PUBLIC BUILDING COMMISSION OF CHICAGO



STATEMENT OF QUALIFICATIONS AND FINANCIAL CONDITIONS FOR GENERAL CONSTRUCTION WORK for

Marshall Metro High School Campus Park, 3250 W. Adams Street, Chicago, IL 60624 Chicago Park District Park 484, 6700 South Keating, Chicago, IL 60629 Chicago Park District Marquette Park Playground, 6743 South Kedzie Ave, Chicago, IL 60652 Chicago Park District Piotrowski Park Playground, 4247 W. 31st Street, Chicago, IL 60623 Chicago Park District Lincoln Park Playground, 5701 N. Lake Shore Drive, Chicago, IL 60660

Submittal due date: Wednesday, December 16, 2009

This pre-qualification process is unique to the projects described herein and not withstanding any other Pre-Qualification by the Public Building Commission, only contractors determined to have satisfied the criteria established by this Statement of Qualification and Financial Conditions will be eligible to bid on projects.

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KEY INFORMATION ABOUT THIS PRE-QUALIFICATION PROCESS

1. **RESPONDENT CONTACT WITH THE PBC:** The PBC has identified the Contract Officer identified below as the *sole point of contact* regarding this Pre-Qualification. From the date of issuance until selection of the successful Contractor, Respondents communication with the PBC concerning this Pre-Qualification must be exclusively with:

Janice Meeks, Senior Contract Officer Public Building Commission of Chicago 50 West Washington, Room 200 Chicago, Illinois 60602 Fax (312) 744-3572 janicemeeks@cityofchicago.org

- 2. SUBMISSION DEADLINE AND PROCUREMENT TIMETABLE: The following dates are set forth for informational and planning purposes; however, the PBC reserves the right to change the dates.

 - Due Date and Time for SubmissionsWednesday, December 16, 2009 by 2:00 PM Local Time
- 3. NUMBER OF COPIES: Submit 1 signed original copy, 5 copies and 1 copy on compact disk (CD) of the Submission in a sealed envelope or container.
- 4. SUBMIT STATEMENT OF QUALIFICATIONS TO:

Janice Meeks, Senior Contract Officer Public Building Commission 50 West Washington Street, Room 200 Chicago, Illinois 60602

5. RIGHT TO CANCEL: The PBC reserves the right to cancel this procurement process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Contractors associated with this procurement process.

LETTER OF COMMITMENT Date: _____ **Public Building Commission of Chicago** Richard J. Daley Center 50 West Washington Street, Room 200 Chicago, Illinois 60602 RE: Commitment to comply with all Requirements We have reviewed the current Request for Qualifications (RFQ) for General Construction Work for campus parks, park construction and playground projects, understand the conditions, and commit to meet the requirements for prequalification for the Public Building Commission of Chicago. (Below apply a check√ for the project(s) for which qualifications are being submitted.) MARSHALL METRO HIGH SCHOOL CAMPUS PARK 3250 W. Adams Street, Chicago, IL 60624 **Project Scope Description** A nine (9) acre campus park site that includes but is not limited to the following divisions of work: Excavation and backfill, underground site utilities, drainage systems, landscape irrigation system, general landscaping with numerous trees and shrubs, an arboretum with various plantings and walking paths, a multipurpose field for football and soccer made of artificial turf, a softball field with natural turf and backstop, a rubberized running track with underground drainage, ornamental site lighting including electrical service and underground conduits, ornamental benches, ornamental trash receptacles and ornamental fencing. Also included is an asphalt paved parking lot sized for 46 parking spaces. Construction Schedule: 20 weeks Construction Budget: \$2,250,000.00 CHICAGO PARK DISTRICT PARK 484 6700 South Keating, Chicago, IL 60629

Project Scope Description

The nine (9) acre park site scope primarily includes five (5) baseball fields with backstops, dugouts, bleachers and associated civil, landscape, and utility work; An arboretum with asphalt walking path, picnic area, and access road; Ornamental benches, trash receptacles, and fencing. No parking is included in this project.

MEP Systems: No site lighting is included in this project. Site utility scope includes a site storm water management and drainage system. No irrigation system is included in this project.

