



**REQUEST FOR QUALIFICATIONS  
FOR  
CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS1669  
FOR  
VARIOUS PROJECT SITES**

**Issued on Thursday, November 12, 2009  
RESPONSES ARE DUE ON MONDAY, NOVEMBER 30, 2009**

Responses must be submitted in sealed envelope(s) or package(s). The outside of each must clearly indicate the name of your firm and the name of the Submittal.

**SUBMIT ONE (1) ORIGINAL AND SIX (6) COPIES (4 PAPER COPIES, 1 UNBOUND COPY AND 1 CD-ROM COPY)  
OF THE SUBMITTAL**

**TO:**

**Public Building Commission of Chicago**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602  
[www.pbcchicago.com](http://www.pbcchicago.com)

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**Mayor Richard M. Daley  
Chairman**

**Erin Lavin Cabonargi  
Executive Director**

# PUBLIC BUILDING COMMISSION OF CHICAGO

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## I. SUBMISSION CHECKLIST

Please review submission and ascertain that all applicable forms are complete and additional required documentation is attached. The submission must be signed by an authorized officer of the firm.

1. \_\_\_\_\_ Transmittal Letter
2. \_\_\_\_\_ Table of Contents
3. \_\_\_\_\_ Executive Summary
4. \_\_\_\_\_ Attachment A I – General Information
5. \_\_\_\_\_ Copy of Joint Venture Agreement (if applicable)
6. \_\_\_\_\_ Project Flow Chart
7. \_\_\_\_\_ Financial Statements (Provide an financial statement, reviewed or compiled statement prepared by an outside accountant)
8. \_\_\_\_\_ Copy of current MBE/WBE certification letter (if applicable)
9. \_\_\_\_\_ Copy of each applicable license and resumes
10. \_\_\_\_\_ Attachment B - Relevant Experience
11. \_\_\_\_\_ Key Personnel (Attach resumes of Key Personnel and detailed organization chart.)
12. \_\_\_\_\_ Attachment C – Legal Actions (Attach additional information as necessary.)
13. \_\_\_\_\_ Attachment E – Insurance (Provide proof of Insurance)
14. \_\_\_\_\_ Attachment F – Disclosure of Retained Parties
15. \_\_\_\_\_ Attachment G – Form of Agreement
16. \_\_\_\_\_ Attachment H – Application for Acceptance of Material Testing Facility
17. \_\_\_\_\_ Attachment I – Structural Steel Fabrication Shop Inspection “Stamped Manifests”

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**II. KEY INFORMATION ABOUT THIS RFQ**

1. **RFQ CONTACT:** The RFQ Contact, identified below, is the sole point of contact regarding the RFQ from the date of issuance until selection of the successful Consultant.  
Senior Contract Officer  
Esmeralda R. Soto  
**Public Building Commission of Chicago**  
50 West Washington, Room 200  
Chicago, Illinois 60602  
Fax (312) 744-3572  
[esmeralda.soto@cityofchicago.org](mailto:esmeralda.soto@cityofchicago.org)
  
2. **RFQ AVAILABILITY:** Hard copies of the Request for Qualifications are available at the Richard J. Daley Center, 50 West Washington Street, Room 200, Chicago, IL 60602, at the Reception Desk. Our office hours are from 9:00 AM to 5:00 PM, Monday through Friday or you may download it online by going to the following link:  
[www.pbcchicago.com](http://www.pbcchicago.com).  
Any addenda that are issued will only be posted to the above listed website link. Respondent must acknowledge any addenda that are issued and posted to the PBC website [www.pbcchicago.com](http://www.pbcchicago.com), in the Transmittal Letter.  
**The Commission will not be liable for a Consultant's failure to obtain or download any addenda issued for a request for qualifications.**
  
3. **QUESTIONS:** Please direct all written questions (and requests for American Disabilities Act accommodations) to the RFQ Contact. Questions received less than seven calendar days prior to the due date and time may be answered at the discretion of the Public Building Commission ("PBC"). We will post the answer on the PBC website, which may be viewed at [www.pbcchicago.com](http://www.pbcchicago.com).
  
4. **SUBMISSION DEADLINE AND PROCUREMENT TIMETABLE:** The following dates are set forth for informational and planning purposes; however, the Public Building Commission reserves the right to change the dates. Notice of any changes will be provided via addendum.  
Issue RFQ.....November 12, 2009  
Due Date and Time for Submissions.....November 30, 2009 at 2:00 PM C.S.T.
  
5. **NUMBER OF COPIES:** Submit a signed one (1) original copy and six (6) copies (four (4) double sided copies, one (1) unbound copy and one (1) compact disk (CD-ROM) copy of the electronic Submission) in a sealed envelope or container.
  
6. **SUBMIT QUALIFICATIONS TO:**  
Esmeralda R. Soto, Senior Contract Officer  
Public Building Commission of Chicago  
50 West Washington Street, Room 200  
Chicago, Illinois 60602
  
7. **CONFIDENTIALITY:** Respondent may designate those portions of the Proposal, which contain trade secrets or other proprietary data that must remain confidential. If a Respondent includes data that is not to be disclosed to the public for any purpose or used by the PBC except for evaluation purposes, the Respondent must:

- A. Mark the title page as follows: "This RFP proposal includes trade secrets or other proprietary data ("data") that may not be disclosed outside the PBC and may not be duplicated, used or disclosed in whole or in part for any purpose other than to evaluate this Proposal. The data subject to this restriction are contained in sheets (insert page numbers or other identification)." The PBC, for purposes of this provision, will include any consultants assisting in the evaluation of Proposals. If, however, a contract is awarded to this Respondent as a result of or in connection with the submission of this data, the PBC has the right to duplicate, use, or disclose the data to the extent provided in the resulting contract. This restriction does not limit the PBC's right to use information contained in the data if it is obtained from another source without restriction.
  - B. Mark each sheet or data to be restricted with the following legend: "Use or disclosure of data contained on this sheet is subject to the restriction on the title page of this Proposal." All submissions are subject to the Freedom of Information Act.
8. **RIGHT TO CANCEL:** The PBC reserves the right to cancel this procurement process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Consultants associated with this procurement process.

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III. DEFINITIONS

3.1 Definitions

Throughout this Request for Qualifications these terms have the following meanings:

"PBC" means the Public Building Commission of Chicago.

"Agreement" means the contract for Construction Material Testing and Inspection services for various projects that is to be entered into between the PBC and the selected Respondent pursuant to this RFQ.

"Authorized Commission Representative" means one or more persons designated in writing by the Executive Director for the purposes of assisting the PBC in managing the Project. As specifically directed by the PBC, the Authorized Commission Representative will act on behalf of the PBC.

"Include." Whenever the term "include" (in any of its forms) is used, it means "include, without limitation."

"Respondent" means the firm(s), individual(s), corporation(s), partnership(s) and joint venture(s) that submit responses pursuant to this RFQ.

"RFQ" means this Request for Qualifications, including all Exhibits and Addenda.

"Selected Respondent", "Construction Material Testing and Inspection Agency" or "Consultant" means the individual, partnership, corporation or joint venture that the PBC selects for award of a contract pursuant to the RFQ.

"Services" means all the tasks for which the PBC engages the Selected Respondent.

"Sub-consultant" means individual(s), partnership(s), corporation(s), or joint venture(s) that the Respondent engages to provide specialized services required by the Agreement.

"Submittal" means all materials submitted in response to this RFQ.

3.2 Interpretations

- 3.2.1. Any headings in this RFQ are for convenience of reference only and do not define, limit, control or affect the meaning of the RFQ's provisions. In this RFQ, unless the context otherwise requires, the terms "hereby," "herein," "hereof," "hereto," "hereunder" and any similar terms used in this RFQ refer to this RFQ. All section references, unless otherwise expressly indicated, are to sections of this RFQ. Words of any gender shall be deemed and construed to include correlative words of the other gender. Words indicating the singular number shall include the plural number and vice versa, unless the context shall otherwise indicate. All references to any exhibit or document shall be deemed to include all supplements and/or amendments to any such exhibits or documents entered into in accordance with the terms and conditions of this RFQ and such documents. All references to any person or entity shall be deemed to include any person or entity succeeding to the rights, duties, and obligations of such persons or entities in accordance with the terms and conditions of this RFQ.

- 3.2.2. Unless a contrary meaning is specifically noted elsewhere, the words "as required," "as directed," "as permitted" and similar words used in the RFQ mean that requirements, directions of and permission of the Executive Director are intended; similarly, the words "approved," "acceptable," "satisfactory" or words of like import mean "approved by," "acceptable to" or "satisfactory to" the Executive Director. Words such as "necessary," "proper" or words of like import mean that the Services must be performed in a manner or be of character which is "necessary" or "proper" in the sole opinion of the PBC.
- 3.2.3. Unless a contrary meaning is specifically noted elsewhere, the words "approved," "reasonable," "suitable," "acceptable," "properly," "satisfactory" or words of like effect and import used in the RFQ means reasonable, suitable, acceptable, proper or satisfactory in the sole judgment of the PBC.

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**IV. NATURE OF SERVICES**

**4.1. Intent**

The Public Building Commission of Chicago ("PBC") is initiating the planning process for various Chicago public facilities over the next three years. The successful firm(s) will be added to a pool of pre-qualified firms to perform on a Task Order basis the required Services, and on a rotation basis may be asked to respond to Request-For-Proposals and/or address project requirements in an expedited fashion to meet individual projects' schedule during this period. The PBC is therefore soliciting the qualification packages from Construction Materials Testing and Inspection Agencies or teams (the "Respondent(s)") to qualify them to perform on a Task Order basis as Construction Materials Testing and Inspection Agency on potential public projects developed through the PBC. The task order assignment process to be followed is delineated in Section IV., Subpart 4.3.

**4.2. General Scope of Services – Construction Materials Testing and Inspection Agency**

The Construction Materials Testing and Inspection Agency (CMT) will enter into a task order agreement with the PBC. CMT must provide all Services required for completing the Construction Materials Testing and Inspection Services of the assigned project or projects during the planning or designing phases. Generally, the CMT must provide testing and inspection services for topsoil, foundations, site work, asphalt, concrete, masonry, structural steel, roofing, windows, painting, sprayed on fire-proofing, fire-stopping and all other tests and inspections as called for in the specifications.

Task Order issued will terminate when all Services required have been completed to the reasonable satisfaction of the Commission. The CMT Task Order shall be executed in a Lump Sum Format, on a project by project basis.

The scope of services sought must include the provision of all required labor, materials and equipment, related to the completion of Construction Materials Testing and Inspection Services as directed by the PBC and as indicated in the project specifications. The project specifications will be made available at the time of task order issuance. Specific services that may be requested to be performed by the CMT include, but are not limited to:

**4.2.1. Earthwork:**

- 4.2.1.1. The CMT will be responsible for performing the tests and analysis of fill materials in accord with ASTM D1557 and with General Conditions.
- 4.2.1.2. The CMT will perform tests and conduct analysis of all the work done for excavating, backfilling and compacting for utilities meets the requirements set forth in the specifications.
- 4.2.1.3. The CMT will be responsible for performance of testing and inspection of soil materials proposed for use in the Work and initial quality control testing during earthwork operations to control if the work meets the requirements set in specifications and submit test reports.
  - a. The CMT is responsible for inspection and approval of sub-grades and fill layers before further construction work is performed thereon.
  - b. The CMT will sample materials, perform tests to control if the work meets the requirements set in the specifications book and submit test reports.
  - c. The CMT will provide one optimum maximum density curve for each type of cohesive soil. Determine maximum densities in accordance with ASTM D 1557.



- d. Determine the suitability of materials to be used as fill and backfill as per standards set in the specifications and drawings.
- e. Perform a mechanical analysis (AASHTO T88), plasticity index (AASHTO T91) and frost susceptibility analysis.
- f. Verification of Footing Sub Grades
  - i. Provide one optimum moisture maximum density curve for each type of soil encountered.
  - ii. For each strata of soil on which footings will be placed, conduct at least one test to verify the required design bearing capacities. Subsequent verification and approval of each footing sub grade may be based on a visual comparison of each sub grade with the related tested strata.
- g. Compaction Testing
  - i. Inspect, test and approve each lift of fill and backfill before next fill is placed. Tests should be in accordance with ASTM D 1556 or D2167.
  - ii. At sub grade and at each compacted fill and backfill layer, at least (1) test for every 2000 SF or less of paved area or building slab, but in no case fewer than (3) tests.
  - iii. Foundation Wall Backfill: At each compacted backfill layer, at least (1) test for each 100 feet or less of wall length, but no fewer than (2) tests or one(1) test per 20 foot run.
  - iv. Isolated footings: One field density test for each four (4) isolated footings
  - v. Utility Trench Backfill: At each compacted initial and final backfill layer, at least (1) test for each 50 feet or less of trench length under slabs and pavements, but no fewer than (2) tests.
- h. Proof-rolling Observations
  - i. Provide continuous observation of proof-rolling of entire building area. Four passes will be made.
  - ii. Approve sub grade or make recommendations for removal.
- i. Submittals: as per specifications.

#### 4.2.2. Site: Asphalt and Concrete Paving

- 4.2.2.1. The CMT must perform the testing and inspection of hot mix asphalt mixes and testing of placed stabilized base course and hot mix asphalt pavement as set forth in the specifications.
- 4.2.2.2. For Portland Cement Concrete Paving, perform in-place tests as follows:
  - a. Cylinder Test: Make tests on a minimum of (3) cylinders; (1) tested at seven days and (1) at twenty-eight days and one at 56 days, if 28 day compressive strength has not yet been obtained, in accordance with ASTM C 192 and ASTM C 39. The number of tests required must be one (1) set of specimens for each day's placement of each 75 cubic yards of concrete, or fraction thereof. (1) Additional test cylinder must be taken during cold weather and be cured on site under same conditions as concrete it represents
  - b. Slump Test: (1) slump test, in accordance with ASTM C 143, must be taken for each set of cylinders taken.

- c. Compaction test: The testing company must verify that the compacted base is ready to support paving and imposed loads.

#### 4.2.3. Concrete: Foundation and Slab-on-Grade

- 4.2.3.1. The CMT must be acceptable to authorities having jurisdiction, qualified according to ASTM C 1077 and of ASTM E 329 to conduct the testing indicated as documented according to ASTM E548.
- 4.2.3.2. Testing of composite samples from fresh concrete obtained according to ASTM C 172 must be performed according to following requirements:
  - a. Testing Frequency: Obtain one composite sample for each day's pour of each concrete mix exceeding 5 cu yd (4 cu m) but less than 25 cu yd( 19 cu m), plus one set for each additional 50 cu yd (38 cu m) or fraction thereof.
  - b. Slump: Perform according to ASTM C 143; (1) test at point of discharge for each day's pour of each type of concrete; additional tests when concrete consistency seems to have changed.
  - c. Air Content: Perform according to ASTM C 173, volumetric method for lightweight or normal weight concrete; ASTM C 231, pressure method for normal weight concrete; One test for each composite sample but not less than one test for each day's pour of each type concrete mix.
  - d. Concrete Temperature: Perform according to ASTM C 1064; (1) test hourly when air temperature is 40 deg F and below, when 80 deg F and above, and (1) test for each composite sample.
  - e. Unit Weight: ASTM C 567, fresh unit weight of structural lightweight concrete; one test for each composite sample but not less than one test for each day's pour of each concrete mix.
  - f. Compression Test Specimen: Perform according to ASTM C 31 / C31 M; (1) set of five standard cylinders for each compressive-strength test, unless otherwise directed. Mold and store cylinders for laboratory-cured test specimens except when field-cured test specimens are required.
  - g. Compressive-Strength Tests: Perform according to ASTM C 39;
    - i. Test two specimens at 7 days, two at 28 days, and one 56 days if 28 day compressive strength has not yet been obtained.
    - ii. A compressive strength test must be the average compressive strength from two specimens obtained from same composite sample and tested at age indicated.
  - h. Floor Flatness and Levelness: Perform according to ASTM E 1155/E 1155.
    - i. Test one (1) sample area for each slab required to have a floor flatness, F (F) or floor levelness F (L) greater than 25.
    - ii. Perform tests elevated slabs within 72 hours of concrete placement.

#### 4.2.4. Masonry

- 4.2.4.1. The CMT must be qualified according to ASTM C 1093.
- 4.2.4.2. CMT must attend the pre-installation meeting.
- 4.2.4.3. Perform Construction testing as follows for each 5000 SF of wall area or portion thereof:

- a. Mortar Test: Mortar testing frequency during construction must be as per ACI 530-08 and 530.1-08, but mortar samples must be taken when masonry is first constructed and results of strength test reported at seven (7) days from sampling. Test samples must be 2" x 2" cubes or 3" diameter x 6" high cylinders with minimum of three (3) samples each. Samples must be made and tested as per ASTM C780, "Standard Test method for Pre-construction and Construction Evaluation of Mortars for Plain and Reinforced Unit Masonry".
- b. Prism Test: For each type of wall construction indicated, masonry prisms will be tested per ASTM E 447, Method B, and as follows: Prepare (1) set of prisms for testing at 7 days and (1) set for testing at 28 days.

