____

Title: _____

An authorized officer of: _____
(Name of Company)

PART I. GENERAL INFORMATION

Section A. History and Ownership of Respondent Firm

Name of Firm: _____ Phone No. (____) _____

Address: _____ Fax No. (____) _____

City, State, ZIP: _____

Contact Person: _____ e-mail: _____

How many years has the firm or venture been in business under its present name? _____

Under what other names, if any, has the firm or venture operated? _____

Firm Name: _____

Address: _____

DISCLOSURE OF OWNERSHIP INTERESTS

Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, Respondent shall provide the following information. If the question is not applicable, answer "NA". If the answer is none, please answer "none".

Respondent is a: Corporation LLC Joint Venture Not-for-Profit Corporation
 Partnership LLP Sole Proprietorship Other

1. FOR PROFIT CORPORATIONS

State of Incorporation _____

Authorized to do business in the State of Illinois: Yes No

Names of all officers of corporation
(Or attach list):

Name (Print or Type) Title (Print or Type)

Names of all directors of corporation
(Or attach list):

Name (Print or Type) Title (Print or Type)

Is the corporation owned partially or completely by one or more other corporations? Yes No

If "yes" provide the above information, as applicable, for each such corporation.

Indicate here or attach a list names and addresses of all shareholders owning shares equal to or in excess of seven and one-half percent (7.5%) of the proportionate ownership of the corporation and indicate the percentage interest of each.

| Name of Shareholders (Print or Type) | Percentage Interest |
|--------------------------------------|---------------------|
| _____ | _____ % |
| _____ | _____ % |

2. PARTNERSHIPS and JOINT VENTURES

If Respondent is a partnership or joint venture, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP).

| Name of Partners | Percentage Interest |
|------------------|---------------------|
| _____ | _____ % |
| _____ | _____ % |

3. SOLE PROPRIETORSHIPS

Is Respondent a sole proprietorship: Yes / No If Yes and Respondent is acting in a representative capacity on behalf of any beneficiary complete items a. and b. below.

- a. If the sole proprietorship is held by an agent(s) or a nominee(s), name the principal(s) for whom the agent or nominee holds such interest.
- b. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, provide the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised.

Section B. Licensing

Is your firm licensed to do business in the City of Chicago, Cook County, Illinois? Yes ___ No ___

Provide a copy of your firm's General Contractor's license indicating the Category Class for which your firm is licensed to do general construction work.

List categories in which the firm or venture is licensed to do business and indicate registration or license numbers, if applicable. Please indicate which government entity issued the license. ATTACH a copy of each license listed.

| Category | Registered License (or license number) | Organization Issuing License | Expiration Date |
|----------|---|------------------------------|-----------------|
| _____ | _____ | _____ | _____ |
| _____ | _____ | _____ | _____ |

Section C. Surety Bonding

Provide the current level of performance bonding (in dollar amount) authorized by the surety as of the date of this Qualification Submittal (or as of the date last bonded, including said date):

Single Limit: _____ Maximum Limit: _____ A.M. Best Rating: _____

Total Bonding Capacity: _____ as of: _____

Provide information regarding the surety that will provide the Payment and Performance Bond for project(s) to be awarded by or on behalf of the PBC.

Name of Surety: _____

Street Address: _____

City, State, Zip: _____

Telephone No: (____) _____ Fax No: (____) _____

Section D. MBE/WBE Certifications

Is your organization currently certified as a MBE or WBE with any of the following agencies or organizations?

* If yes, please check and ATTACH copy of current Letter of Certification.

√ Check the appropriate box(es)

- City of Chicago
- Cook County
- Metropolitan Water Reclamation District
- Chicago Minority Business Development Council (MBE only)
- State of Illinois – Central Management Services
- Women's Business Development Center (WBE only)

Section E. Anti-Collusion

Respondent, its agents, officers, or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this proposal or contract. Failure to attest to this section as part of the bid will make the bid non-responsive and not eligible for award consideration.

(Signed)

(Title)

Subscribed and sworn to before me this ____ day of _____, 2009

(Signature)

(SEAL)

(Title)

My Commission expires:

PART II. EXPERIENCE AND QUALIFICATIONS

Section A. Relevant Experience and Specific Past Performance.

Identify each *Marina* and *Coastal* project completed by Respondent during the past ten years. Make copies, complete and submit for each project offered by Respondent to demonstrate experience on comparable projects.

Name / Location of Project: _____

Relevant RFQ Project(s): Gateway Harbor 31st Street: Landside/Marina 31st Street: Coastal

Project Duration (Month/Year): Start: _____ Finish: _____

Was Project Completed on Schedule? Yes / No *If No, explain below or attach explanation.*

Final Dollar Value of General Contract * : \$ _____

Was Project Completed on Budget? Yes / No *If No, explain below or attach explanation.*

** If Respondent was not the General Contractor, attach description of Respondent's role on the Project and relevance of that experience to this RFQ.*

Owner Reference(s):

 Name: _____

 Project Role † : _____
† E.g., Owner or Owner's Designer, Engineer, Program Manager, Construction Manager

 Phone Numbers / email: _____

 Alternate Reference(s): _____

Respondent's Project Manager: _____

Did Respondent Self Perform the Coastal Work? Yes / No / n.a. *If No, identify coastal work subcontractor(s):*

Coastal Subcontractor(s): _____

Scope(s) and \$ Values of Subcontracted Work: _____

Did project scope include Driven Sheet Piling? Yes / No *If Yes, identify sheet piling subcontractor and quantity of work:*

Sheet Piling Subcontractor (or "self performed"): _____

Did project scope include Rubble Mound Breakwater? Yes / No *If Yes, identify subcontractor/suppliers and quantities:*

Breakwater Subcontractor (or "self performed"): _____

Stone Supplier/Quarry: _____

Stone Quantities: _____

Explanations and other relevant features of referenced project *(Describe/Attach: Respondent's project responsibilities for Marina and/or Coastal work included in scope of referenced project)*

Section B. Past Performance: General Contracting, MBE/WBE and Employment Opportunity Experience

Identify relevant projects completed by Respondent during the past five years. Make copies, complete and submit for each project offered by Respondent to demonstrate experience on comparable projects.