Construction Schedule: 20 weeks Construction Budget: \$1,800,000.00

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[] CHICAGO PARK DISTRICT MARQUETTE & PIOTROWSKI PARKS PLAYGROUND- PACKAGE A 6743 S. Kedzie Avenue Chicago, IL 60652 4247 W. 31st Street Chicago, IL 60623
Project Scope Description Marquette Park: A seventeen thousand (17,000) square foot playground that includes but is not limited to the following divisions of
work: Excavation and backfill, underground site utilities consisting of water, sewer and electrical, concrete foundations for play equipment, the installation of playground equipment (the equipment will be purchased and delivered by PBC and installed by the General Contractor) for 2 - 5 yrs olds and 5 - 12 yrs olds, 6 swings (2 toddler, 1 inclusive, 3 regular), general landscaping, bike racks, ADA accessible (3 tier) drinking fountain. Also included is the installation of rubberized soft surface material.
Piotrowski Park: A twenty four thousand (24,000) square foot playground that includes but is not limited to the following divisions of
work: Excavation and backfill, underground site utilities consisting of water, sewer and electrical, concrete foundations for play equipment, the installation of playground equipment (the equipment will be purchased and delivered by PBC and installed by the General Contractor) for 2 - 5 yrs olds and 5 - 12 yrs olds, 6 swings (2 toddler, 1 inclusive, 3 regular), general landscaping, picnic tables and benches, bike racks, ADA accessible (3 tier) drinking fountain. Also included is the installation of rubberized soft surface material. Construction Schedule: 8 weeks for (2) Playgrounds Construction Budget: \$800,000.00 for (2) Playgrounds
[] CHICAGO PARK DISTRICT LINCOLN PARK PLAYGROUND - PACKAGE B 5701 N. Lake Shore Drive, Chicago, IL 60660
Project Scope Description A twenty thousand (20,000) square foot playground that includes but is not limited to the following divisions of work: Excavation and backfill, underground site utilities consisting of water, sewer and electrical, concrete foundations for play equipment, the installation of playground equipment, (the equipment will be purchased and delivered by PBC and installed by the General Contractor) for 2 - 5 yrs olds and 5 - 12 yrs olds, 6 swings (2 toddler, 1 inclusive, 3 regular), general landscaping, picnic tables and benches, bike racks, ADA accessible (3 tier) drinking fountain. Also included is the installation of rubberized soft surface material
Construction Schedule: 8 weeks Construction Budget: \$1,000,000.00
We agree to comply with all Public Building Commission of Chicago contract requirements if awarded a contract.
Signed by:
Title:

An authorized officer of Name of Company: _____

EVALUATION OVERVIEW

I. GENERAL CONSTRUCTION WORK FOR CAMPUS PARK, PARK CONSTRUCTION, AND PLAYGROUND PROJECTS

The Public Building Commission is solicting qualifications from General Contractors (including firms proposing to have a controlling interest in Joint Ventures) for the execution of Campus Park, Park Construction and Playground type projects. The projects will be of the scope and value as described in the Letter of Commitment

II. EVALUATION PROCESS

The PBC representatives will review the submitted Statements of Qualifications in accordance with the evaluation criteria set forth herein. PBC representatives may request additional documentation and may request to meet with Respondent to verify qualifications and capability and capacity to successfully perform as a General Contractor. After evaluating all relevant information, PBC representatives will determine which Respondents are eligible for pre-qualification. The Executive Director will identify eligible firms in a report to the PBC Board of Commissioners. Once approved, Respondents will be notified.

The PBC reserves the right to reject any and all Statements of Qualifications and to waive any informality in the submittals whenever it determines such rejection or waiver is in its best interest.

The PBC reserves the right to cancel this pre-qualification process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Respondents associated with this procurement process.

III. PRE-QUALIFICATION OF JOINT VENTURES

A. Minority-owned and Women-owned Business (MBE/WBE) Participation

It is the PBC's policy to provide for maximum contracting opportunities for MBE/WBEs on all PBC projects and MBE/WBEs are encouraged to apply to be pre-qualified to bid as a General Contractor.

The PBC also strongly encourages the participation of MBEs and WBEs as joint venture General Contractors. Therefore, the PBC permits contractors who are pre-qualified through this process to bid as joint ventures with MBE/WBE contractors. The joint venture can be established after the pre-qualification process is concluded (but before the bid is due). The MBE/WBE joint venture partner does *not* need to be pre-qualified; however the firm with controlling interest in the joint venture must be pre-qualified.