4.2.5. **Metals: Structural Steel, Joists, Decking, Metal Wall Panel**

4.2.5.1. **Structural Steel Framing**

- a. Attend pre-installation conference for steel erection.
- b. CMT is responsible for the testing and inspections of Structural Steel Framing as specified in the specifications. The CMT will not be responsible for enforcing requirements relating to aesthetic field.
- c. Implement Shop and Field testing program for owner as required by the specifications.
- d. Material & Welder Certification
  - i. Review each contractor's mill reports covering chemical and physical properties of steel conformance with the applicable ASTM specification
  - ii. Review each contractor's field welder certification for conformance with AWS certification requirement
- e. Bolted Connections: Bolted connections must be inspected according to RCSC's specification for structural joints using ASTM A325 or A490 bolts.
  - i. Visually inspect bolted connections
  - ii. Perform calibrated wrench tests on all bolted slip critical connections.
  - iii. For connections not designated as slip critical inspect connections to insure that the plies of the connected elements have been brought into snug contact.
- f. Welded Connections: Welded Connections must be tested and inspected according to AWS D1.1.
  - i. Perform Visual inspection of all welded connections
  - ii. Perform Ultrasonic testing of all penetration welds.
  - iii. Full Penetration welds of HSS members must meet AWS class R acceptance Criteria
- g. Shear Connectors: Welded shear connectors must be tested and inspected according to AWS D1.1.
  - i. Perform visual inspections of all welded shear connectors.
  - ii. Perform bend tests if visual inspection reveals a less than continuous 360 degree
  - iii. Comply with the requirements of the PBC "Standard Manifest" procedures, as per Attachment I.

4.2.5.2. Steel Floor and Roof Deck

- a. Perform inspections and tests as follows:
  - i. The CMT will inspect deck, deck fastening and side lap fastening and welding as per requirements set forth in the specifications.
  - ii. Welders Certification: Verify that certification of welders is not more than one year prior to time welding work is to be performed.
  - iii. Shop and Field Welds: Visually inspect all shop and field welds. Conform to America Welding Society, Structural Welding Code for Steel, AWS D1.1, current edition.

4.2.5.3. Cold Formed Metal Framing

- a. The CMT will be responsible for performing field test, inspection and prepare test reports to make sure that the work meets the requirements set forth in the specifications.

**4.2.6. Thermal and Moisture Protection: Roofing**

4.2.6.1. The CMT must inspect air barrier materials and installation for compliance with requirements.

4.2.6.2. The CMT will be responsible for performing field water penetration testing on the air barrier system in accordance with AAMA 501.2 A at minimum three locations for testing which will be selected by the architect.

4.2.6.3. Modified Bituminous Membrane Roofing:

- a. Attend the Roofing Pre-Construction Conference.
- b. Provide full-time observation the first two half days of installation and periodically thereafter.
- c. Direct Roofing installer to cut & patch one (1) foot square samples and perform tests to determine bitumen weight, mopping and moisture within the roofing system per 5,000 SF.
- d. Inspect the completed roofing system after application of coating system.

4.2.6.4. Hot Fluid-Applied Vegetated Roof System:

- a. Attend the Pre-installation Conference.
- b. The CMT must perform on-site inspections during the first 2 days of roofing installation and a final inspection at the completion of the roofing system.
- c. Monitor the flood testing performed by the contractor after installation of the Roofing material.
- d. Submit Test reports.

4.2.6.5. Other roofing systems may be utilized

**4.2.7. Sprayed-On Fireproofing/Firestopping, Fire-Resistive Joint Systems**

4.2.7.1. The CMT will be responsible for performing field tests and inspections to prepare test reports to control that the work meets the requirements set forth in the specifications.

4.2.7.2. Perform in-place tests as follows:

- a. Thickness for floor, roof and wall assemblies: For each 1000 SF area or partial area on each floor from the average of 4 measurements from a 144 sq inch sample area with sample width of not less than 6 inches per ASTM E 605.
- b. Thickness for Structural Frame Members: From a sample of 25 percent of structural members as per ASTM E605
- c. Density for Floors, Roofs, Walls and Structural Framing Members: At frequency and from sample size indicated for determining thickness of each type of construction and structural framing member per ASTM E 605 or AWCI Technical Manual 12-A Section 5.4.5 "Displacement Method"
- d. Bond Strength for Floors, Roofs, Walls and Structural Framing Members: For each 10,000 SF area or partial area on each floor cohesion and adhesion from one sample size indicated for determining thickness of each type of construction and structural framing member per ASTM E 736.
- e. Perform additional random testing to determine the extent of non compliance.
- f. Verify the thickness of thin film intumescent fire resistive coating in accordance AWCI technical manual 12-B, standard practice for the testing and inspection of field applied thin film intumescent Fire resistive Materials; an Annotated Guide, Inspection must be carried out immediately following final application of top coat.

4.2.7.3. Through-Penetration Firestop Systems:

- a. The CMT will prepare reports, perform inspections and test fire-stop systems, in accordance with ASTM E-2174, if it is being installed in compliance with the requirements.
- b. CMT will state in each report whether inspected through penetration fire-stop systems comply with or deviate from requirements
- c. CMT must attend the pre installation meeting.

4.2.7.4. Fire-Resistive Joint Systems:

- a. The CMT will be responsible for providing tests and inspections to verify the work complies with the requirements set in the Project's specified sections.

4.2.8. Doors & Windows: Exterior Wall System

- 4.2.8.1. The CMT will perform a water spray test on installed windows and curtain wall as set forth in the specifications.

4.2.9. Finishes

- 4.2.9.1. The CMT will perform on site and laboratory tests for the following characteristics as required by the board.
  - a. ASTM D3359 and D6677 Adhesion tests
  - b. Film Thickness tests
  - c. Quantitative material analysis
  - d. Apparent reflectivity
  - e. Washability
  - f. Dry Capacity
  - g. Volatile Organic Compound ("VOC") testing

4.3 Task Order Services Request and Award Process

Pre-Qualified CMTs must perform the ordered and required Services in a satisfactory manner consistent with the standard of performance stated in Attachment G – Form of Agreement Section 3.b. Such Services will be determined on an as-needed basis and as described in a Request for Proposal for a Task Order (“RFP-TO”). Pre-Qualified CMTs will be responsible for the professional and technical accuracy and completeness of all work or materials furnished.

#### **4.3.1. Issuance of Task Orders**

- 4.3.1.1. All Services must be authorized by a written Task Order. Pre-Qualified CMTs acknowledge and agree that the PBC is under no obligation to issue any Task Orders for Services.
- 4.3.1.2. The PBC may issue a RFP-TO specifically referencing the Agreement, identifying the project, and setting forth the Services to be performed pursuant to the proposed Task Order and a desired completion date.
- 4.3.1.3. The PBC has the discretion to issue a RFP-TO to the Pre-Qualified CMTs in one of the following manners:
  - a. Rotational Basis: In an effort to ensure equitable distribution of the task orders, the PBC may opt to assign the work on a rotational basis
  - b. Solicit competition for a limited number of CMTs: In an effort to ensure competition, the PBC reserves the right to solicit pricing from a subset of the pre-qualified pool of vendors on a rotational basis.
  - c. Sole Source: The PBC reserves the right to award a task order to an individual CMT. A sole source assignment may be the option of choice when:
    - 1. The project requires specific expertise.
    - 2. The PBC is attempting to meet aspirational goals designed to eradicate the effects of competitive disadvantages in the award of contracts
    - 3. The emergency nature of the assignment.
- 4.3.1.4. Pre-Qualified CMTs should be able to respond to Task Order requests within seven (7) days for most projects. RFP-TO requests may include, but are not limited to a proposed time schedule, budget, deliverables and a list of key personnel, all of which must conform to the terms of the RFP-TO and the terms and conditions of the Agreement.
- 4.3.1.5. Costs associated with the preparation of Task Orders are not compensable under the Agreement and the PBC is not liable for any additional costs.

#### **4.4. Acceptance of Task Orders**

- 4.4.1. Upon acceptance of Pre-Qualified CMTs response to the Task Order Services Request, the PBC may, by written Task Order signed by the Executive Director, direct the Pre-Qualified CMT to perform the Task Order Services.
- 4.4.2. Pre-Qualified CMTs must not commence Services under the Task Order until the written approval of the Executive Director has been obtained, and the PBC is not liable for any cost incurred by the Pre-Qualified CMT without such approval.

#### **4.5. Term of the Contract**

The agreement resulting from this RFQ will be effective on the date of execution for a period of three (3) years with two, one (1) year extensions options for the same Terms & Conditions, or upon completion of the last executed task orders, whichever occurs later.

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**V. SUBMITTAL INSTRUCTIONS AND REQUIREMENTS**

**5.1. GENERAL INSTRUCTIONS:**

- 5.1.1 These instructions prescribe the format and content of the Submission. They are designed to facilitate a fair and uniform review process. Failure to adhere to this format will affect our evaluation and may result in disqualification of the Submission. However, the PBC reserves the right to reject or accept any submittals for any reason whatsoever.
- 5.1.2 Submittals should be bound on the long side and prepared on standard 8½" x 11" letter size paper, with material on one side only for the original & unbound copy all others printed double sided. Separate each section by labeled tabs and organize in accordance with submittal requirements listed below. Expensive papers and bindings are discouraged since no materials will be returned. Of the seven (7) submittals, at least one (1) must contain original signatures and be marked **ORIGINAL** and six (6) copies (four (4) paper copies, one (1) unbound copy and one (1) compact disk (CD-ROM) copy of the electronic format submittal to facilitate additional copying by the PBC, as required). Failure to submit the required number of copies may prevent the Submission from being evaluated within the allotted time.
- 5.1.3 The PBC reserves the right to seek clarification of information submitted in response to this RFQ during the evaluation and selection process. The Evaluation Committee ("Committee") may solicit from previous clients (including the PBC, the City of Chicago, other government agencies, or any other available sources) relevant information concerning the firm's record of past performance.
- 5.1.4 Attachments must be referenced in the Submission.
- 5.1.5 Failure to submit the required documents may deem your firm non-responsive.
- 5.1.6 The outside of each envelope or package and each Response must be labeled:

REQUEST FOR QUALIFICATIONS FOR  
CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS 1669  
FOR VARIOUS PROJECT SITES  
Due 2:00 p.m. C.S.T., November 30, 2009

(Name of Respondent)

Package\_\_of\_\_

**5.1.7 The outside of each envelope or package must be addressed and returned to:**

Public Building Commission of Chicago  
Re: CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES  
For Various Project Sites  
**PS1669**  
Richard J. Daley Center, Room 200  
50 W. Washington Street  
Chicago, Illinois 60602

Attention: Esmeralda R. Soto - Contract Officer

5.2. **SUBMITTAL REQUIREMENTS:** The following documents and responses will be included in your submission and tabbed as such in the order given below.

5.2.1. **RESPONSIVENESS.** Consultant's compliance with all submission requirements.

5.3. **TECHNICAL SUBMISSION:** The following documents and responses will be included in the Technical Submission and tabbed as such in the order given below:

5.3.1 **TRANSMITTAL LETTER:** An individual authorized to legally bind the Respondent must sign the transmittal letter. The person who signs the transmittal letter will be considered the contact person for all matters pertaining to the Submission unless the Respondent designates another person in writing. The letter must include the Respondent's mailing address, e-mail address, fax number and telephone number.

Any request for confidential treatment of information must be included and the specific statutory basis supporting the request, an explanation of why disclosure of the information is not in the best interest of the public, and the specific basis under Section 7 of the Illinois Freedom of Information Act (5 ILCS 140/7) for the exemption from disclosure of such information. The request must also contain the name, address and telephone number of the individual authorized to respond to the Commission about the confidential nature of the information.

The Transmittal Letter must identify all firms in the project team composition, as well as must indicate the Respondent is prepared to enter into an agreement in the form being offered. Attachment G – Form of Agreement contains the standard Terms and Conditions of the agreement which are not subject to negotiation.

Respondent must acknowledge any addenda that are issued and posted to the PBC website [www.pbcchicago.com](http://www.pbcchicago.com).

5.3.2 **TABLE OF CONTENTS:** The Consultant must include a table of contents in its Submission. Submissions must be page numbered sequentially from front to back.

5.3.3 **EXECUTIVE SUMMARY:** The Consultant must prepare an Executive Summary and overview of the services it is proposing including all of the following information:

5.3.3.1 Demonstrate that the Consultant has a clear understanding of the services as specified in RFQ, "Nature of Services". Please limit to one page.

5.3.3.2 Statement of qualifications. Please limit to one page.

5.3.3.3 Project Approach. Describe your approach to managing a typical project. Please limit to one page.

5.3.3.4 Provide an organization chart illustrating, the structure of the Consultant's proposed team. This would include senior management, executives, project managers, project engineers, staff scientists, geologists, administrative assistants, CADD operators and other professionals that are employed by the Consulting firm who are being proposed as a team to work on PBC projects.

5.3.3.5 Provide an explanation of how the Respondent satisfies the evaluation criteria. Please limit to one page.

5.3.3.6 Minority and Women-Owned Business Enterprises. The PBC affirmative action requirements are set forth with particularity in Attachment D, "Special Conditions Regarding the Utilization of Minority and Women Owned Business Enterprises". The Respondent is required to make a statement of understanding and commitment to comply with the aforementioned Special Conditions on assigned task orders. The attachment includes various affidavits, certifications and other reporting forms, for the Respondent's review.



### 5.3.4 QUALIFICATION OF THE FIRM(S)

5.3.4.1 Provide examples of the Respondent's experience, within the last two (2) years, for projects located in the Illinois-Chicago Metropolitan area, capabilities and resources, at both the corporate and individual levels, of Construction Material Testing and Inspection services of municipal or education facilities.

a. Include a Project Profile with project name, location, client, total contract amount, day-to-day technical project manager, your firm's primary role on the project, key staff, date completed, brief narrative description for each project identified and described above. No more than two pages per project.

5.3.4.2 The Respondent must present their proposed project managers to be assigned for Public Building Commission work.

a. Provide a one page table for each project manager that demonstrates ten (10) local projects. For each project please provide the following information:

- i. Project Manager (The lead Project Manager Only)
- ii. Project Name
- iii. Project Location
- iv. Project Type: Industrial, Commercial or Residential
- v. Brief description of the project
- vi. Value of Contract
- vii. Date of Completion

Include client, total contract amount, day-to-day technical project manager, your firm's primary role on the project, key staff, brief narrative description for each project identified and described above.

5.3.4.3 Copies of current licenses and resumes for the on staff Professional Engineers, all project managers and key personnel to be assigned to PBC projects.

5.3.4.4 A description of how the Respondent has implemented cost savings measures on previous projects and how they propose to save the PBC on project costs.

5.3.4.5 The Respondent must provide evidence of accreditation by a nationally recognized authority (AASHTO ,NVLAP, etc.) that they are accredited to:

ASTM E329  
ASTM C1077  
ASTM C1093

5.3.4.6 The Respondent must provide evidence from the appropriate national authority (CCRL, AMRL) that they participate in the concrete and soils excavation and proficiency programs.

5.3.4.7 The Respondent must provide evidence of accreditation to AASHTO R18 or PBC approved equal.

5.3.4.8 Provide copies of the following:

- a. Copy of Respondent's Quality Manual.
- b. A copy of the latest AMRL inspection report.
- c. A copy of the latest CCRL inspection report.

### 5.3.5 PROJECT ORGANIZATION CHART

The quality of the Respondent's proposed management and personnel structure for a typical project as depicted in the Project Organization Chart.

### 5.3.6 KEY PERSONNEL

- 5.3.6.1 Demonstrate the availability and strengths of personnel and staffing to be dedicated to the services requested.
- 5.3.6.2 Provide resumes of key personnel (Limit one-page per resume).
- a. Provide testing and inspection experience of key project personnel including testing and inspections for: soils, concrete, masonry, steel, and asphalt.
  - b. Provide copies of current licenses for the on-staff Professional Engineers, Inspectors, Technicians, and key personnel.
  - c. Provide a summary of professional engineering experience, certifications and associations of key project personnel. Copies of current licenses for the on-staff Construction Material Testing and Inspection consultants, engineers, project managers, and key project personnel.
  - d. Provide the name of the responsible Independent Testing Laboratory's professional engineer (PE) with a copy of his/her current license.
  - e. The ITL must submit resumes for approval for any and all non-inspectors who will be expected to bill on the project. Only approved personnel may bill to the project when authorized by the PBC.
- 5.3.6.3 Provide a summary list of the individuals for which résumés have been provided, and the years that those individuals have been with their current firms.

#### 5.3.7 FINANCIAL STRENGTH

The Respondent shall furnish an audited financial statement including notes, such as but not limited to balance sheets and/or profit and loss statements, for the last three (3) years demonstrating that the Respondent has the financial viability and ability to perform the Services. In the event Respondent does not have an audited financial statement, Respondent may submit a review or compilation prepared by an outside accountant with the notes. The Commission, however, reserves the right to request additional information. The Respondent shall also submit annual reports and a written disclosure advising of any pending litigation against the Respondent that may have a material effect in Respondent ability to provide the Services.

#### 5.3.8 SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES

The PBC affirmative action requirements are set forth with particularity in Attachment D, "Special Conditions Regarding the Utilization of Minority and Women Owned Business Enterprises". The Respondent is required to make a statement of understanding and commitment to comply with the aforementioned Special Conditions on assigned task orders. The attachment includes various affidavits, certifications and other reporting forms, for the Respondent's review.

#### 5.3.9 QUALITY ASSURANCE / QUALITY CONTROL (QA/QC) PLAN

The Respondent must specifically describe its QA/QC process for reviewing all report prior to submission to the PBC.