Name / Location of Project: _____

Project Completion (Month/Year): _____

Was Project Completed on Schedule? Yes / No

Final Dollar Value of General Contract * : \$ _____

Was Project Completed on Budget? Yes / No

** If Respondent was not the General Contractor, attach description of Respondent's role on the Project and relevance of that experience to this RFQ.*

Owner Reference(s):

Name: _____

Project Role † : _____

† E.g., Owner or Owner's Designer, Engineer, Program Manager, Construction Manager

Phone Numbers / email: _____

Respondent's Project Manager: _____

Other Key Personnel: _____

MBE/WBE: Did the Project include goals or Contractor commitments for the participation of minority-owned and/or women-owned businesses? **Yes / No** *If Yes, describe the goals/commitments and explain how and to what extent were they met:*

Employment Opportunity: Did the Project include goals for the employment of minorities, women and/or local residents in the construction of the project? **Yes / No** *If Yes, describe the goals/commitments and explain how and to what extent were they met:*

Explanations and other relevant features of referenced project:

Section C. Key Personnel.

Eligible contractors must employ and have available appropriately qualified and experience personnel (Project Manger, Superintendents, Scheduler, Quality Control Manager, Safety Manager) as needed to provide required management services on the Harbor projects.

Identify key personnel currently employed by the Respondent and suitable for assignment on Harbor projects. Relevant Experience on projects described in Section A. above is highly desirable. Attach resumes of all individuals listed.

| Name | Role / Title | Relevant Project Experience |
|------|--------------|-----------------------------|
| | | |
| | | |
| | | |
| | | |
| | | |

Section D. Safety

PBC is committed to working with safe general contractors. To that end, the PBC has developed a safety questionnaire to aid in selection of general contractors with good safety records. As a part of the general contractor solicitation process you must answer the questions below.

1. Does you organization have a safety program? Yes No
 If yes, provide the following information:
 - Month and Year first implemented _____
 - Method of review of program _____
 - Whether regular work site safety meetings are held and how frequently _____
 - Copy of table of contents of safety/loss control manual

2. Have any citations been issued to your organization during the period of the last three years for workplace safety law violation. Yes No If yes, provide detailed information for each occurrence regarding:
 - The nature of the violation for which your organization was cited.
 - Summary of your position of the matter.
 - Official resolution of violation

3. Provide your organization’s OSHA reportable incident rate: _____. If this is greater than 3.0, please attach your OSHA Form 300A Summaries for the last three years and a written explanation to the qualification questionnaire (attach as necessary).

4. Provide a copy of your organization’s NCCI current experience modification (EMR) rating worksheet. If the rating is greater than 1.0 please attach the NCCI rating information for the last 3 years and a written explanation to the qualification questionnaire. As a follow up, you may be asked to provide your written safety plan.

PART III. LEGAL ACTIONS

Section A. Legal Actions

Provide the information below. If the answer to any of the questions is "Yes", provide a brief description or explanation on a separate sheet.

| Question | Yes | No |
|--|-----|----|
| 1. Has the firm or venture been issued a notice of default on any contract awarded in the last three years? | | |
| 2. Does the firm or venture have any judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers? If yes, include the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed. Attach explanation. | | |
| 3. Within the past three years, has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts? | | |
| 4. Within the last three years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter? | | |
| 5. Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid rigging or bid-rotating? | | |
| 6. Has the firm or venture ever been temporarily or permanently debarred from a contract awarded by any federal, state, or local agency? | | |
| 7. Within the last three years, has the firm or venture been assessed penalties for any statutory or administrative violations, including MBE, WBE and EEO? | | |
| 8. Has the firm or venture ever failed to complete any work awarded to it? | | |

PART IV. FINANCIAL CAPACITY

Section A. Banking. Provide the following information about the firm's or ventures primary commercial bank.

Name of Bank: _____

Address: _____ Phone No.: _____

City, State, ZIP _____ Fax No.: _____

Contact Officer: _____ Phone No.: _____

In what year was the banking relationship established? _____

Does your firm or venture have access to a line of credit? Yes _____ **No** _____

If yes, indicate upper limit. \$ _____ How much is currently available? \$ _____

Other sources of capital: _____

Section B. Gross Revenues. Indicate below the amount of revenues earned by the firm or venture from construction-related activities for the last three (3) years.

| Year | Amount |
|-------|----------|
| _____ | \$ _____ |
| _____ | \$ _____ |
| _____ | \$ _____ |

Section C. Financial Statement. Attach Respondent's audited financial statement with notes for the last fiscal year. If a joint venture, submit financial statements for the joint venture name and each joint venture partner. Independent accountant may provide a copy of a complete financial statement. All notes and schedules must be provided.

Independent Accountant Information

Year ending financial statement date: ____/____/____ [SELECT ONE]: Audit Review Compile

Consolidated financial statement: Yes No