Joint ventures of MBE/WBE contractors and non-MBE/WBE contractors are also invited to apply directly for pre-qualification.

B. Evaluating Joint Venture Qualification

Joint ventures of contractors with appropriate qualifications, financial capacity and combined construction experience and capacity are eligible to be considered for this pre-qualification. Joint ventures applying for pre-qualification must complete and submit separate Parts I., II, III, and IV. for *each* joint venture partner. A completed Part I. General Information must also be submitted for the joint venture entity; and Respondent must submit a copy of the entity's joint venture agreement which clearly identifies the resources, capabilities and capacity of each joint venture firm available to be allocated to the performance of the work-

IV. EVALUATION CRITERIA

The PBC shall review and evaluate the Statements of Qualifications of each Respondent in accordance with the following criteria:

A. Technical Competence and Specific Past Performance

Eligible Respondents must possess verifiable experience and demonstrate successful past performance of comparable work.

B. Technical Competence and Specific Past Performance for Specialized Work.

Marshall Metro High School Campus Park

Eligible Respondents must possess verifiable experience and demonstrate successful past performance in the installation of Rubberized Running Track Systems and Artificial Turf Playing Field Systems.

Chicago Park District Playgrounds

Eligible Respondents must possess verifiable experience and demonstrate successful past performance in the installation of playground equipment. Experience in the installation of Nu Toys or Lets Go Play type playground equipment is preferable but not required.

C. Qualifications and Relevant Experience of Management Staff

Eligible contractors must employ and have available appropriately qualified and experienced personnel (Project Manager, Superintendents, Scheduler, Quality Control Manager, and Safety Manager) as needed to provide required management services on these contracts.

D. Minority and Women Owned Business Participation

Eligible contractors must have a positive history of achieving M/WBE participation commitments on previous projects.

E. Equal Employment Opportunity and City of Chicago Residency Participation

Eligible contractors must have a positive history of achieving commitments made to employ minority, female and Chicago resident labor on previous projects.

F. Safety Program

Eligible contractors must demonstrate the quality of their overall safety program as evidenced by their history of citations, OSHA incident rate and Experience Modification Rate (EMR). If the rating is greater than 1.0 please attach the NCCI rating information for the last 3 years and a written explanation.

G. Legal History

Eligible contractors must demonstrate a performance history without an indication of recurring defaults or terminations on projects, failures to complete projects on schedule, litigation history, including judgments and outstanding lawsuits, and violation of laws relevant to the evaluation of this criterion.

H. Financial Capacity, Bonding Capacity and Licensing

Eligible contractors must have demonstrated financial resources, bonding capacity and licenses necessary to successfully complete the project work.

V. SUBMITTAL REQUIREMENTS

Format: Submit 1 signed original, 5 copies and 1 copy on compact disk (CD) in a sealed envelope or container.

A. General Information

Complete, sign and notarize Part I. General Information.

B. Technical Competence and Past Performance

Complete Part II. – Experience and Qualification on Campus Parks, Park Construction, and Playground type Projects.

Respondents may provide a separate narrative of not more than 2 pages describing their experience, qualifications.

C. Qualifications and Relevant Experience of Management Staff

Complete Part II. Experience and Qualifications, Section C. *Key Personnel* identifying available, appropriately qualified and experienced personnel (Project Manger, Superintendents, Scheduler, Quality Control Manager, Safety Manager) needed to provide required management services.

D. Minority and Women Owned Business Participation

Respondent may supplement the information provided in response to Part II. above with a narrative or summary report describing its history and commitment to MBE/WBE participation.

E. Equal Employment Opportunity and City of Chicago Residency Participation

Respondent may supplement the information provided in response to Part II. above with a narrative or summary report describing its history and commitment to providing employment opportunities for minorities, women and local residents.

F. Safety

Complete Part II. Experience and Qualifications, Section D. *Safety* and provide copies of 1) the Table of Contents of the Respondent's Company Safety Program and 2) Respondent's NCCI current experience modification (EMR) rating worksheet.

G. Legal Actions

Complete Part III Legal Actions and answer all questions pertaining to the history of legal actions related to the firm. Any questions that are answered with a "Yes" response must be described in detail with an explanation on a separate sheet of paper with all applicable legal documents attached.