#### 5.3.10 INSURANCE REQUIREMENTS

The selected Respondent must maintain the types of insurance coverage described in Attachment E – Insurance Requirements. As such, each submission must be accompanied by written evidence of the Respondent's ability to procure the insurance specified in Attachment E and must include a **Sample certificate of insurance** showing required limits. Indemnification requirements are contained in the Agreement. Indemnification obligations are independent of and unlimited in any manner by the Selected Respondent's insurance

coverage. The limits will depend upon the type of activity involved and will be as prescribed by the PBC's Risk Manager. The limits set forth in Attachment E are required by the PBC's intergovernmental agreement with its User Agency client, and are not negotiable.

The PBC reserves the right to require the selected Respondent to furnish a **Sample certificate of insurance** or, if the PBC so requires, certified copies of the original policies of all insurance required by the RFQ. The receipt of any **Sample certificate of insurance** does not constitute agreement by the PBC that the insurance requirements of the RFQ have been fully met, or that the insurance policies indicated on the certificates are in compliance with all requirements of the RFQ. Failure of the PBC to request or obtain certificates or other evidence of insurance from the selected Respondent must not be deemed to be a waiver by the PBC.

#### 5.4 FORMS:

Required with your submittal are Attachments A-D, & F. Prior to contract award, the selected Respondent will be required to submit evidence of insurance in the amounts specified in Attachment E; refer to Section 5.3.10 for submittal insurance requirements. Attachments G, H, & I must be acknowledged by Respondent in their Transmittal Letter. State the degree to which Respondent accepts the Commission's, Terms and Conditions (T&C) in Attachment G, the facility application process for material testing in Attachment H, and the procedures in Attachment I on "Structural Steel Fabrication Shop Inspection "Stamped Manifests"

##### 5.4.1 ATTACHMENT A – GENERAL INFORMATION

5.4.1.1 Copy of Joint Venture Agreement (if applicable).

5.4.1.2 Copy of current MBE/WBE certification letter (if applicable).

5.4.1.3 Copy of each applicable license.

5.4.1.4 Copy of sample insurance certificate or statement of ability to comply with insurance requirements identified in Article IV. Sub-paragraph 3.9 Insurance Requirements and Attachment E of this attachment.

5.4.1.5 Anti-Collusion

##### 5.4.2 ATTACHMENT B – SECTION A - RELEVANT EXPERIENCE

Attach resumes of Key Personnel. Complete Attachment B – Relevant Experience.

##### 5.4.3 ATTACHMENT C – LEGAL ACTIONS

Attach additional information as necessary.

##### 5.4.4 ATTACHMENT D – SPECIAL CONDITIONS FOR M/WBE UTILIZATION

##### 5.4.5 ATTACHMENT E – INSURANCE REQUIREMENTS

##### 5.4.6 ATTACHMENT F - DISCLOSURE OF RETAINED PARTIES

##### 5.4.8 ATTACHMENT G - FORM OF AGREEMENT

##### 5.4.9 ATTACHMENT H - APPLICATION FOR ACCEPTANCE OF MATERIAL TESTING FACILITY

5.5. **REJECTION OF SUBMITTALS:** Submittals that do not comply with the submittal requirements of the RFQ, or that contain omissions, erasures, alterations or additions, or that are irregular in any way, may be rejected as informal and insufficient. The PBC, however, reserves the right to waive any or all informalities when it considers a waiver to be in its and the public's best interest.

#### 5.6. OWNERSHIP OF SUBMITTALS

The PBC owns all submitted materials. Submittals will not be returned to Respondents. During the evaluation and selection period and after the Selected Respondent(s) sign the Agreement(s), all Submittals remain the property of the PBC. The PBC shall not be

responsible for expenses incurred in preparing and submitting the Submittal. Such costs must not be included in the Submittal.

**5.7. IMPROPER PRACTICES**

The Respondent must not offer any gratuities, favors, or anything of monetary value to any member of the Board of Commissioners of the PBC, official, or employee of the PBC for the purpose of influencing consideration of the Submittal. The Respondent must not collude in any manner or engage in any practices with any other Respondent(s) or potential Respondent(s) that may restrict or eliminate competition or otherwise restrain trade. Violation of this instruction will cause the Respondents' response to this RFQ to be rejected by the PBC. Notwithstanding the foregoing, this prohibition is not intended to preclude joint ventures, licenses or subcontracts.

**5.8. COMPLIANCE WITH LAWS**

The Selected Respondent must comply with all laws, statutes, ordinances and regulations of any governmental body, including the PBC and Federal, state, local and city governments. Respondents' attention is directed to the provisions of Article 33E of the Illinois Criminal Code, 720 ILCS 5/33E-1 et seq. (as amended), but Consultants must comply with any other provisions that apply to or in any manner affect any Services performed under the Agreement.

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**REQUEST FOR QUALIFICATIONS**  
**CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS1669**  
**FOR**  
**VARIOUS PROJECT SITES**

**VI. EVALUATION CRITERIA**

**Section 7.1 SELECTION PROCESS**

An Evaluation Committee (the "Committee") will review the Respondent's qualifications in accordance with submittal requirements and evaluation criteria set forth below and may recommend a short list of Respondents to the PBC Executive Director. At the Executive Director's discretion, short-listed Respondents may be invited to make oral presentations to the Committee after which the Committee will then summarize the evaluation process and results and submit its recommendation to the Executive Director. The Executive Director will make a final determination and request approval from PBC Board of Commissioners to initiate negotiations with one or more of the firms that have submitted their qualifications and whose responses are most advantageous to the PBC.

The PBC reserves the right to seek clarification of information submitted in response to this RFQ/P and/or request additional information during the evaluation process. The PBC reserves the right to accept or reject any or all qualifications and selections when it is determined, at the sole discretion of the Executive Director, to be in the best interest of the PBC.

**Cancellation:** The PBC reserves the right to terminate this procurement at any stage if the Executive Director determines it to be in the best interest of the PBC. In no event is the PBC liable to Respondents for any cost or damages incurred by Respondents, sub-consultants, subcontractors or other interested parties in connection with the selection process, including but not limited to any and all costs of preparing the Request for Qualifications/ Proposals and participation in any conferences, oral presentations or negotiations.

**Section 7.2 EVALUATION CRITERIA**

The Committee will review the qualifications in accordance with the following criteria:

**A. EXPERIENCE AND PAST PERFORMANCE**

- i. The depth, breadth and relevance of Respondent's recent experience (within the past 2 years for projects located in the Illinois-Chicago Metropolitan area), capabilities and resources, at both the corporate and individual levels, in: (i) Field services including available equipment and type of studies, (ii) Analysis services including quantity, type and adequacy of equipment, type of studies, technicians and quality control, (iii) services including testing analysis, inspection procedures, and resource management and (iv) general knowledge of testing and inspection standards, as evidenced by the documents furnished, including, but not limited to, the Executive Summary and ATTACHMENT B - Relevant Experience.
- ii. Respondent's demonstrated ability in meeting reporting, analysis and schedule parameters in its prior engagements, as evidenced by the documents furnished, including, but not limited to, the Executive Summary and ATTACHMENT B - Relevant Experience.
- iii. Evidence of Respondent's current and valid business and professional licenses as evidenced by the documents furnished, including, but not limited to, the Executive Summary and ATTACHMENT A - General Disclosure Information about the Respondent.

**B. ORGANIZATION**

- i. Qualifications of key staff, including education, training, job performance in similar capacities on comparable projects and previous experience in providing services, including field services, analysis services.

- ii. Respondent's demonstrated understanding of the services required and ability to identify appropriate personnel for the services required as evidenced by an organization chart indicating key personnel who will be assigned to the PBC's project and the responsibility each will have in the performance of the services.
- iii. Respondent's description of their approach to managing the needs of a typical project.

#### C. PROJECT ORGANIZATION CHART

The quality of the Respondent's proposed management and personnel structure for a typical project as depicted in the Project Organization Chart.

#### D. FINANCIAL STRENGTH

The Respondent shall furnish an financial statement including notes, such as but not limited to balance sheets and/or profit and loss statements, for the last three (3) years demonstrating that the Respondent has the financial viability and ability to perform the Services. In the event Respondent does not have a financial statement, Respondent may submit a review or compilation prepared by an outside accountant with the notes. The Commission, however, reserves the right to request additional information. The Respondent shall also submit annual reports and a written disclosure advising of any pending litigation against the Respondent that may have a material effect in Respondent ability to provide the Services. The PBC will evaluate the Respondent's ability to attract and retain a highly qualified staff, as well as Respondent's demonstrated financial stability, as evidenced in the documents and references provided in Respondent's submittal.

#### E. MBE/WBE UTILIZATION PLAN

The quality of the Respondent's statement of understanding and commitment to comply with the PBC's Special Conditions found in Attachment D as evidenced in the Executive Summary. In addition, The PBC will evaluate the Respondent's past performance in meeting and/or exceeding the MBE and WBE goals on PBC and Non-PBC projects as indicated in Attachment B.

#### F. CONTRACT TERMS AND CONDITIONS

Evidence of the Respondent's understanding of the PBC's standard terms and conditions found in Attachment G, "Form of Agreement" to this RFQ. These terms and conditions are required by the PBC's intergovernmental agreement with its User Agency client, and are not negotiable.

#### G. INSURANCE REQUIREMENTS

The selected Respondent must maintain the types of insurance coverage described in Attachment E – Insurance Requirements. As such, each submission must be accompanied by written evidence of the Respondent's ability to procure the insurance specified in Attachment E and must include a **Sample certificate of insurance** showing required limits. Indemnification requirements are contained in the Agreement. Indemnification obligations are independent of and unlimited in any manner by the Selected Respondent's insurance coverage. The limits will depend upon the type of activity involved and will be as prescribed by the PBC's Risk Manager. The limits set forth in Attachment E are required by the PBC's intergovernmental agreement with its User Agency client, and are not negotiable.

The PBC reserves the right to require the selected Respondent to furnish a **Sample certificate of insurance** or, if the PBC so requires, certified copies of the original policies of all insurance required by the RFQ. The receipt of any **Sample certificate of insurance** does not constitute agreement by the PBC that the insurance requirements of the RFQ have been fully met, or that the insurance policies indicated on the certificates are in compliance with all requirements of the RFQ. Failure of the PBC to request or obtain certificates or other evidence of insurance from the selected Respondent must not be deemed to be a waiver by the PBC.

#### H. RFQ COMPLIANCE / RESPONSIVENESS

PBC will review the quality, completeness and comprehensiveness of response to this RFQ and compliance

with each of the submittal requirements.

**I. QUALITY ASSURANCE / QUALITY CONTROL (QA/QC) PLAN**

The PBC will assess each Respondent's understanding of quality assurance and quality control, and their demonstrated ability to provide effective quality assurance and quality control services as evidenced by the QA/QC Plan as related to these services.

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**ATTACHMENT A**  
**GENERAL DISCLOSURE INFORMATION ABOUT THE RESPONDENT**  
**REQUEST FOR QUALIFICATIONS**  
**CONSTRUCTION MATERIAL TESTING & INSPECTION SERVICES - PS1669**  
**FOR**  
**VARIOUS PROJECT SITES**

Respondent/Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

Federal Employer I.D. #: \_\_\_\_\_ Social Security #: \_\_\_\_\_

Telephone No.: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Contact E-Mail: \_\_\_\_\_

**I. DISCLOSURE OF OWNERSHIP INTERESTS**

This statement is attached to and is a part of the submittal submitted by:

\_\_\_\_\_  
Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, all proposers must provide the following information with their submittal. If the question is not applicable, answer "NA". If the answer is none, please answer "none".

Bidder/Proposer/Contractor is a:	<input type="checkbox"/> Corporation	<input type="checkbox"/> LLC
	<input type="checkbox"/> Partnership	<input type="checkbox"/> LLP
	<input type="checkbox"/> Joint Venture	<input type="checkbox"/> Not-for-Profit Corporation
	<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Other

**Important Note:** If a joint venture, attach a copy of joint venture agreement to this form. Joint ventures must submit a list of projects underway or completed as a joint venture on **Attachment B – Relevant Experience**.

How many years has the firm or venture been in business under its present name? \_\_\_\_\_

Under what other names, if any, has the firm or venture operated? \_\_\_\_\_  
\_\_\_\_\_

How many years has your firm been performing the services covered by this RFQ? \_\_\_\_\_



**SECTION 1. FOR PROFIT CORPORATION OR LIMITED LIABILITY COMPANY (LLC)**

a. State of Incorporation or organization \_\_\_\_\_

b. Authorized to do business in the State of Illinois: Yes [ ] No [ ]

c. Names of all officers of corporation or LLC		Names of all directors of corporation	
(or attach list):		(or attach list):	
Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

d. If the corporation has fewer than 100 shareholders indicate here or attach a list of names and addresses of all shareholders and the percentage interest of each.

Name (Print or Type)	Address	Ownership Interest
_____	_____	_____ %
_____	_____	_____ %
_____	_____	_____ %

e. If the corporation has 100 or more shareholders, indicate here or attach a list of names and addresses of all shareholders owning shares equal to or in excess of seven and one-half percent (7.5%) of the proportionate ownership of the corporation and indicate the percentage interest of each.

Name (Print or Type)	Address	Ownership Interest
_____	_____	_____ %
_____	_____	_____ %
_____	_____	_____ %

f. For LLC's, state whether member-managed or identify managing member:

\_\_\_\_\_.

g. For LLC's identify each member:

Name (Print or Type)	Address	Ownership Interest
_____	_____	_____ %
_____	_____	_____ %
_____	_____	_____ %

h. Is the corporation or LLC owned partially or completely by one or more other corporations or legal entities?  
Yes [ ] No [ ]

If "yes" provide the above information, as applicable, for each such corporation or entity.

**SECTION 2. PARTNERSHIPS**

- a. If the bidder/proposer or Contractor is a partnership, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP)

Name of Partners (Print or Type)	Percentage Interest
_____	_____ %
_____	_____ %
_____	_____ %

**SECTION 3. SOLE PROPRIETORSHIP**

- a. The bidder/proposer or Contractor is a sole proprietorship and is not acting in any representative capacity on behalf of any beneficiary: Yes [ ] No [ ]  
If NO, complete items b. and c. of this Section 3.
- b. If the sole proprietorship is held by an agent(s) or a nominee(s), indicate the principal(s) for whom the agent or nominee holds such interest.

Name(s) of Principal(s). (Print or Type)

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

- c. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised.

Name(s)	Address(es)
_____	_____
_____	_____
_____	_____

**SECTION 4. LAND TRUSTS, BUSINESS TRUSTS, ESTATES & OTHER ENTITIES**

If the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

Name(s)	Address(es)
_____	_____
_____	_____
_____	_____

**SECTION 5. NOT-FOR-PROFIT CORPORATIONS**

a. State of incorporation \_\_\_\_\_

b. Name of all officers and directors of corporation (or attach list):

Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

**II. MBE/WBE INFORMATION**

Is Company a certified minority or woman business enterprise? Yes \_\_\_ No \_\_\_

If yes, check one: MBE \_\_\_ WBE \_\_\_\_\_

Certified by: \_\_\_\_\_  
(Governmental Body or Agency)

Date of Certification \_\_\_\_\_

**III. LICENSING**

Is your firm licensed to do business in the City of Chicago, Cook County, Illinois?

Yes \_\_\_\_\_ No \_\_\_\_\_

List categories in which the firm or venture is licensed to do business and indicate registration or license numbers, if applicable. Please indicate which government entity issued the license.

Category	Registered License (or license number)	Organization issuing License	Intend to Perform? Yes/No
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

**IV. INSURANCE**

Attach a sample Certificate of Insurance which demonstrates the ability to obtain the coverages specified in ATTACHMENT E - INSURANCE REQUIREMENTS.

**COMMITMENT TO COMPLY WITH THE INDEMNIFICATION PROVISIONS IN THE AGREEMENT AND ALL OTHER REQUIREMENTS.**

I/We \_\_\_\_\_ an authorized representative of the Respondent agree to comply with indemnification provisions and all other requirements.

Signed by: \_\_\_\_\_

Title: \_\_\_\_\_

**V. ANTI-COLLUSION**

The Respondent, its agents, officers, or employees have not directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this submittal or contract. Failure to attest to this section as part of the bid will make the bid non-responsive and not eligible for award consideration.

I declare that this Statement of General Information about Respondent has been examined by me and to the best of my knowledge and belief is a true, correct, and complete statement of the business organization.

\_\_\_\_\_  
Signature of Authorized Officer  
\_\_\_\_\_  
Name of Authorized Officer (Print or Type)  
\_\_\_\_\_  
Title  
\_\_\_\_\_  
Telephone Number

State of \_\_\_\_\_

County of \_\_\_\_\_

Signed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_ by

\_\_\_\_\_ (Name) as \_\_\_\_\_ (Title) of

\_\_\_\_\_ (Bidder/Proposer or Contractor)



ATTACHMENT B (Continued)

3. Project Name: \_\_\_\_\_  
Project Location: \_\_\_\_\_  
Role on Project: Prime  Sub-consultant   
Owner: \_\_\_\_\_  
Contact Name: \_\_\_\_\_  
Owner's Current Phone Number: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Total value of the project: \$ \_\_\_\_\_ Total fee received by Respondent: \$ \_\_\_\_\_  
Completed: Mo/Yr: \_\_\_\_\_  
MBE Goal: \_\_\_\_\_ MBE Goal Attainment: \_\_\_\_\_  
WBE Goal: \_\_\_\_\_ WBE Goal Attainment: \_\_\_\_\_

4. Project Name: \_\_\_\_\_  
Project Location: \_\_\_\_\_  
Role on Project: Prime  Sub-consultant   
Owner: \_\_\_\_\_  
Contact Name: \_\_\_\_\_  
Owner's Current Phone Number: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Total value of the project: \$ \_\_\_\_\_ Total fee received by Respondent: \$ \_\_\_\_\_  
Completed: Mo/Yr: \_\_\_\_\_  
MBE Goal: \_\_\_\_\_ MBE Goal Attainment: \_\_\_\_\_  
WBE Goal: \_\_\_\_\_ WBE Goal Attainment: \_\_\_\_\_

5. Project Name: \_\_\_\_\_  
Project Location: \_\_\_\_\_  
Role on Project: Prime  Sub-consultant   
Owner: \_\_\_\_\_  
Contact Name: \_\_\_\_\_  
Owner's Current Phone Number: \_\_\_\_\_  
Email Address: \_\_\_\_\_  
Total value of the project: \$ \_\_\_\_\_ Total fee received by Respondent: \$ \_\_\_\_\_  
Completed: Mo/Yr: \_\_\_\_\_

**ATTACHMENT B (Continued)**

MBE Goal: \_\_\_\_\_ MBE Goal Attainment: \_\_\_\_\_

WBE Goal: \_\_\_\_\_ WBE Goal Attainment: \_\_\_\_\_

6. Project Name: \_\_\_\_\_

Project Location: \_\_\_\_\_

Role on Project:            Prime             Sub-consultant

Owner: \_\_\_\_\_

Contact Name: \_\_\_\_\_

Owner's Current Phone Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

Total value of the project: \$ \_\_\_\_\_ Total fee received by Respondent: \$ \_\_\_\_\_

Completed: Mo/Yr: \_\_\_\_\_

MBE Goal: \_\_\_\_\_ MBE Goal Attainment: \_\_\_\_\_

WBE Goal: \_\_\_\_\_ WBE Goal Attainment: \_\_\_\_\_

**Key Personnel – Proposed Licensed Professionals**

Provide the names of licensed professional key personnel, key personnel, on-staff consultants, and Project Managers currently employed by the Respondent who worked on the projects listed above. Attach additional page(s) as necessary. Attach resumes and copies of licenses of all individuals listed.

Name	Role	Project

**Key Personnel – Proposed Quality Control/Assurance Individual**

Provide the names of key personnel currently employed by the Respondent who worked on the projects listed in Part II sections A&B of this statement of qualifications. Attach additional page(s) as necessary. Attach resumes and copies of licenses of all individuals listed.

Name	Role	Project

**ATTACHMENT C**  
**LEGAL ACTION**  
**REQUEST FOR QUALIFICATIONS**  
**CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS1669**  
**FOR**  
**VARIOUS PROJECT SITES**

If the answer to any of the questions below is **YES**, provide a brief description or explanation on a separate sheet following this page.

1. Has the firm or venture been issued a notice of default on any contract awarded to it in the last 3 years?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
2. Does the firm or venture have any legally filed judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
3. If the answer to the preceding question is "Yes", enter the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed. \$ \_\_\_\_\_
4. Within the past 3 years has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
5. Within the last 3 years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
6. Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid-rigging or bid-rotating?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
7. Has the firm or venture ever been temporarily or permanently debarred from contract award by any federal, state, or local agency?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
8. Within the last 3 years, has the firm or venture been investigated or assessed penalties for any statutory or administrative violations?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**
9. Has the firm or venture ever failed to complete any work awarded to it?  
**Yes \_\_\_\_\_, Explain. No \_\_\_\_\_**



## ATTACHMENT D

### SPECIAL CONDITIONS REGARDING THE UTILIZATION OF MINORITY AND WOMEN OWNED BUSINESS ENTERPRISES FOR PROFESSIONAL SERVICES

#### 1. Policy Statement

- a. It is the policy of the Public Building Commission of Chicago ("PBC") to ensure competitive business opportunities for MBE and WBE firms in the performance of Contracts, to prohibit discrimination in the award of or participation in Contracts, and to abolish arbitrary barriers to full participation in Contracts by all persons, regardless of race, sex or ethnicity. Therefore, during the performance of this Contract, the Professional Service Provider must agree that it will not discriminate against any person or business on the basis of race, color, religion, ancestry, age, marital status, physical or mental handicap, unfavorable discharge from military service, parental status, sexual orientation, national origin or sex, in the solicitation or the purchase of goods and services or the subcontracting of work in the performance in this Contract.
- b. The Commission requires the Professional Service Provider also agree to take affirmative action to ensure that MBE and WBE firms have the maximum opportunity to compete for and perform subcontracts with respect to this Contract.
- c. The Commission requires the Professional Service Provider to notify MBE and WBE firms, utilized on this contract, about opportunities on contracts without affirmative action goals.

#### 2. Aspirational Goals

- a. Upon the effective date of these Special Conditions, the bi-annual aspirational goals are to award 25% of the annual dollar value of all Commission Construction Contracts to certified MBEs and 5% of the annual dollar value of all Commission Construction Contracts to qualified WBEs.
- b. Further, the Professional Service Provider must agree to use its best efforts to include MBE and WBE firms in any Contract modification work that increases the Contract value. Where the proposed contract modification involves work which can be performed by MBEs and WBEs already performing work on the contract such MBEs and WBEs will participate in such work specified in the contract modification..
- c. Failure to carry out the commitments and policies set forth in this Program constitute a material breach of contract and may result in termination of the Professional Service Provider or such other remedy, as the Commission deems appropriate.

#### 3. Definitions

- a. For purposes of this Special Condition, the following definitions applies:
  - (1) "Certified Minority Business Enterprise" means a person or entity granted certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, and Women's Business Development Center.
  - (2) "Certified Women's Business Enterprise" means a person or entity granted certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, and Women's Business Development Center.
  - (3) "Professional Service Contract" means a contract for professional services of any type.
  - (4) "Contract Specific Goals" means the subcontracting goals for MBE and WBE participation established for a particular contract based upon the availability of MBEs and WBEs to perform and anticipated scope of work of the contract and the Commission's progress towards meeting the

aspirational goals.

(5) "Professional Service Provider" means any person or business entity that seeks to enter into a Professional Service Contract with the Commission and includes all partners, affiliates and joint ventures of such person or entity.

(6) "Executive Director" means the Executive Director of the Commission or his/ her duly designated representative as appointed in writing.

(7) "Good faith efforts" means actions undertaken by a Professional Service Provider to achieve a Contract Specific Goal that by their scope, intensity and appropriateness to the objective can reasonably be expected to fulfill the Program's requirements.

(8) "Joint venture" means an association of two or more persons or entities or any combination of two or more business enterprises and persons numbering two or more, proposing to perform a single for-profit business enterprise, in which each joint venture partner contributes property, capital, efforts, skill and knowledge, and in which the MBE or WBE is responsible for a distinct, clearly-defined portion of the work of the contract and whose share in the capital contribution, control, management, risks and profits of the joint venture is equal to its ownership interest. Joint ventures must have an agreement in writing specifying the terms and conditions of the relationships between the parties and their relationship and responsibilities to the contract.

(9) "Minority" means:

- a. Any individual in the following racial or ethnic groups, members of which are rebuttably presumed to be socially disadvantaged:
  - i. African-Americans or Blacks, which includes persons having origins in any of the Black racial groups of Africa;
  - ii. Hispanics, which includes persons of Spanish culture with origins in Mexico, South or Central America or the Caribbean Islands, regardless of race; and
- b. Individual members of other groups, including but not limited to Asian-Americans, Arab-Americans and Native-Americans, found by the Commission to be socially disadvantaged by having suffered racial or ethnic prejudice or cultural bias within American society, without regard to individual qualities, resulting in decreased opportunities to compete in Chicago area markets or to do business with the Commission.

(10) "Minority-owned business enterprise" or "MBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged minority persons, or in the case of a publicly held corporation at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged minority persons whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged minority persons.

(11) "Program" means the minority- and women-owned business enterprise construction procurement program established in this special condition.

(12) "Women-owned business enterprise" or "WBE" means a small local business enterprise which is at least 51% owned by one or more economically disadvantaged women or in the case of a publicly owned business, at least 51% of all classes of the stock of which is owned by one or more economically disadvantaged women, whose management, policies, major decisions and daily business operations are independently managed and controlled by one or more economically disadvantaged women.

#### 4. Determining MBE/WBE Utilization

The methodology for determining MBE and WBE utilization will be determined for purposes of analysis with respect to this contract as follows:

- a. The total dollar value of the contract awarded to the certified MBE or WBE firm will be credited to such participation. Only minority business participation may be counted toward MBE participation and only women business participation may be counted toward WBE participation.
- b. The total dollar value of a contract with a firm owned and controlled by minority women is counted toward either the MBE or WBE goal, but not both. The Professional Service Provider employing the firm may choose the goal to which the contract value is applied. Various work done by one and the same sub-consultant will be considered, for the purpose of this principle, as work effectively done under one subcontract only, which sub-consultant may be counted toward only one of the goals, not toward both.
- c. A Professional Service Provider may count toward its MBE or WBE goal the portion of the total dollar value of a contract with an eligible joint venture equal to the percentage of the ownership and control of the MBE or WBE partner in the joint venture. A joint venture seeking to be credited for MBE participation may be formed among certified MBE and WBE firms, or between certified MBE and WBE firms and a non-MBE/WBE firm. A joint venture satisfies the eligibility standards of this Program if the certified MBE or WBE participant of the joint venture:
  - (1) Shares in the ownership, control, management responsibilities, risks and profits of the joint venture; and
  - (2) Is responsible for a clearly defined portion of work to be performed in proportion to the MBE or WBE ownership percentage.
- d. A Professional Service Provider may count toward its MBE and WBE goals only expenditures to firms that perform a commercially useful function in the work of a contract. A firm is considered to perform a commercially-useful function when it is responsible for execution of a distinct element of the work of a contract and carries out its responsibilities by actually performing, managing, and supervising the work involved. To determine whether a firm is performing a commercially useful function, the Commission will evaluate the amount of work subcontracted, industry practices and other relevant factors.
- e. Consistent with normal industry practices, a MBE or WBE firm may enter into subcontracts. If a MBE or WBE Professional Service Provider subcontracts a significantly greater portion of the work of a contract than would be expected on the basis of normal industry practices, the MBE or WBE will be rebuttably presumed not to be performing a commercially-useful function.
- f. A Professional Service Provider may count toward its goals expenditures to MBE or WBE manufacturers (i.e., suppliers that produce goods from raw materials or substantially alters them before resale).
- g. A Professional Service Provider may count toward its goals expenditures to MBE or WBE suppliers provided that the supplier performs a commercially useful function in the supply process.

#### 5. Submission of Proposals

- a. The following schedules and documents constitute the Respondent's MBE/WBE compliance proposal and must be submitted at the time of the proposal.
  - (1) Evidence of Certification: Affidavit of MBE/WBE. A copy of each proposed MBE and WBE firm's Letter of Certification from the City of Chicago, Department of Procurement Services or any other entity accepted by the Public Building Commission of Chicago must be submitted. The PBC certification by the City of Chicago, County of Cook, Metropolitan Water Reclamation District, Chicago Minority Business Development Council, Central Management Service of the State of Illinois, METRA, and Women's Business Development Center.

(2) Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Ventures. Where the Respondent's MBE/WBE compliance proposal includes participation of any MBE or WBE as a joint venture participant, the Proposer must submit a "Schedule B: Affidavit of MBE/Non-MBE or WBE/Non-WBE Joint Venture" with an attached copy of the joint venture agreement proposed among the parties. The Schedule B and the joint venture agreement must clearly evidence that the MBE or WBE participant will be responsible for a clearly defined portion of the work to be performed and that the MBE or WBE firm's responsibilities are in proportion with its ownership percentage.

(3) Schedule C: Letter of Intent to Perform as a sub-contractor, Subconsultant, or Material Supplier, Schedule C, executed by the MBE/WBE firm (or Joint Venture sub-consultant) must be submitted by the Proposer for each MBE/WBE included on the Schedule D. Schedule C must accurately detail the work to be performed by the MBE or WBE firm and the agreed rates and prices to be paid.

(4) Schedule D: Affidavit of Prime Professional Service Provider Regarding MBE or WBE Utilization. A completed Schedule D committing to the utilization of each listed MBE or WBE firm. Unless the Proposer has submitted a completed request for a waiver of participation by MBE/WBE firms (See Request for Waiver procedures in Section 7), the Proposer must include the specific dollar amount or percentage of participation of each MBE/WBE firm listed on its Schedule D. The total dollar commitment to proposed MBE firms must at least equal the MBE goal, and the total dollar commitment to proposed WBE firms must at least equal the WBE goal. Proposers are responsible for calculating the dollar equivalent of MBE or WBE utilization as percentages of their total proposal.

- b. The submittals must have all blank spaces on the Schedule pages applicable to the contract correctly filled in. Agreements between a Proposer and a MBE/WBE in which the MBE/WBE promises not to provide subcontracting quotations to other Proposers are prohibited.

#### 6. Evaluation of Compliance Proposals

- a. The Respondent's MBE/WBE compliance proposal will be evaluated by the Commission. The Proposer agrees to provide, upon request, earnest and prompt cooperation to the Executive Director or his/ her designee in submitting to interviews that may be necessary, in allowing entry to places of business, in providing further documentation, or in soliciting the cooperation of a proposed MBE or WBE firm in providing such assistance. A proposal may be treated as non-responsive by reason of the determination that the Respondent's proposal did not contain a sufficient level of Certified MBE or WBE participation, that the Proposer was unresponsive or uncooperative when asked for further information relative to the proposal, or that false statements were made in the Schedules.
- b. If the Commission's review of a Respondent's proposal concludes that the MBE or WBE proposal was deficient, the Commission will promptly notify the Proposer of the apparent deficiency and instruct the Proposer to submit (within 3 business days of such notice given by the Commission) a modification of the MBE or WBE Proposal, in proper format, which remedies the deficiencies cited. Failure to correct all deficiencies cited by the Commission will be cause for rejection of the Respondent's proposal as non-responsive.
- c. Proposers will not be permitted to modify their MBE/WBE compliance proposal except insofar as directed to do so by the Commission. Therefore, all terms and conditions stipulated for prospective MBE and WBE consultants or suppliers must be satisfactorily negotiated prior to the submission to the Commission of the Respondent's MBE/WBE compliance proposal. If circumstances must arise, however, where a proposed MBE/WBE is no longer available, the process described in Section 12 must be followed.

#### 7. Request for Waiver

- a. If a Proposer is unable to identify qualified MBE and WBE firms to perform sufficient work to fulfill the MBE or WBE percentage goals for this Contract, the proposal must include a written request for waiver. A request for waiver must be sent to the Executive Director and must set forth the Respondent's inability to obtain sufficient MBE and WBE firms notwithstanding good faith attempts to achieve such participation.

- b. Good Faith efforts to achieve participation include but are not limited to:
  - (1) Attendance at the Pre-proposal conference;
  - (2) The Respondent's general affirmative action policies regarding the utilization of MBE and WBE firms, plus a description of the methods used to carry out those policies;
  - (3) Advertisement in trade association newsletters and minority and woman-oriented and general circulation media for specific sub-consultants;
  - (4) Timely notification of specific sub-consultants to minority and woman assistance agencies and associations;
  - (5) Description of direct negotiations with MBE and WBE firms for specific sub-consultants, including:
    - i. The name, address and telephone number of MBE and WBE firms contacted;
    - ii. A description of the information provided to MBE and WBE firms regarding the portions of the work to be performed; and
    - iii. The reasons why additional MBE and WBE firms were not obtained in spite of negotiations.
  - (6) A statement of the efforts made to select portions of the work proposed to be performed by MBE and WBE firms (such as sub-supplier, transport, engineering, distribution, or any other roles contributing to production and delivery as specified in the contract) in order to increase the likelihood of achieving sub participation.
  - (7) As to each MBE and WBE contacted which the Proposer considers to be not qualified, a detailed statement of the reasons for the Respondent's conclusion.
  - (8) Efforts made by the Proposer to expand its search for MBE and/or WBE firms beyond usual geographic boundaries.
  - (9) General efforts made to assist MBE and WBE firms to overcome participation barriers.
- c. The Executive Director, after review and evaluation of the request provided by the Proposer, may grant a waiver request upon the determination that:
  - (1) Sufficient qualified MBE and/or WBE firms capable of providing the goods or services required by the contract are unavailable despite the good faith efforts of the Proposer;
  - (2) The price(s) quoted by potential MBE and/or WBE firms for goods or services is above competitive levels to an extent unwarranted by any increased cost of doing business attributable to the present effects of disadvantage or discrimination.

## 8. Failure To Achieve Goals

- a. If the Professional Service Provider cannot achieve the contract specific goals, as the Project proceeds, it must have documented its good faith efforts to do so. In determining whether the Professional Service Provider has made such good faith efforts, the performance of other Professional Service Providers in meeting the goals may be considered. The Executive Director or her designee shall consider, at a minimum, the Professional Service Provider's efforts to do the following:
  - (1) Soliciting through reasonable and available means the interest of MBEs or WBEs that Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (2) Provide interested MBEs or WBEs with adequate information about the plans, specifications and requirements of the contract, including addenda, in a timely manner to assist them in responding to the solicitation.
  - (3) Negotiating in good faith with interested MBEs or WBEs that have submitted proposals.