H. Financial Capacity

Complete Part IV Financial Capacity and provide the firm's most current year audited financial statement. All potential claims must be listed as liabilities. If submitted as Joint Venture all potential claims for either liable party must be listed as liabilities.

PART I. GENERAL INFORMATION Section A. History and Ownership of Respondent Firm Name of Firm: ______ Phone No. (____)_ Address: _____ Fax No. (____)___ City, State, ZIP: Contact Person: e-mail: How many years has the firm or venture been in business under its present name? Under what other names, if any, has the firm or venture operated? DISCLOSURE OF OWNERSHIP INTERESTS Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, Respondent shall provide the following information. If the question is not applicable, answer "NA". If the answer is none, please answer "none". Respondent is a: [] Corporation [] LLC [] Joint Venture [] Not-form [] Partnership [] LLP [] Sole Proprietorship [] Other [] Not-for-Profit Corporation 1. FOR PROFIT CORPORATIONS State of Incorporation _____ Authorized to do business in the State of Illinois: Yes [] No [] Names of all officers of corporation Names of all directors of corporation (Or attach list): (Or attach list): Name (Print or Type) Title (Print or Type) Name (Print or Type) Title (Print or Type) Is the corporation owned partially or completely by one or more other corporations? Yes [] No [] If "yes" provide the above information, as applicable, for each such corporation. Indicate here or attach a list names and addresses of all shareholders owning shares equal to or in excess of seven and one-half percent (7.5%) of the proportionate ownership of the corporation and indicate the percentage interest of each. Name of Shareholders (Print or Type) Percentage Interest

PART I. GENERAL INFORMATION

2. PARTNERSHIPS and JOINT VENTURES

If Respondent is a partnership or joint venture, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP).

Name of Partners	Percentage Interest
	%
	%

3. SOLE PROPRIETORSHIPS

Is Respondent a sole proprietorship: **Yes / No** If Yes and Respondent is acting in a representative capacity on behalf of any beneficiary complete items a. and b. below.

- a. If the sole proprietorship is held by an agent(s) or a nominee(s), name the principal(s) for whom the agent or nominee holds such interest.
- b. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, provide the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised.

Section B. Licensing

Is your firm licensed to do business in the City of Chicago, Cook County, Illinois? Yes No

Provide a copy of your firm's General Contractor and Demolition license indicating the Category Class for which your firm is licensed to do general construction work.

List categories in which the firm or venture is licensed to do business and indicate registration or license numbers, if applicable. Please indicate which government entity issued the license. ATTACH a copy of each license listed.

Category	Registered License (or license number)	Organization Issuing License	Expiration Date

PART I. GENERAL INFORMATION

Single Limit:	Maximum Limit:		A.M. Best Rating:
Total Bonding Capacity:	as of:		
Provide information regarding the sure on behalf of the PBC.	ty that will provide the Payme	ent and Performar	nce Bond for project(s) to be awarded by o
Name of Surety:			
Street Address:			
City, State, Zip <u>:</u>			
Telephone No: ()	Fax No: ()		
Section D. MBE/WBE Certifica Is your organization currently certified a * If yes, please check and ATTACH co	as a MBE or WBE with any of	ation.	ncies or organizations? e appropriate box(es)
City of Chicago Cook County Metropolitan Water Reclamation Chicago Minority Business Dev State of Illinois – Central Manag Women's Business Developmen	elopment Council (MBE onli Jement Services	ly)	
	on in restraint of free comp	etitive bidding in	ed into any agreement, participated in any connection with this proposal or contract not eligible for award consideration.
(Signed)	_	(Title)	
Subscribed and sworn to before me thi	s, 20	009	
(Signature)		(SEAL)	
(Title)			
(Title) My Commission expires:			

Section C. Surety Bonding

PART II. EXPERIENCE AND QUALIFICATIONS

Section A.

Experience and Qualification on Campus Parks, Park Construction and Playground Type Projects

Provide the following information about specific projects which you feel best represents Respondent's past experience performing as a General Contractor on projects similar to the projects referenced above.