Documentation of negotiation must include the names, addresses and telephone numbers of MBEs or WBEs that were solicited; the date of each such solicitation; a description of the information provided regarding the plans and specifications for the work selected for subcontracting; and evidence as to why agreements could not be reached with MBEs or WBEs to perform the work. That there may be some additional costs involved in solicitation and using MBEs and WBEs is not a sufficient reason for a Professional Service Provider's failure to meet the goals, as long as such costs are reasonable.

(4) Not rejecting MBEs or WBEs as being unqualified without sound reasons based on the thorough investigation of a their capabilities. The MBEs' or WBEs' standing within its industry, membership in specific groups, organizations, or associations and political or social affiliations are not legitimate cases for rejecting or not soliciting proposals to meet the goals.

(5) Making a portion of the work available to MBE or WBE sub-consultants and suppliers and to select those portions of the work or material consistent with the available MBE or WBE sub-consultants and suppliers, so as to facilitate meeting the goals.

(6) Making good faith efforts despite the ability or desire of a Professional Service Provider to perform the work of a contract with its own organization. A Professional Service Provider that desires to self-perform the work of a contract must demonstrate good faith efforts unless the goals have been met.

(7) Selecting portions of the work to be performed by MBEs or WBEs in order to increase the likelihood that the goals will be met. This includes, where appropriate, breaking out contract work items into economically feasible units to facilitate MBE or WBE participation even when the Contract might otherwise prefer to perform these items with its own forces.

(8) Making efforts to assist interested MBEs or WBEs in obtaining bonding lines of credit or insurance as required by the Commission or Professional Service Provider.

(9) Making efforts to assist interested MBEs or WBEs in obtaining necessary equipment, supplies, materials or related assistance or services, including participation in a mentor-protégée program; and

(10) Effectively using the services of the Commission; minority or women community organizations; minority or women groups; local, state and federal minority or women business assistance offices; and other organizations to provide assistance in the recruitment and placement of MBEs or WBEs.

b. In the event the Public Building Commission Procurement Officer determines that the Professional Service Provider did not make a good faith effort to achieve the goals, the Professional Service Provider may file a Dispute to the Executive Director as provided in Attachment G – Form of Agreement

## 9. Reporting and Record-Keeping Requirements

a. The Professional Service Provider, within five (5) working days of contract award, must execute a formal subcontract or purchase order in compliance with the terms of the Professional Service Provider's proposal and MBE/WBE assurances. Upon request by the PBC, the Professional Service Provider must provide copies of the contracts or purchase orders executed between it and the MBE and WBE firms. During the performance of the contract, the Professional Service Provider will submit partial and final waivers of lien from MBE and WBE sub-consultant and suppliers indicating the current payment amount and the cumulative dollar amount of payments made to date.

b. The Professional Service Provider must maintain records of all relevant data with respect to the utilization of MBE and WBE firms, including without limitation payroll records, tax returns and records, and books of account in such detail as the Commission requires, and retain such records for a period of at least 3 years after final acceptance of the work. Full access to such records will be granted to the Commission and/or its designees, on five (5) business days' notice in order for the Commission to determine the Professional Service Provider's compliance with its MBE and WBE commitments and the status of any MBE or WBE firm performing any portion of the contract.

c. The Professional Service Provider will file regular MBE and WBE utilization reports on the form entitled

“Status Report of MBE and WBE Sub-Contract Payments”, at the time of submitting each monthly invoice. The report must indicate the current and cumulative payments to the MBE and WBE sub-contractors.

10. Disqualification of MBE or WBE

The Contract may be terminated by the Executive Director upon the disqualification of the Professional Service Provider as an MBE or WBE if the sub-consultants status as an MBE or WBE was a factor in the award and such status was misrepresented by the Professional Service Provider.

- a. The Contract may be terminated by the Executive Director upon the disqualification of any MBE or WBE if the sub-consultants or supplier's status as an MBE or WBE was a factor in the award of the contract and the status of the sub-consultant or supplier was misrepresented by the Professional Service Provider. If the Professional Service Provider is determined not to have been involved in any misrepresentation of the status of the disqualified sub-consultant or supplier, the Professional Service Provider shall make good faith efforts to engage a qualified MBE or WBE replacement.

11. Prohibition On Changes To MBE/WBE Commitments

- a. The Professional Service Provider must not make changes to its contractual MBE and WBE commitments or substitute such MBE or WBE sub-consultants without the prior written approval of the Executive Director. Unauthorized changes or substitutions, including performing the work designated for a sub-consultant with the Professional Service Provider's own forces, is a violation of this section and a breach of the contract with the Commission, and may cause termination of the contract for breach, and/or subject the Professional Service Provider to contract remedies or other sanctions. The facts supporting the request must not have been known nor reasonably must have been known by the parties prior to entering into the subcontract.

12. MBE/WBE Substitution Requirements and Procedures

- a. Arbitrary changes by the Professional Service Provider of the commitments earlier certified in the **Schedule D** are prohibited. Further, after once entering into each approved MBE and WBE sub-contract agreement, the Professional Service Provider shall thereafter neither terminate the subcontract, nor reduce the scope of the work to be performed by the MBE or WBE, nor decrease the price to the MBE or WBE, without in each instance receiving the prior written approval of the Executive Director. In some cases, however, it may become necessary to substitute a new MBE or WBE in order to actually fulfill the MBE or WBE requirements. In such cases, the Executive Director must be given reasons justifying the release by the Professional Service Provider of prior specific MBE or WBE commitments established in the contract, and will need to review the eligibility of the MBE or WBE presented as a substitute. The substitution procedure will be as follows:

(1) The Professional Service Provider must notify the Executive Director immediately in writing of an apparent necessity to reduce or terminate a MBE or WBE subcontract and to propose a substitute firm for some phase of work, if needed in order to sustain the fulfillment of the MBE/WBE contract requirements.

(2) The Professional Service Provider's notification must include the specific reasons for the proposed substitution. Stated reasons which would be acceptable include any of the following reasons: a) Unavailability after receipt of reasonable notice to proceed; b) failure of performance; c) financial incapacity; d) refusal by the sub-consultant to honor the proposal price or scope; e) mistake of fact or law about the elements of the scope of work of a solicitation where a reasonable price cannot be agreed; f) failure of the sub-consultant to meet insurance, licensing or bonding requirements; g) the sub-consultant's withdrawal of its proposal; or h) decertification of the sub-consultant as MBE or WBE.

(3) The Professional Service Provider's position must be fully explained and supported with adequate documentation. Stated reasons which will not be acceptable include: replacement firm has been recruited to perform the same work under terms more advantageous to the Professional Service Provider;

issues about performance by the committed MBE or WBE were disputed (unless every reasonable effort has already been taken to have the issues resolved or mediated satisfactorily); an MBE or WBE has requested reasonable price escalation which may be justified due to unforeseen circumstances.

The Profession Service Provider's notification must include the names, address and principal official of any proposed substitute MBE or WBE and the dollar value and scope of work of the proposed subcontract. Attached must be all the same MBE/WBE affidavits, documents and Letters of Intent which are required of the proposed MBE or WBE firms.

(4) The Executive Director will evaluate the submitted documentation, and respond within fifteen (15) working days to the request for approval of a substitution. The response may be in the form of requesting more information, or requesting an interview to clarify or mediate the problem. In the case of an expressed emergency need to receive the necessary decision for the sake of job progress, the Executive Director will instead respond as soon as practicable.

(5) Actual substitution of a replacement MBE or WBE to fulfill contract requirements must not be made before the Executive Director's approval is given of the acceptability of the substitute MBE or WBE. This subcontract must be executed within five (5) working days, and a copy of the MBE/WBE subcontract with signatures of both parties to the agreement must be submitted immediately to the Executive Director.

- b. The Executive Director will not approve extra payment for escalated costs incurred by the Professional Service Provider when a substitution of sub-consultants becomes necessary for the Professional Service Provider in order to comply with MBE/WBE contract requirements.
- c. No relief of the MBE/WBE requirements will be granted by the Executive Director except in exceptional circumstances. Requests for complete or partial waiver of the MBE/WBE requirements of this contract must be made in writing, stating all details of the request, the circumstances, and any additional relevant information. The request must be accompanied by a record of all efforts taken by the Professional Service Provider to locate specific firms, solicit MBE and WBE proposals, seek assistance from technical assistance agencies, and other good faith efforts undertaken to achieve compliance with the MBE/WBE goals.

### 13. Non-Compliance

- a. The Executive Director has the authority to apply suitable sanctions to the Professional Service Provider if the Professional Service Provider is found to be in non-compliance with the MBE and WBE requirements. Failure to comply with the MBE or WBE terms of this contract or failure to use MBE or WBE firms as stated in the Professional Service Provider's assurances constitutes a material breach of the contract, and may lead to the suspension or termination of the contract in part or in whole. In some cases, monthly progress payments may be withheld until corrective action is taken.
- b. When the contract is completed, if the Executive Director has determined that the Professional Service Provider did not comply in the fulfillment of the required MBE and/or WBE goals, and a grant of relief of the requirements was not obtained, the Commission will be damaged in the failure to provide the benefit of participation to minority or women business to the degree set forth in this Special Condition. In that case, the Commission may disqualify the Professional Service Provider from entering into future contracts with the Commission.

### 14. Severability

If any section, subsection, paragraph, clause, provision or application of these Special Conditions is held invalid by any court, the invalidity of such section, paragraph, clause or provision will not affect any of the remaining provisions hereof.



**SCHEDULE B - Joint Venture Affidavit (1 of 3)**

*This form need not be filled in if all joint venturers are MBE/WBE firms. In such case, however, a written joint venture agreement among the MBE/WBE firms should be submitted. Each MBE/WBE joint venturer must also attach a copy of their current certification letter.*

1. Name of joint venture \_\_\_\_\_

2. Address of joint venture \_\_\_\_\_  
\_\_\_\_\_

3. Phone number of joint venture \_\_\_\_\_

4. Identify the firms that comprise the joint venture  
\_\_\_\_\_  
\_\_\_\_\_

A. Describe the role(s) of the MBE/WBE firm(s) in the joint venture. (Note that a "clearly defined portion of work" must here be shown as under the responsibility of the MBE/WBE firm.)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

B. Describe very briefly the experience and business qualifications of each non-MBE/WBE joint venturer.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. Nature of joint venture's business  
\_\_\_\_\_  
\_\_\_\_\_

6. Provide a copy of the joint venture agreement.

7. Ownership: What percentage of the joint venture is claimed to be owned by MBE/WBE? \_\_\_\_\_%

8. Specify as to:

A. Profit and loss sharing \_\_\_\_\_%

B. Capital contributions, including equipment \_\_\_\_\_%

C. Other applicable ownership interests, including ownership options or other agreements which restrict ownership or control.

\_\_\_\_\_  
\_\_\_\_\_

D. Describe any loan agreements between joint venturers, and identify the terms thereof.

\_\_\_\_\_  
\_\_\_\_\_

**SCHEDULE B - Joint Venture Affidavit (2 of 3)**

9. Control of and participation in this Contract: Identify by name, race, sex, and "firm" those individuals (and their titles) who are responsible for day-to-day management and policy decision making, including, but not limited to, those with prime responsibility for:

A. Financial decisions

\_\_\_\_\_

B. Management decisions such as:

1) Estimating

\_\_\_\_\_

2) Marketing and Sales

\_\_\_\_\_

3) Hiring and firing of management personnel

\_\_\_\_\_

4) Other

\_\_\_\_\_

A. Purchasing of major items or supplies

\_\_\_\_\_

B. Supervision of field operations

\_\_\_\_\_

C. Supervision of office personnel

\_\_\_\_\_

D. Describe the financial controls of the joint venture, e.g., will a separate cost center be established; which venturer will be responsible for keeping the books; how will the expense therefor be reimbursed; the authority of each joint venturer to commit or obligate the other. Describe the estimated contract cash flow for each joint venturer.

\_\_\_\_\_

\_\_\_\_\_

E. State approximate number of operational personnel, their craft/role and positions, and whether they will be employees of the majority firm or the joint venture.

\_\_\_\_\_

\_\_\_\_\_

2. Please state any material facts of additional information pertinent to the control and structure of this joint venture.

\_\_\_\_\_

\_\_\_\_\_

**SCHEDULE B - Joint Venture Affidavit (3 of 3)**

THE UNDERSIGNED SWEAR THAT THE FOREGOING STATEMENTS ARE CORRECT AND INCLUDE ALL MATERIAL INFORMATION NECESSARY TO IDENTIFY AND EXPLAIN THE TERMS AND OPERATIONS OF OUR JOINT VENTURE AND THE INTENDED PARTICIPATION BY EACH JOINT VENTURER IN THE UNDERTAKING. FURTHER, THE UNDERSIGNED COVENANT AND AGREE TO PROVIDE TO THE PUBLIC BUILDING COMMISSION OF CHICAGO CURRENT, COMPLETE AND ACCURATE INFORMATION REGARDING ACTUAL JOINT VENTURE WORK AND THE PAYMENT THEREFOR AND ANY PROPOSED CHANGES IN ANY OF THE JOINT VENTURE AGREEMENTS AND TO PERMIT THE AUDIT AND EXAMINATION OF THE BOOKS, RECORDS, AND FILES OF THE JOINT VENTURE, OR THOSE OF EACH JOINT VENTURER RELEVANT TO THE JOINT VENTURE, BY AUTHORIZED REPRESENTATIVES OF THE COMMISSION. ANY MATERIAL MISREPRESENTATION WILL BE GROUNDS FOR TERMINATING ANY CONTRACT WHICH MAY BE AWARDED AND FOR INITIATING ACTION UNDER FEDERAL OR STATE LAWS CONCERNING FALSE STATEMENTS.

Note: If, after filing this Schedule B and before the completion of the joint venture's work on this Contract, there is any significant change in the information submitted, the joint venture must inform the Public Building Commission of Chicago, either directly or through the Consultant if the joint venture is a sub-consultant.

\_\_\_\_\_  
Name of Joint Venturer

\_\_\_\_\_  
Name of Joint Venturer

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Name

\_\_\_\_\_  
Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Date

State of \_\_\_\_\_ County of \_\_\_\_\_  
On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_  
before me appeared (Name)

State of \_\_\_\_\_ County of \_\_\_\_\_  
On this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_  
before me appeared (Name)

\_\_\_\_\_  
to me personally known, who, being duly sworn,  
did execute the foregoing affidavit, and did state  
that he or she was properly authorized by  
(Name of Joint Venture)

\_\_\_\_\_  
to me personally known, who, being duly sworn,  
did execute the foregoing affidavit, and did state  
that he or she was properly authorized by  
(Name of Joint Venture)

\_\_\_\_\_  
to execute the affidavit and did so as his or her  
free act and deed

\_\_\_\_\_  
to execute the affidavit and did so as his or her  
free act and deed

\_\_\_\_\_  
Commission expires:  
(SEAL)

\_\_\_\_\_  
Commission expires:  
(SEAL)

**SCHEDULE C - Letter of Intent from MBE/WBE  
To Perform As  
Subcontractor, Subconsultant, and/or Material Supplier (1 of 2)**

**SCHEDULE C AND SUPPORTING DOCUMENTS MUST BE SUBMITTED WITH PROPOSAL**

Name of Project: \_\_\_\_\_

Project Number: \_\_\_\_\_

FROM:

\_\_\_\_\_ MBE \_\_\_\_\_ WBE \_\_\_\_\_

(Name of MBE or WBE)

TO:

\_\_\_\_\_ and Public Building Commission of Chicago

(Name of Professional Service Provider)

The undersigned intends to perform work in connection with the above-referenced project as (check one):

\_\_\_\_\_ a Sole Proprietor

\_\_\_\_\_ a Corporation

\_\_\_\_\_ a Partnership

\_\_\_\_\_ a Joint Venture

The MBE/WBE status of the undersigned is confirmed by the attached Letter of Certification, dated \_\_\_\_\_ . In addition, in the case where the undersigned is a Joint Venture with a non-MBE/WBE firm, a Schedule B, Joint Venture Affidavit, is provided.

The undersigned is prepared to provide the following described services or supply the following described goods in connection with the above-named project.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

The above-described services or goods are offered for the following price, with terms of payment as stipulated in the Contract Documents.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**SCHEDULE C - Letter of Intent from MBE/WBE  
To Perform As  
Subcontractor, Subconsultant, and/or Material Supplier (2 of 2)**

**PARTIAL PAY ITEMS**

For any of the above items that are partial pay items, specifically describe the work and subcontract dollar amount:

---

---

If more space is needed to fully describe the MBE/WBE firm's proposed scope of work and/or payment schedule, attach additional sheet(s).

**SUB-SUBCONTRACTING LEVELS**

\_\_\_\_\_ % of the dollar value of the MBE/WBE subcontract will be sublet to non-MBE/WBE contractors.

\_\_\_\_\_ % of the dollar value of the MBE/WBE subcontract will be sublet to MBE/WBE contractors.

If MBE/WBE subcontractor will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above. If more than 10% percent of the value of the MBE/WBE subcontractor's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided.

The undersigned will enter into a formal agreement for the above work with the General Bidder, conditioned upon its execution of a contract with the Public Building Commission of Chicago, and will do so within five (5) working days of receipt of a notice of Contract award from the Commission.

By:

\_\_\_\_\_  
Name of MBE/WBE Firm (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Print)

\_\_\_\_\_  
Phone

IF APPLICABLE:

By:

\_\_\_\_\_  
Joint Venture Partner (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Print)

MBE \_\_\_\_ WBE \_\_\_\_ Non-MBE/WBE \_\_\_\_

\_\_\_\_\_  
Phone



**SCHEDULE D - Affidavit of Professional Service Provider Regarding MBE/WBE Participation**

(2 of 2)

If MBE/WBE subConsultant will not be sub-subcontracting any of the work described in this Schedule, a zero (0) must be filled in each blank above.

If more than 10% of the value of the MBE/WBE subConsultant's scope of work will be sublet, a brief explanation and description of the work to be sublet must be provided.

The undersigned will enter into a formal agreement for the above work with the above-referenced MBE/WBE firms, conditioned upon performance as Professional Service Provider of a Contract with the Commission, and will do so within five (5) business days of receipt of a notice of Contract award from the Commission.

By:

\_\_\_\_\_  
Name of Professional Service Provider (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Print)

\_\_\_\_\_  
Phone

IF APPLICABLE:

By:

\_\_\_\_\_  
Joint Venture Partner (Print)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Print)

MBE \_\_\_\_ WBE \_\_\_\_ Non-MBE/WBE \_\_\_\_

**STATUS REPORT OF MBE/WBE (SUB) CONTRACT PAYMENTS**  
(1 of 2)

Name of Project \_\_\_\_\_ Contract Number \_\_\_\_\_

Date \_\_\_\_\_

STATE OF ILLINOIS        }  
   } SS  
COUNTY OF COOK        }

In connection with the above-captioned contract:

I DECLARE AND AFFIRM that I

\_\_\_\_\_  
(Name of Affiant)  
am the \_\_\_\_\_ and duly authorized representative of  
\_\_\_\_\_  
(Title)

\_\_\_\_\_  
(Name of Company)  
whose address is \_\_\_\_\_  
\_\_\_\_\_

and that the following Minority and Women Business Enterprises have been contracted with, and have furnished, or are furnishing and preparing materials for, and have done or are doing labor on the above-captioned contract; that there is due and to become due them, respectively, the amounts set opposite their names for materials or labor as stated; and that this is a full, true, and complete statement of all such MBEs/WBEs and of the amounts paid, due, and to become due to them:

MBE/WBE Name	Contract For	Amount of Contract	Total Previous Requests	Amount This Request	Balance to Complete
<b>TOTALS</b>					



**STATUS REPORT OF MBE/WBE (SUB) CONTRACT PAYMENTS**  
(2 of 2)

I DO SOLEMNLY DECLARE AND AFFIRM UNDER THE PENALTIES OF PERJURY THAT THE CONTENTS OF THE FOREGOING DOCUMENT ARE TRUE AND CORRECT, AND THAT I AM AUTHORIZED, ON BEHALF OF THE ABOVE FIRM, TO MAKE THIS AFFIDAVIT.

\_\_\_\_\_  
(Affiant)

\_\_\_\_\_  
(Date)

On this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_,

before me, \_\_\_\_\_, the undersigned officer, personally appeared \_\_\_\_\_, known to me to be the person described in the foregoing Affidavit and acknowledged that he (she) executed the same in the capacity therein stated and for the purposes therein contained.

In witness thereof, I hereunto set my hand and official seal.

\_\_\_\_\_  
Notary Public  
Commission Expires

(Seal)

**ATTACHMENT E**  
**INSURANCE REQUIREMENTS**  
**REQUEST FOR QUALIFICATIONS**  
**CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS1669**  
**FOR**  
**VARIOUS PROJECT SITES**

The Consultant must provide and maintain at Consultant's own expense, until expiration or termination of the Agreement and during the time period following expiration if Consultant is required to return and perform any additional work, the minimum insurance coverage and requirements specified below, insuring all operations related to the Agreement.

**E.1. INSURANCE TO BE PROVIDED**

**E.1.1. Workers' Compensation and Employers Liability**

Workers' Compensation Insurance, as prescribed by applicable law covering all employees who are to provide a service under the Agreement and Employers Liability coverage with limits of not less than \$500,000 each accident, illness or disease.

**E.1.2. Commercial General Liability (Primary and Umbrella)**

Commercial General Liability Insurance or equivalent with limits of not less than \$2,000,000 per occurrence for bodily injury, personal injury, and property damage liability. Coverage must include the following: All premises and operations, products/completed operations, separation of insureds, defense, explosion, collapse, underground, and contractual liability (with no limitation endorsement). The Public Building Commission and User Agency designated in the scope of the work must be named as additional insureds on a primary, non-contributory basis for any liability arising directly or indirectly from the work.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

**E.1.3. Automobile Liability (Primary and Umbrella)**

When any motor vehicles (owned, non-owned and hired) are used in connection with work to be performed, the Consultant must provide Automobile Liability Insurance, with limits of not less than \$1,000,000 per occurrence for bodily injury and property damage. The Public Building Commission and User Agency designated in the scope of the work must be named as additional insureds on a primary, non-contributory basis.

**E.1.4. Professional Liability**

When any professional Consultant performs work in connection with the Agreement, Professional Liability Insurance will be maintained with limits of not less than \$2,000,000 covering acts, errors, or omissions. When policies are renewed or replaced, the policy retroactive date must coincide with, or precede the, start of work on the Agreement. A claims-made policy, which is not renewed or replaced, must have an extended reporting period of two (2) years.

Subcontractors performing work for Consultant must maintain limits of not less than \$1,000,000 per occurrence with the same terms herein

**E.1.5. Property**

The Consultant is responsible for all loss or damage to Commission and/or User Agency property at full replacement or repair cost. The Consultant is responsible for all loss or damage to personal property (including but not limited to materials, equipment, tools, and supplies) owned, rented, or used by Consultant.

**E.1.6. Valuable Papers**

When any plans, designs, drawings, specifications, data, media, and documents are produced or used under this

Agreement, Valuable Papers Insurance shall be maintained in an amount to insure against any loss whatsoever, and shall have limits sufficient to pay for the re-creation and reconstruction of such records.

## **E.2 ADDITIONAL REQUIREMENTS**

The Consultant must furnish the Public Building Commission Procurement Department, Richard J. Daley Center, Room 200, Chicago, IL 60602, original Certificates of Insurance, or such similar evidence, to be in force on the date of this Agreement, and Renewal Certificates of Insurance, or such similar evidence, if any insurance coverage has an expiration or renewal date occurring during the term of this Agreement. The Consultant must submit evidence of insurance to the Commission before award of Agreement. The receipt of any certificate does not constitute agreement by the Commission that the insurance requirements in the Agreement have been fully met or that the insurance policies indicated on the certificate are in compliance with all Agreement requirements. The failure of the Commission to obtain certificates or other insurance evidence from Consultant is not a waiver by the Commission of any requirements for the Consultant to obtain and maintain the specified coverage. The Consultant will advise all insurers of the Agreement provisions regarding insurance. Non-conforming insurance does not relieve Consultant of the obligation to provide insurance as specified in this Agreement. Nonfulfillment of the insurance conditions may constitute a breach of the Agreement, and the Commission retains the right to stop work until proper evidence of insurance is provided, or the Agreement may be terminated.

The Commission reserves the right to obtain copies of insurance policies and records from the Consultant and/or its subcontractors at any time upon written request.

The insurance must provide for 60 days prior written notice to be given to the Commission if any policies are canceled, substantially changes, or non-renewed.

Any deductibles or self-insured retentions on referenced insurance must be borne by Consultant.

The Consultant hereby waives and agrees to require their insurers to waive their rights of subrogation against the Commission, User Agency and their respective Board members, employees, elected and appointed officials, and representatives.

The insurance coverage and limits furnished by Consultant in no way limit the Consultant's liabilities and responsibilities specified within the Agreement or by law.

Any insurance or self-insurance programs maintained by the Commission and the User Agency do not contribute with insurance provided by the Consultant under the Agreement.

The required insurance to be carried is not limited by any limitations expressed in the indemnification language in this Agreement or any limitation placed on the indemnity in the Agreement given as a matter of law.

If Consultant is a joint venture or limited liability company, the insurance policies must name the joint venture or limited liability company as a named insured

The Consultant must require all its subcontractors to provide the insurance required in this Agreement, or Consultant may provide the coverage for its subcontractors. All subcontractors are subject to the same insurance requirements of Consultant unless otherwise specified in this Agreement.

If Consultant or its subcontractors desire additional coverage, the party desiring the additional coverage is responsible for the acquisition and cost.

The Commission's Risk Management Department maintains the rights to modify, delete, alter or change these requirements.

**ATTACHMENT F**  
**DISCLOSURE OF RETAINED PARTIES**  
**REQUEST FOR QUALIFICATIONS**  
**CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS1669**  
**FOR**  
**VARIOUS PROJECT SITES**

**A. Definitions and Disclosure Requirements**

1. As used herein, "Consultant" means a person or entity that has any contract with the Public Building Commission of Chicago ("Commission").
2. Commission bids, contracts, and/or qualification submittals must be accompanied by a disclosure statement providing certain information about lobbyists whom the Consultant has retained or expects to retain with respect to the contract. In particular, the Consultant must disclose the name of each such person, his or her business address, the name of the relationship, and the amount of fees paid or estimated to be paid. The Consultant is not required to disclose employees who are paid solely through the Consultant's regular payroll.
3. "Lobbyists" means any person (a) who for compensation or on behalf of any person other than himself undertake to influence any legislative or administrative action, or (b) any part of whose duties as an employee of another includes undertaking to influence any legislative or administrative action.

**B. Certification**

Consultant hereby certifies as follows:

1. This Disclosure relates to the following transaction: \_\_\_\_\_  
Description or goods or services to be provided under Contract: \_\_\_\_\_  
\_\_\_\_\_
2. Name of Consultant: \_\_\_\_\_
3. **EACH AND EVERY** lobbyist retained or anticipated to be retained by the Consultant with respect to or in connection with the contract or lease is listed below. Attach additional pages if necessary.

Retained Parties:

Name	Business Address	Relationship (Attorney, Lobbyist, etc.)	Fees (indicate whether paid or estimated)

Check Here If No Such Persons Have been Retained or Are Anticipated To Be Retained: \_\_\_\_\_

4. The Consultant understands and agrees as follows:

- a. The information provided herein is a material inducement to the Commission execution of the contract or other action with respect to which this Disclosure of Retained Parties form is being executed, and the Commission may rely on the information provided herein. Furthermore, if the Commission determines that any information provided herein is false, incomplete, or inaccurate, the Commission may terminate the contract or other transaction, terminate the Consultant's participation in the contract or other transactions with the Commission.
- b. If the Consultant is uncertain whether a disclosure is required, the Consultant must either ask the Commission's Representative or his or her manager whether disclosure is required or make the disclosure.
- c. This Disclosure of Retained Parties form, some or all of the information provided herein, and any attachments may be made available to the public on the Internet, in response to a Freedom of Information Act request, or otherwise. The Consultant waives and releases any possible rights or claims it may have against the Commission in connection with the public release of information contained in the completed Disclosure of Retained Parties form and any attachments.

Under penalty of perjury, I certify that I am authorized to execute this Disclosure of Retained Parties on behalf of the Consultant and that the information disclosed herein is true and complete.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Name (Type or Print)

\_\_\_\_\_  
Title

Subscribed and sworn to before me

this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_

\_\_\_\_\_  
Notary Public

ATTACHMENT G  
FORM OF AGREEMENT  
REQUEST FOR QUALIFICATIONS  
CONSTRUCTION MATERIAL TESTING and INSPECTION SERVICES - PS1669  
FOR  
VARIOUS PROJECT SITES

EXECUTION PAGE

PROFESSIONAL SERVICES AGREEMENT NO. PS1557

**THIS AGREEMENT** effective as of [ INSERT DATE ], but actually executed on the date witnessed, is entered into by and between the Public Building Commission of Chicago, a municipal corporation of the State of Illinois, having its principal office at Room 200, Richard J. Daley Center, 50 West Washington Street, Chicago, Illinois 60602, (the "Commission"), and [ INSERT NAME OF FIRM ] with offices at [ INSERT ADDRESS ], (the "Construction Material Testing and Inspection Consultant"), at Chicago, Illinois.

**Background Information – Recitals:**

**Whereas**, The Commission on behalf of the [ INSERT CLIENT ] (referred to as the "User Agency"), intends to undertake the construction and/or improvement of the following facility or facilities in Chicago, Illinois described in Schedule A attached to the Agreement (the "Project"):

[ INSERT PROJECT NAME ]

**Whereas**, the Commission requires certain professional services described in the Agreement, in connection with the Project and desires to retain the Consultant on the terms and conditions set forth in the Agreement to perform such Services. The Consultant desires to be so retained by the Commission and has represented to the Commission that the Consultant has the knowledge, skill, experience and other resources necessary to perform the Services in the manner provided by the Agreement.

**Whereas**, the Consultant has consulted with the Commission and the User Agency, made site inspections, and taken such other actions as the Consultant deemed necessary or advisable to make itself fully acquainted with the scope and requirements of the Project and the Services. The Consultant represents that it is qualified and competent by education, training and experience to prepare drawings, specifications and construction documents necessary to complete the Project in accordance with standards of reasonable professional skill and diligence.

**Whereas**, the Construction Budget for the Project will be established by the Commission after completion of Schematic Design based upon the requirements of the Project and allowances for cost escalation and Project contingencies.

**Whereas**, the Commission has relied upon the Consultant's representations in selecting the Consultant.

NOW THEREFORE, the parties agree on the terms and conditions that follow:

SIGNED by: \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

PUBLIC BUILDING COMMISSION OF CHICAGO by:

\_\_\_\_\_  
Chairman

Attest:

\_\_\_\_\_  
Secretary

CONSTRUCTION MATERIAL TESTING and INSPECTION CONSULTANT, [ INSERT FIRM NAME ]:

\_\_\_\_\_  
President

County of: \_\_\_\_\_

State of: \_\_\_\_\_

Subscribed and sworn to before me by \_\_\_\_\_ and \_\_\_\_\_ on behalf of  
Consultant this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

\_\_\_\_\_  
Notary Public

My Commission expires: (SEAL OF NOTARY)

**ATTACHMENT G**  
**FORM OF AGREEMENT**

**INCORPORATION OF RECITALS**

The matters recited above, the "Background Information," are incorporated in and made a part of the Agreement.

**DEFINITIONS AND USAGE**

1. **Definitions.** The following phrases have the same meanings for purposes of this Agreement.

a. **Agreement** means this professional services Agreement for Construction Material Testing and Inspection consulting services, including all exhibits or documents attached hereto and/or incorporated by reference herein, and all amendments, modifications, or revisions made in accordance with the terms hereof.

b. **Commission** as herein referred to shall include the Public Building Commission of Chicago, the Commission's Chairman, Secretary, Assistant Secretary, Executive Director, Director of Construction, Managing Architect, Project Manager, or designated consultant or consultants, acting on behalf thereof, as designated by the Commission in writing, for the purpose of giving authorizations, instructions, and/or approval pursuant to this Agreement.

c. **Contract Documents** consists of all of the component parts of the Contract between the Commission and the General Contractor for the construction and improvement of the Project including, without limitation, the general and special conditions, technical specifications, drawings, addenda, bulletins and modifications thereto.

d. **Consultant** means the company or other entity identified in this Agreement, and such successors or assigns, if any, as may be authorized by the terms and conditions of this Agreement.

e. **Key Personnel** means those job titles and persons as identified in those positions as identified in Consultant's proposal and accepted by the Commission.

f. **Project** means the construction and/or improvement of the facility or facilities specified in this Agreement.

g. **Services** means collectively, the services, duties and responsibilities that are necessary to allow the Consultant to provide the Services required by the Commission under this Agreement.

h. **Sub-consultant** means a firm hired by the Consultant to perform professional services related to the construction and/or improvement of the Project.

i. **Technical Personnel** as herein referred to include partners, officers and all other personnel of the Consultant, including technical typists assigned to the Project, exclusive of general office employees.

j. **User Agency** means the municipal corporation that requested the Commission to undertake the construction and/or improvement of the Project.

2. **Incorporation of Documents.** The documents identified below in this paragraph are hereby incorporated in and made a part of this Agreement. By executing this Agreement, Consultant acknowledges and agrees that Consultant is familiar with the contents of each of such documents and will comply fully with all applicable portions thereof in performing the Services.

a. **Project Documents.** The plans and specifications for the Project, to the extent that plans and specifications for the Project have been prepared, as set forth and described in this Agreement (the "Project Documents").

b. **Policies Concerning MBE and WBE.** The Commission's policies concerning utilization of minority business enterprises ("MBE") and women business enterprises ("WBE"), as the same may be revised from



time to time.