Project Name:	
Project Address:	
	one number):
Project Description:	
Project Amount: \$	
	Completed: Mo/Yr:
	me for the playground equipment installed
If applicable provide the type of Rubb	perized Running Track System Installed
If applicable provide the type of Artific	cial Turf System Installed
What percentage of the work of the p	roject was self-performed?
Describe self-performed work. (If less	s than 25% identify primary subcontractors):
	Rerprise: Did this project include goals for the participation of MBE/WBEs in the No If Yes, describe the goals/commitments and explain how and to what extent were the
	project include goals for the employment of minorities, women and/or local residents in the No If Yes, describe the goals/commitments and explain how and to what extent were the

Note: Duplicate form as necessary.

DADTII	EXPERIENCE	AND OHAL	IFICATIONS	
PARI II.	EXPERIENCE	AND QUAI	_IFICATIONS	

Section B. Key Personnel.

Eligible contractors must employ and have available appropriately qualified and experience personnel (Project Manger, Superintendents, Scheduler, Quality Control Manager, and Safety Manager).

Identify key personnel currently employed by the Respondent and suitable for assignment on projects. Relevant Experience on projects described in Section A above is highly desirable. Attach resumes of all individuals listed.

Name	Role / Title	Relevant Project Experience

Section C. Safety

PBC is committed to working with safe General Contractors. To that end, the PBC has developed a safety questionnaire to aid in selection of General Contractors with good safety records. As a part of the General Contractors solicitation process you must answer the questions below.

Сс	ontractors solicitation process you must answer the questions below.
1.	Does you organization have a safety program? Yes No If yes, provide the following information: Month and Year first implemented Method of review of program
	 Whether regular work site safety meetings are held and how frequently Copy of table of contents of safety/loss control manual
2.	Have any citations been issued to your organization during the period of the last three years for workplace safety law violation. Yes No If yes, provide detailed information for each occurrence regarding: The nature of the violation for which your organization was cited. Summary of your position of the matter. Official resolution of violation
3.	Provide your organization's OSHA reportable incident rate: If this is greater than 3.0, please attach your OSHA Form 300A Summaries for the last three years and a written explanation to the qualification questionnaire (attach as necessary).

Provide a copy of your organization's NCCI current experience modification (EMR) rating worksheet. If the rating is greater than 1.0 please attach the NCCI rating information for the last 3 years and a written explanation to the qualification questionnaire. As a follow up, you may be asked to provide your written safety plan.

PART III. LEGAL ACTIONS

Section A. Legal Actions

Provide the information below. If the answer to any of the questions is "Yes", provide a brief description or explanation on a separate sheet.

	Question	Yes	No
1.	Has the firm or venture been issued a notice of default on any contract awarded in the last three years?		
2.	Does the firm or venture have any judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers?		
	If yes, include the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed. Attach explanation.		
3.	Within the past three years, has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?		
4.	Within the last three years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?		
5.	Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid rigging or bid-rotating?		
6.	Has the firm or venture ever been temporarily or permanently debarred from a contract awarded by any federal, state, or local agency?		
7.	Within the last three years, has the firm or venture been assessed penalties for any statutory or administrative violations, including MBE, WBE and EEO?		
8.	Has the firm or venture ever failed to complete any work awarded to it?		

	PART IV. FINANCIAL CAPACITY						
Section A. Banking. Provide the following information about the firm's or ventures primary commercial bank.							ınk.
	Name of Bank:						
	Address:			F	hone No.:		
	City, State, ZIP			F	ax No.:		
	Contact Officer:			F	Phone No.:		
	In what year was the b	anking relationship estab	olished?				
	Does your firm or ve	nture have access to a	line of credi	t? Yes		No	
	If yes, indicate upper limit. \$ How much is currently available? \$						
	Other sources of capit	al:					
Se	ction B. Gross Revenu related activities for the	es. Indicate below the all last three (3) years.	mount of rev	enues earned	by the firm or	venture from o	construction-
	Year	Amount					
		\$					
		\$					
		\$					
Se	joint venture, submit fin	ement. <u>Attach</u> Respond nancial statements for the le a copy of a complete fi	e joint ventur	e name and e	ach joint ventu	ire partner. In	dependent
	•	statement date:/_	I	ISELECT OF	NET: Audit	Review	☐ Compile
	•			SELECT OF	v⊏jAuuit	□ I/GAIGM	
	Consolidated financi	al statement: 🔲 Yes 🗌	JNO				