3. Engagement and Standards for Performing Services.

a. Engagement. The Commission hereby engages the Consultant, and the

Consultant hereby accepts such engagement, to provide the Services described in this Agreement, as the same may be amended, in writing, from time to time by mutual agreement of the Commission and the Consultant.

b. Performance Standard. The Consultant represents and agrees that the Services performed under this Agreement will proceed with efficiency, promptness and diligence and will be executed in a competent and thorough manner, in accordance with reasonable professional standards in the field consistent with that degree of skill and care ordinarily exercised by practicing Construction Material Testing and Inspection consulting professionals performing services of a scope, purpose, and magnitude comparable with the Services to be provided under this Agreement. If in the course of performing the Services, Consultant identifies any Construction Material Testing and Inspection condition, situation, issue or problem that may impact the performance of the Services or the Project, Consultant must promptly provide notice to the Commission. The Consultant further promises that it will assign at all times during the term of the Agreement the number of experienced, appropriately trained employees necessary for the Consultant to perform the Services in the manner required by the Agreement.

c. Consultant's Personnel. Consultant must ensure that all Services that require the exercise of professional skills or judgment are accomplished by professionals qualified and competent in the applicable discipline and appropriately licensed, if required by law. Consultant must maintain current copies of any such licenses and provide these copies upon request by the Commission. Consultant remains responsible for the professional and technical accuracy of all Services furnished, whether by the Consultant or others on its behalf. All deliverables will be prepared in a form and content satisfactory to the Commission and delivered in a timely manner consistent with the requirements of the Agreement.

d. Confidentiality. Consultant acknowledges that it is entrusted with or has access to valuable and confidential information and records of the Commission and User Agency. Consultant must at all times use it best efforts on behalf of the Commission to assure timely and satisfactory rendering and completion of its Services. Consultant must at all times act in the best interests of the Commission and User Agency consistent with Consultant's professional obligations assumed by Consultant in entering into this Agreement. Consultant promises to cooperate with the officials, employees and agents of the Commission and User Agency in furthering the Commission's and User Agency's interests. Consultant must perform all Services in accordance with the terms and conditions of this Agreement, to the reasonable satisfaction of the Commission.

e. Independent Contractor. In performing the Services under this Agreement, Consultant must at all times be an independent contractor, and does not and must not act or represent itself as an agent or employee of the Commission or the User Agency. As an independent contractor, Consultant is solely and wholly responsible for determining the means and methods for performing the Services. The Agreement will not be construed as an Agreement of partnership, joint venture, or agency.

f. Limitations on Sub-Consultants. Consultant must not use any business or individual who is disqualified by the Commission or debarred under any other governmental agency's procedures to provide the Services under the Agreement.

g. Failure to Meet Performance Standard. If the Consultant fails to comply with its obligations under the standards of the Agreement, the Consultant must perform again, at its own expense, all Services required to be re-performed as a direct or indirect result of that failure. Any review, approval, acceptance or payment for any of the Services by the Commission does not relieve Consultant of its responsibility to render the Services and deliverables with the professional skill and care and technical accuracy required by the Agreement. This provision in no way limits the Commission's rights against the Consultant, either under the Agreement, at law or in equity.

h. Changes to the Services. The Commission may from time to time, request changes to the terms of the Agreement or in the Scope of Services of the Consultant to be performed hereunder. Such

changes, including any increase or decrease in the amount of compensation and revisions to the duration of the Services, which are mutually agreed upon by and between the Commission and Consultant, shall be incorporated in a written amendment to this Agreement. The Commission shall not be liable for any changes absent such written amendment.

4. Duties and Obligations of Consultant.

a. Nondiscrimination. The Consultant agrees that in performing this Agreement it must not discriminate against any worker, employee or applicant for employment, or any member of the public, because of race, creed, gender, color, national origin or disability, or otherwise commit an unfair labor practice. Attention is called to applicable provisions of the Civil Rights Act of 1964, 88-352, July 2, 1964, 78 Stat. 241 et. Seq. the Americans with Disabilities Act of 1990, 42 U.S.C. 12010 et. Seq. the Illinois Human Rights Act 775 ILCS 5/1-101 et. Seq. and the Public Works Employment Discrimination Act 775 ILCS 10/0.0 1 through 10/20, inclusive and a Resolution passed by the Board of Commissioners of the Public Building Commission of Chicago on October 1, 2004, concerning participation of Minority Business Enterprises and Women Business Enterprises on contracts awarded by the Commission. The Consultant will furnish such reports and information as requested by the Commission and the Illinois Department of Human Relations or any other administrative or governmental entity overseeing the enforcement, administration or compliance with the above referenced laws and regulations.

b. Employment Procedures, Preferences and Compliances. Salaries of employees of Consultant performing work under this Agreement must be paid unconditionally and not less often than once a month without deduction or rebate on any account except only such payroll deductions as are mandatory or permitted by the applicable law or regulations. Attention is called to Illinois Compiled Statutes, 1992 relating to Wages and Hours including 820 ILCS 130/0.01 through 130/12 thereof (Prevailing Wage Act), 30 ILCS 570/1 through 570/7 (Employment of Illinois Workers on Public Works Act) and 30 ILCS 560/0.01 through 560/7 (Public Works Preference Act). The Consultant must comply with all applicable "Anti Kickback" laws and regulations, including the "Anti-Kickback" Act of June 13, 1934 (48 Stat. 948; 62 Stat. 740; 63 Stat. 108; 18 U.S.C. § 874; 40 U.S.C. § 276c) and the Illinois Criminal Code of 1961 720 ILCS 5/33E-1 et. seq. If, in the performance of this Agreement, there is any direct or indirect kickback, the Commission shall withhold from the Consultant, out of payments due to it, an amount sufficient to pay employees underpaid the difference between the salaries required hereby to be paid and the salaries actually paid such employees for the total number of hours worked. The amounts withheld shall be disbursed by the Commission for and on account of the Consultant to the respective employees to whom they are due.

c. Compliance with Policies Concerning MBE and WBE. Without limiting the generality of the requirements of the policies of the Commission referred to in paragraph 2 above, the Consultant agrees to use best efforts to utilize minority business enterprises for not less than twenty five percent (25%) for MBE and five percent (5%) for WBE of the value of the Services, in accordance with the Resolution passed by the Board of Commissioners of the Commission on October 1, 2004, concerning participation of minority business enterprises and women business enterprises on contracts awarded by the Commission and to furnish to the Commission, such reports and other information concerning compliance with such Resolution as may be requested by the Commission from time to time.

d. Delays. The Consultant agrees that no charges for damages or claims for damages shall be asserted by it against the Commission for any delays or hindrances from any cause whatsoever during the progress of any portion of the Services. Such delays or hindrances, if any, shall be compensated for by an extension of time to complete the Services, for such reasonable period as may be mutually agreed upon between the parties, it being understood, however, that the agreement of the Commission to allow the Consultant to complete the Services or any part of them after the time provided for the completion thereof herein must in no way operate as a waiver on the part of the Commission of any of its rights hereunder.

e. Records. The Consultant must maintain accurate and complete records of expenditures, costs and time incurred by Consultant in connection with the Project and the Services. Such records must be maintained in accordance with recognized commercial accounting practices. The Commission may examine such records at Consultant's offices upon reasonable notice during normal business hours. Consultant must retain all such records for a period of not less than five (5) calendar years after the termination of this Agreement.

f. Time of Essence. The Consultant acknowledges and agrees that time is of the essence in the performance of this Agreement and that timely completion of the Services is vital to the completion of the Project by the Commission. Consultant agrees to use its best efforts to expedite performance of the Services and performance of all other obligations of the Consultant under this Agreement and any other Agreements entered into by the Commission which are managed or administered by the Consultant as a result of the Consultant's engagement hereunder.

g. Compliance with Laws. In performing its engagement under this Agreement, the Consultant must comply with all applicable federal, state and local laws, including but not limited to, those referenced in subparagraphs (a) and (b) above and in the documents referred to in paragraph 2 of this Agreement.

h. Progress Meetings. Meetings to discuss the progress of the Project and/or to review the performance of the Consultant may be scheduled upon the Commission's request, at mutually agreeable times and locations, and the Consultant agrees to cause such meetings to be attended by appropriate personnel of the Consultant engaged in performing or knowledgeable of the Services.

i. Defects in Project. The Consultant must notify the Commission immediately in the event the Consultant obtains knowledge of a defect in the Project or circumstances which could result in a Project delay or cost overrun.

5. Term.

a. The term of this Agreement shall begin upon the final execution of this Agreement, and, subject to the provisions of subparagraph (b) below, shall expire upon completion of the Services and acceptance thereof by the Commission or, if the Services are of an ongoing nature, on the completion date specified in such Request for Services. The Commission and the Consultant may, from time to time, by mutual agreement, extend the term of this Agreement by amending this Agreement.

b. The Commission shall have the right, at any time, to terminate the term of this Agreement, with or without cause, by written notice given to the Consultant at least thirty (30) days prior to the effective date of termination. In addition, the Commission shall have the right, at any time and from time to time, with or without cause, to suspend the performance of the Consultant hereunder with respect to all or any part of the Services, by written notice given to the Consultant at least five (5) days prior to the effective date of suspension. Termination or suspension of this Agreement shall not relieve the Consultant from liability for the performance of any obligation of the Consultant under this Agreement performed or to have been performed by the Consultant on or before the effective date of termination or suspension. Provided the Consultant is not in default under this Agreement at the time of termination or suspension, the Commission agrees to pay to the Consultant, in accordance with the terms of this Agreement, all compensation and reimbursements due to the Consultant for periods up to the effective date of termination or suspension. In no event shall the Commission be liable to the Consultant for any loss, cost or damage which the Consultant or any other party may sustain by reason of the Commission terminating or suspending this Agreement as provided herein; provided, however, that the Commission may, in its sole discretion, reimburse the Consultant for actual expenses approved by the Commission.

c. If the Project, in whole or substantial part, is stopped for a period longer than thirty (30) days under an order of any court or other governmental authority having jurisdiction of the Project, or as a result of an act of government, such as a declaration of national emergency making materials unavailable, through no act or fault of the Consultant, or if the Commission fails to make any payment or perform any other obligation hereunder, the Consultant shall have the right to terminate this Agreement, by written notice given to the Commission at least seven (7) days prior to the effective date of termination, and shall have the right to recover from the Commission all compensation and reimbursements due to the Consultant for periods up to the effective date of termination.

6. Compensation of Consultant; Reimbursement for Expenses. The Commission shall compensate the Consultant for the Services in the manner set forth in this Agreement. In addition, the Commission shall, upon submission of detailed invoices by the Consultant, no more frequently than once every 30 days, and approval by the Commission of those invoices reimburse the Consultant for all Reimbursable Expenses. As used in this paragraph, the term "Reimbursable Expenses" shall mean those expenses identified as such in this Agreement.

7. Rights and Obligations of Commission. In connection with the administration of the Project by the Commission and the performance of this Agreement by the Consultant, the Commission shall have the following rights and obligations, in addition to those provided elsewhere in this Agreement:

a. Information. The Commission shall provide the Consultant all reasonably requested information concerning the Commission's requirements for the Project and the Services.

b. Review of Documents. Subject to the provisions of subparagraph 4 (d) above, the Commission agrees to make a reasonable effort to examine documents submitted by the Consultant and render decisions pertaining thereto with reasonable promptness.

c. Site Data. To the extent the Commission determines to be necessary for the Consultant to perform the Services, the Commission may furnish, or may authorize the Consultant to obtain from a company or companies approved by the Commission as Reimbursable Expenses: (i) a certified survey of the site or sites; (ii) information concerning locations, dimensions and data pertaining to existing buildings and other improvements; (iii) title information; (iv) information concerning available service and utility lines; and (v) results of test borings and other information concerning subsoil conditions.

d. Tests and Reports. To the extent required for the Consultant to perform the Services, the Commission may furnish structural, civil, chemical, mechanical, soil mechanical and/or other tests and reports; however, the Commission may authorize the Consultant to procure such tests and reports from Sub-Consultants, which must be approved by the Commission. The costs of such Sub-Consultants must be payable as Reimbursable Expenses.

e. Legal, Auditing and other Services. The Commission shall arrange and pay for such legal, auditing, insurance counseling and other services as the Commission, in its sole discretion, may determine to be required for the Project. Such payments shall not include legal or auditing expenses arising out of or relating to any errors or omissions, or claimed errors or omissions, of Consultant.

f. Designated Representatives. The Commission may designate, at its sole discretion, one or more representatives authorized to act in its behalf.

g. Ownership of Documents. All documents, data, studies and reports prepared by the Consultant or any party engaged by the Consultant, pertaining to the Project and/or the Services must be the property of the Commission including copyrights.

h. Audits. The Commission shall have the right to audit the books of the Consultant on all subjects relating to the Project and/or the Services.

8. Indemnification of Commission. The Consultant hereby agrees to indemnify, keep and save harmless the Commission and the User Agency and their respective commissioners, board members, officers, agents, officials and employees from and against all claims, demands, suits, losses, costs and expenses, including but not limited to, the fees and expenses of attorneys, that may arise out of or be based on any injury to persons or property that is or is claimed to be the result of an error, omission or act of the Consultant or any person employed by the Consultant to the maximum extent permitted by applicable law.

9. Insurance to be Maintained by Consultant. The Consultant must purchase and maintain at all times during the performance of Services hereunder, for the benefit of the Commission, the User Agency and the Consultant, insurance coverage as set forth in Attachment E including but not limited to, this Agreement.

10. Default.

a. Events of Default. Any one or more of the following occurrences shall constitute an Event of Default under this Agreement:

i. Failure or refusal on the part of the Consultant duly to observe or perform any obligation or Agreement on the part of the Consultant contained in this Agreement, which failure or refusal continues for a period of ten (10) days (or such longer period as the Commission, in its sole discretion, may

determine if such failure is not capable of being cured within such ten (10) day period) after the date on which written notice thereof shall have been given to the Consultant by the Commission;

ii. Failure of Consultant to perform the Services to the standard of performance set forth in this Agreement;

iii. Any representation or warranty of the Consultant set forth herein or otherwise delivered pursuant to this Agreement shall have been false in any material respect when so made or furnished;

iv. The Consultant becomes insolvent or ceases doing business as a going concern, or makes an assignment for the benefit of creditors, or generally fails to pay, or admits in writing its inability to pay, its debts as they become due, or files a voluntary petition in bankruptcy, or is adjudicated a bankrupt or an insolvent, or files a petition seeking for itself any reorganization, arrangement, composition, readjustment, liquidation, dissolution, or similar arrangement under any present or future statute, law or regulation relating to bankruptcy or insolvency, or files an answer admitting the material allegations of a petition filed against it in any such proceeding, or applies for, consents to or acquiesces in the appointment of a trustee, receiver, liquidator or other custodian of it or of all or any substantial part of its assets or properties, or if it or its principals shall take any action in furtherance of any of the foregoing; or

v. There shall be commenced any proceeding against the Consultant seeking reorganization, arrangement, readjustment, liquidation, dissolution or similar relief under any present or future statute, law or regulation relating to bankruptcy which is not vacated, stayed, discharged, bonded or dismissed within sixty (60) days thereof, or there shall be appointed, without the Consultant's consent or acquiescence, any trustee, receiver, liquidator or other custodian of Custodian or of all or any substantial part of the Consultant's assets and properties, and such appointment shall not have been vacated, stayed, discharged, bonded or otherwise dismissed within sixty (60) days thereof.

b. Remedies. If an Event of Default shall occur and be continuing, then the Commission may exercise any right, power or remedy permitted to it by law or in equity and shall have, in particular, without limiting the generality of the foregoing, the right to terminate this Agreement upon written notice to the Consultant, in which event the Commission shall have no further obligations hereunder or liability to the Consultant except as to payment for Services actually received and accepted by the Commission through the effective date of termination. No course of dealing on the part of the Commission or delay or failure on the part of the Commission to exercise any right shall operate as a waiver of such right or otherwise prejudice the Commission's rights, powers or remedies.

c. Remedies not Exclusive. No right or remedy herein conferred upon or reserved to the Commission is exclusive of any right or remedy herein or by law or equity provided or permitted, but each shall be cumulative of every other right or remedy given hereunder or now or hereafter existing at law or in equity or by statute or otherwise, and may be enforced concurrently therewith or from time to time.

## 11. Disputes.

a. General. All disputes arising under, related to or in connection with the terms of this Agreement or its interpretation, whether involving law or fact or both, including without limitation questions concerning permissibility of compensation, and all claims for alleged breach of contract, shall be presented in writing to the Executive Director for final determination.

b. Procedure. Requests for determination of disputes will be made by the Consultant in writing specifically referencing this Section, and will include: 1) the issue(s) presented for resolution; 2) a statement of the respective positions of the Consultant and the Project Manager; 3) the facts underlying the dispute; 4) reference to the applicable provisions of the Agreement by page and section; 5) identify any other parties believed to be necessary to the resolution; and 6) all documentation which describes and relates to the dispute. Consultant will promptly provide the Executive Director with a copy of the request for determination of the dispute. The Project Manager will have thirty (30) business days to respond in writing to the dispute by supplementing the submission or providing its own submission to the Executive Director. Failure by the Project Manager to respond will not be

deemed to be an admission of any allegations made in the request for dispute resolution, but will be deemed to constitute a waiver of the opportunity to respond to such allegation(s), if any. The Executive Director's decision may thereafter be reached in accordance with such other information or assistance as she or he may deem reasonable, necessary or desirable.

c. Effect. The Executive Director's final decision will be rendered in writing no more than forty-five (45) business days after receipt of the response by the Project Manager was filed or was due unless the Executive Director notifies the Consultant that additional time for the decision is necessary. The Executive Director's decision will be conclusive, final, and binding on all parties. Consultant must follow the procedures set out in this Section and receive the Executive Director's final decision as a condition precedent to filing a complaint in the Circuit Court of Cook County or any other court.

d. The Consultant will not withhold performance of any Services required by the Commission under this Agreement during the dispute resolution period. The Executive Director's written determination will be complied with pending final resolution of the dispute.

12. Confidentiality. All of the reports, information, or data prepared or assembled by the Consultant under this Agreement are confidential, and the Consultant agrees that such reports, information or data shall not be made available to any party without the prior written approval of the Commission. In addition, the Consultant must not, without the prior written consent of the Commission, prepare or distribute any news releases, articles, brochures, advertisements or other materials concerning this Agreement, the Project or the Services.

13. Assignment. The Consultant acknowledges that the Commission is induced to enter into this Agreement by the personal qualifications of the principals, staff and employees of the Consultant and agrees, therefore, that neither this Agreement nor any right or obligation hereunder may be assigned by the Consultant, in whole or in part, without the prior written approval of the Commission. The Commission expressly reserves the right to assign or otherwise transfer all or any part of its interests hereunder without the consent or approval of the Consultant.

14. Personnel. The Consultant further acknowledges that the Consultant has represented to the Commission the availability of certain members of the Consultant's staff who will be assigned to the Project, and agrees, therefore, that in the event of the unavailability of such members, the Consultant must so notify the Commission in writing, and, upon the approval of the Executive Director, must assign other qualified members of the Consultant's staff, to the Project.

15. Relationship of Parties. The relationship of the Consultant to the Commission hereunder is that of an independent contractor, and the Consultant, except to the extent expressly provided to the contrary in this Agreement, shall have no right or authority to make contracts or commitments for or on behalf of the Commission, to sign or endorse on behalf of the Commission any instruments of any nature or to enter into any obligation binding upon the Commission. This Agreement must not be construed as an Agreement of partnership, joint venture, or agency.

16. Miscellaneous.

a. Counterparts. This Agreement may be executed in any number of counterparts, any of which shall be deemed an original.

b. Entire Agreement. This Agreement constitutes the entire understanding and Agreement between the parties hereto and supersedes any and all prior or contemporaneous oral or written representations or communications with respect to the subject matter hereof, all of which communications are merged herein. This Agreement must not be modified, amended or in any way altered except by an instrument in writing signed by both of the parties hereto.

c. Force Majeure. Neither of the parties shall be liable to the other for any delay or failure in performance hereunder due to causes which are beyond the control of the party unable to perform. If a force majeure occurs, the party delayed or unable to perform must give prompt notice to the other party, and the Commission may, at any time during the continuation of the force majeure event, elect to suspend the performance

of the Consultant under this Agreement for the duration of the force majeure. The Commission shall not be obligated to pay for Services to the extent and for the duration that performance thereof is delayed or prevented by force majeure, but, provided the Consultant is not in default of any obligation of the Consultant hereunder, the Commission shall pay to the Consultant, according to the terms hereof, all compensation and reimbursements due to the Consultant for periods up to the effective date of suspension.

d. Governing Law. This Agreement has been negotiated and executed in the State of Illinois and shall be construed under and in accordance with the internal laws of the State of Illinois.

e. No Waiver. The waiver by either party of any breach of this Agreement shall not constitute a waiver as to any succeeding breach.

f. Notices. All notices required to be given hereunder shall be given in writing and shall be hand delivered or sent by United States certified or registered mail, postage prepaid, addressed to Commission and to the Consultant at their respective addresses set forth above. If given as herein provided, such notice shall be deemed to have been given on the date of delivery, if delivered by hand, and on the second business day after mailing, if given by mail. The Commission or the Consultant may, from time to time, change the address to which notices hereunder shall be sent by giving notice to the other party in the manner provided in this subparagraph.

g. Reimbursable Expenses. Reimbursable expenses includes those actual expenditures, as identified in Schedule D to this Agreement, which are made by the Consultant and payable by the Commission.

h. Severability. In the event that any provisions of this Agreement shall be invalid, illegal or unenforceable, the validity, legality and enforceability of the remaining provisions shall not in any way be affected or impaired thereby.

i. Successors and Assigns. Except as otherwise provided herein, this Agreement shall be binding upon and inure to the benefit of each of the parties hereto and their respective successors and assigns.

j. Consultant's Authority. Execution of this Agreement by the Consultant is authorized by a resolution of its Board of Directors, if a corporation, or similar governing document if a partnership or a joint venture, and the signatures(s) of each person signing on behalf of the Consultant have been made with complete and full authority to commit the Consultant to all terms and conditions of this Agreement, including each and every representation, certification and warranty contained or incorporated by reference in it.

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**ATTACHMENT H**  
**PUBLIC BUILDING COMMISSION OF CHICAGO**  
**Application for Acceptance of Material Testing Facility**

**1**

New Applicant     Renewal     Added Services     New Engineer     Name Change     Moved    Application Date

(Testing Facility Name)

(Laboratory Address)

(City)

(State)

(Zip Code)

(Phone)

(Fax)

(E-mail)

**2**

a.

c.

(Managing Civil Engineer)

(IL Reg. No.)

(Exp. Date)

(Geotechnical Engineer)

(IL Reg. No.)

(Exp. Date)

b.

b.

(Supervising Laboratory Technician)

(Relevant Years of Experience)

(Supervising Field Technician)

(Relevant Years of Experience)

**3**

**This Facility Complies With the Following Recognized Standards**

(Check those that apply)

Yes	Test #	Test Name	Yes	Test #	Test Name
<input type="checkbox"/>	a. ASTM E 329	General Requirements	<input type="checkbox"/>	d. ASTM C 1077	Concrete Testing/Inspection
<input type="checkbox"/>	b. ASTM D 3740	Soil and Rock Testing/Inspection	<input type="checkbox"/>	e. ASTM C 1093	Masonry Testing/Inspection
<input type="checkbox"/>	c. ASTM C 511	Moist Cure Room/Tanks	<input type="checkbox"/>	f. ASTM A 880	Steel Testing/Inspection

**4**

**This Facility Participates in the Following Evaluation/Proficiency Programs**

(Check those that apply)

Yes	Report Date	Program Name	Yes	Report Date	Program Name
<input type="checkbox"/>	a.	Cement and Concrete Reference Laboratory (CCRL)	<input type="checkbox"/>	d.	AASHTO Materials Reference Laboratory (AMRL)
<input type="checkbox"/>	b.	CCRL Concrete Proficiency Sample Program	<input type="checkbox"/>	e.	AASHTO Soil Proficiency Sample Program
<input type="checkbox"/>	c.	AMRL Aggregate Proficiency	<input type="checkbox"/>	f.	CCRL Mortar Proficiency Sample



**5****Branch Offices and/or Other Testing/Inspection Facilities Used for Subcontracted Services**

LEA No.	Facility Name	Services Provided	Location	Manager
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**6****TESTS – Soil and Rock**

Yes	Standard/Code Reference	Test Procedure	Yes	Standard/Code Reference	Test Procedure
<input type="checkbox"/>	a. ASTM D 2487	Classification of Soils	<input type="checkbox"/>	g. ASTM D 1140	No. 200 Wash
<input type="checkbox"/>	b. ASTM D 422	Particle Size Analysts	<input type="checkbox"/>	h. ASTM D 1557	Soil Compaction – Modified
<input type="checkbox"/>	c. ASTM D 2216	Moisture Content	<input type="checkbox"/>	i. ASTM D 3080	Direct Shear
<input type="checkbox"/>	d. ASTM D 4318	Liquid/Plastic Limit	<input type="checkbox"/>	j. ASTM D 2922	Density of Soils – Nuclear
<input type="checkbox"/>	e. ASTM D 2850	Unconfined Compressive Strength	<input type="checkbox"/>	k. ASTM D 1556	Density of Soils – Sand Cone
<input type="checkbox"/>	f. ASTM D 2166	Unconfined Compressive Strength			

**7****INSPECTIONS – Soil and Rock**

Yes	Standard/Code Reference	Inspection Procedure
<input type="checkbox"/>	a. [REDACTED]	Excavations and Fills
<input type="checkbox"/>	b. [REDACTED]	Caissons – Drilled
<input type="checkbox"/>	c. [REDACTED]	Piles – Driven

**8****TESTS – Concrete/Aggregate**

Yes	Standard/Code Reference	Test Procedure	Yes	Standard/Code Reference	Test Procedure
<input type="checkbox"/>	a. ASTM C 702	Reducing Aggregate Samples	<input type="checkbox"/>	l. ASTM C 192	Making/Curing Test Specimens
<input type="checkbox"/>	b. ASTM C 40	Organic Impurities	<input type="checkbox"/>	m. ASTM C 617	Capping Concrete Specimens
<input type="checkbox"/>	c. ASTM C 29/C	Unit Weight/Voids	<input type="checkbox"/>	n. ASTM C 1231	Unbonded Caps
<input type="checkbox"/>	d. ASTM C 566	Moisture Content	<input type="checkbox"/>	o. ASTM C 39	Compressive Strength
<input type="checkbox"/>	e. ASTM C 142	Clay/Friable Particles	<input type="checkbox"/>	p. ASTM C 42	Drilled Cores/Beams
<input type="checkbox"/>	f. ASTM C 127	Specific Gravity – Coarse	<input type="checkbox"/>	q. ASTM C 138	Weight/Yield/Air Content

- |                          |    |                              |                            |                          |    |            |                                  |
|--------------------------|----|------------------------------|----------------------------|--------------------------|----|------------|----------------------------------|
| <input type="checkbox"/> | g. | ASTM C 128                   | Specific Gravity – Fine    | <input type="checkbox"/> | r. | ASTM C 495 | Lightweight Concrete             |
| <input type="checkbox"/> | h. | ASTM C 117                   | No. 200 Wash               | <input type="checkbox"/> | s. | ASTM C 567 | Density of Lightweight Aggregate |
| <input type="checkbox"/> | i. | ASTM C 136                   | Sieve Analysis Course/Fine | <input type="checkbox"/> | t. | ASTM E 488 | Strength of Anchors              |
| <input type="checkbox"/> | j. | ASTM D 2419                  | Sand Equivalent Value      | <input type="checkbox"/> | u. | ASTM C 42  | Drilled Cores                    |
| <input type="checkbox"/> | k. | ASTM C31, CBC<br>1905A.6.1.1 | Concrete Sampling          |                          |    |            |                                  |

## 9 INSPECTIONS – Concrete/Aggregate

- | Yes                      | Standard/<br>Code Reference | Inspection Procedure   | Yes                      | Standard/<br>Code Reference | Inspection Procedure    |
|--------------------------|-----------------------------|------------------------|--------------------------|-----------------------------|-------------------------|
| <input type="checkbox"/> | a.                          | Batch Plant Inspection | <input type="checkbox"/> | c.                          | Pre-stressed Inspection |
| <input type="checkbox"/> | b.                          | Shotcrete Inspection   | <input type="checkbox"/> | d.                          | R – Meter Evaluation    |

## 10 TESTS – Masonry

- | Yes                      | Standard/<br>Code Reference | Test Procedure                     | Yes                      | Standard/<br>Code Reference | Test Procedure                            |
|--------------------------|-----------------------------|------------------------------------|--------------------------|-----------------------------|---|
| <input type="checkbox"/> | a.                          | ASTM C 140<br>Dimensions           | <input type="checkbox"/> | g.                          | UBC 21-18<br>Grout Sampling               |
| <input type="checkbox"/> | b.                          | ASTM C 140<br>Compressive Strength | <input type="checkbox"/> | h.                          | ASTM C 1314<br>Prism Compressive Strength |
| <input type="checkbox"/> | c.                          | ASTM C 140<br>Absorption           | <input type="checkbox"/> | i.                          | ASTM C 1019<br>Grout Compressive Strength |
| <input type="checkbox"/> | d.                          | ASTM C 140<br>Unit Weight          | <input type="checkbox"/> | j.                          | ASTM C 780<br>Mortar Compressive Strength |
| <input type="checkbox"/> | e.                          | ASTM C 140<br>Moisture Content     | <input type="checkbox"/> | k.                          | ASTM C 1314<br>Prism Sampling             |
| <input type="checkbox"/> | f.                          | UBC 21-16<br>Mortar Sampling       | <input type="checkbox"/> | l.                          | ASTM C 42<br>Drilled Cores Sampling       |

## 11 INSPECTIONS – Masonry

- | Yes                      | Standard/<br>Code Reference | Inspection Procedure | Yes                      | Standard/<br>Code Reference | Inspection Procedure |
|--------------------------|-----------------------------|----------------------|--------------------------|-----------------------------|----------------------|
| <input type="checkbox"/> | a.                          | Placement Inspection | <input type="checkbox"/> | b.                          |                      |

## 12 TESTS – Steel

Yes	Standard/ Code Reference	Test Procedure
<input type="checkbox"/>	a. ASTM A 370	Tension Test
<input type="checkbox"/>	b. ASTM A 370	Bend
<input type="checkbox"/>	c. ASTM E 165	Liquid Penetrant

Yes	Standard/ Code Reference	Test Procedure
<input type="checkbox"/>	d. ASTM E 1444	Magnetic Particle
<input type="checkbox"/>	e. ASTM E 94	Radiographic
<input type="checkbox"/>	f. ASTM E 164	Ultrasonic

## 13 INSPECTIONS – Steel

Yes	Standard/ Code Reference	Inspection Procedure
<input type="checkbox"/>	a. [REDACTED]	Material Identification
<input type="checkbox"/>	b. AWS D1.1, D1.3, D1.4, CBC 2231A.5	Visual Welding Inspection

Yes	Standard/ Code Reference	Inspection Procedure
<input type="checkbox"/>	c. [REDACTED]	High Strength Bolt Installation
<input type="checkbox"/>	d. UBC Standard 7-6	Fireproofing

## 14 TESTS – Lumber/Roofing

Yes	Standard/ Code Reference	Test Procedure
<input type="checkbox"/>	a. ASTM D 3617	Analysis of New Roof Membranes

Yes	Standard/ Code Reference	Test Procedure
<input type="checkbox"/>	b. ASTM D 4442	Moisture Content

# 15

## Key Personnel Qualification Matrix

Do not include contract employees

Name	Inspectors (provide certification numbers)					Technicians (check appropriate boxes)				
	Soils	Shotcrete	Masonry	Welding (AWS #)	Other	Soils	Concrete	Masonry	Steel	Other
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
						<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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# 16 Engineering Managerial Responsibility

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(Material Testing Facility Name)

(Address)

I am employed on a full-time basis at the facility indicated above. I will personally perform all engineering management responsibilities for all testing and inspection performed by this facility for projects under the jurisdiction of PBC. I have at least five years of relevant experience in the testing and inspection of construction materials for buildings, as required by ASTM E 329. Attached is my detailed work history documenting my relevant experience.

I will ensure that for all projects under PBC jurisdiction:

1. All technicians and inspection personnel tasked with performing services on projects under PBC jurisdiction are qualified and will be adequately supervised.
2. All welding inspection must be performed by welding inspectors holding valid certification by the American Welding Society as a CWI-QC1 or Senior Welding Inspector (SCWI).
3. All masonry inspections must be performed by qualified masonry inspectors.
4. Testing or inspection services for which American Concrete Institute (ACI) or other certifications exist must be performed by technicians who hold current certifications to perform such tasks.
5. All testing and inspection services for projects under PBC jurisdiction will be conducted in accordance with the requirements of the PBC stamped approved documents.
6. The facility has the most current applicable ASTM standards, welding codes, and building codes available to testing and inspection personnel.

I will promptly notify the PBC/PMO must the conditions of my employment, engineering management responsibilities, or any of the forgoing change in any way.

I have read and understand all of the above requirements. I understand that failure to comply with any of the requirements, applicable industry standards, or PBC-approved documents for specific projects may result in the revocation of PBC's acceptance of this material testing facility.

I certify under penalty of perjury that all information provided in this application is correct.

Print Name

Signature

Date

IL Registered Professional Engineer License No.

Expiration Date

## Procedure Structural Steel Fabrication Shop Inspection "Stamped Manifests"



Public Building Commission • Richard J. Daley Center • 50 West Washington, Room 200 • Chicago, Illinois 60602 • Tel: 312-744-3090 • Fax: 312-744-8005

The PBC's Construction Materials Testing Laboratory (CMTL) shall have the designated AWS Certified Welding Inspector (CWI) attest to the fact that the following have been accomplished at the structural steel fabrication facility:

Conformance to the IL Steel Products Procurement Act, 30ILCS565 (... steel products ... shall be manufactured or produced in the United States).

- Fabricated from approved shop drawings and connection calculations.
- Verify that all welders are certified for the positions required.
- Verify that prequalified welding processes were used.
- Verify that specified welding and bolting requirements were met.
- Verify that welding and bolting was inspected and tested as required and that all deficiencies were resolved.
- Verify that shop has a current AISC Quality Certification.
- Assure that CMTR's (Certified Mill Test Reports) comply with the requirements.
- Assure that AWS, AISC and other referenced standards were followed.
- Assure that painting and galvanizing met the requirements.

The CWI will stamp and sign each manifest, attesting to the fact that the structural steel meets the requirements. The shop CWI stamps the manifest, first, attesting to the fact that the fabrication facility has released the steel for shipment. In order to facilitate the shipment, the PBC's CWI may stamp faxed or e-mailed manifests, based on previously submitted reports.

If a component or components cannot be accepted, then an asterisk will be placed adjacent to that component's piece mark on the manifest and the component will be inspected in the field, by the PBC's field CWI.

Upon receipt of the structural steel shipment in the field, the General Contractor's Agent and the PBC Assistant Project Manager or PBC ITL CWI will inspect the manifest for both stamps and will inspect the load for shipping damage. The General Contractor and the PBC Site Staff will file the stamped manifests, as part of the Construction Quality Documentation.

Attachment:

Sample Stamped Manifest