



Public Building Commission of Chicago
www.pbcchicago.com

**STATEMENT OF QUALIFICATIONS AND
FINANCIAL CONDITION ("SOQ")**

**PRE-QUALIFICATION FOR
GENERAL CONTRACTORS**

Issued on: April 1, 2011

HENDERSON ELEMENTARY SCHOOL RENOVATION
5650 SOUTH WOLCOTT AVENUE

PECK ELEMENTARY SCHOOL RENOVATION
3826 WEST 58TH STREET

DUNBAR CAREER ACADEMY RENOVATION
3000 SOUTH KING DRIVE

SUBMITTAL DUE DATE: FRIDAY, APRIL 15, 2011 BY 3:00PM CST

Respondent Name: _____

Contact Name: _____

Contact Telephone: _____

Contact Email: _____

This pre-qualification process is unique to the projects described herein and notwithstanding any other pre-qualification by the Public Building Commission, only contractors determined to have satisfied the criteria established by this Pre-Qualification will be eligible to bid.

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KEY INFORMATION ABOUT THE PRE-QUALIFICATION PROCESS

1. **RESPONDENT CONTACT WITH THE PBC:** The PBC has identified the Contract Officer identified below as the *sole point of contact* regarding this Pre-Qualification. From the date of issuance until selection of the successful Contractor, Respondents communication with the PBC concerning this Pre-Qualification must be exclusively with:

Janice Meeks, Manager of Procurement
Public Building Commission of Chicago
50 West Washington, Room 200
Chicago, Illinois 60602
Fax (312) 744-3572
janicemeeks@cityofchicago.org

3. **SUBMISSION DEADLINE AND PROCUREMENT TIMETABLE:** The following dates are set forth for informational and planning purposes; however, the PBC reserves the right to change the dates.
 - Issue SOQFriday April 1, 2011
 - Due Date and Time for Submissions **Friday, April 15, 2011 by 3:00 PM** Local TimeAny addenda that are issued will only be posted on the Commission's website at: www.pbcchicago.com.
The Respondent shall acknowledge any addenda in a transmittal letter.

4. **NUMBER OF COPIES:**

Submit **1 signed original copy**

Submit **4 copies**

Plus (1) additional copy unbound

and **1 compact disk (CD) of the electronic (PDF) copy of the** of the submittal in a sealed envelope or container.

5. **SUBMIT STATEMENT OF QUALIFICATIONS TO:**

Janice Meeks, Manager of Procurement
Public Building Commission
50 West Washington Street, Room 200
Chicago, Illinois 60602

6. **RIGHT TO CANCEL:** The PBC reserves the right to cancel this procurement process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Contractors associated with this procurement process.

LETTER OF COMMITMENT

Date: _____

Public Building Commission of Chicago

Richard J. Daley Center
50 West Washington Street, Room 200
Chicago, Illinois 60602

RE: Commitment to comply with all Requirements

We have reviewed the current Statement of Qualifications and Financial Condition for General Contractors (SOQ) documents, understand the conditions, and commit to meet the requirements for pre-qualification for the Public Building Commission of Chicago.

INDICATE BELOW THE PROJECT(S) FOR WHICH APPLICATION IS BEING SUBMITTED.

HENDERSON and PECK ELEMENTARY SCHOOL RENOVATION:

Henderson Elementary School Renovation: This project is a school improvement program for a 3-story building constructed in 1922. The following elements are included in the scope of work: Site and Parking Improvements, Tuck-Point Facades and Parapets, Terra Cotta Repairs, Lintel Repairs, Cupola Repairs, Interior and Exterior ADA upgrades, Toilet Room Renovations, Corridor and Classrooms Painting, Replacement of select Classroom Floors, minor Lunchroom Renovations, and Gymnasium Renovations. Building system upgrades include: Replacement of all galvanized piping throughout the building, new hot water condensing boilers and new hot water piping, New Return Fans Systems, renovate existing Air Handling Units, new BAS System, new Dehumidification Systems including a new Chiller and Dual Temperature Coils, and a new Generator.

Peck Elementary School Renovation: School Improvement Program comprised of renovations to 1926 2-story Main Building and Boiler Building. Work to include Site Improvements, Sewer Replacement, Masonry Reconstruction, Structural Tunnel and Beam Repair, Roofing Replacement, Window Replacement, Elevator, Lift, Interior Water Damage Repairs, Electrical Upgrade, New Transformer installation, New Fire Alarm System installation, Lighting Efficiency Upgrade, Interior and Exterior ADA Upgrades, Warming Kitchen Renovation, Mechanical System Optimization, Dehumidification, Plumbing Upgrades, and other Life Safety Work.

DUNBAR CAREER ACADEMY RENOVATIONS:

This project is a School Improvement Program for a 328,800 SF Career Academy constructed in 1956. Work includes minor landscape improvements, Masonry and Lintel repairs, Window and Curtain Wall replacement, Exterior Door Replacement, and Interior renovations. Building system upgrades includes: Classroom Ventilator Refurbishment, Rooftop Exhaust Fan Replacement, Steam Trap Replacement, Piping repairs, Auditorium Smoke Evacuation System, Main Electrical Switchgear and subpanel replacement, lighting upgrades and Plumbing Piping repairs.

EVALUATION OVERVIEW

I. GENERAL CONSTRUCTION WORK FOR: Henderson Elementary School, Peck Elementary School and Dunbar Career Academy Renovation projects.

The Public Building Commission (“PBC”) is soliciting qualifications from General Contractors (including firms proposing to have a controlling interest in Joint Ventures) for the execution of **Henderson Elementary School, Peck Elementary School and Dunbar Career Academy Renovation projects.**

II. EVALUATION PROCESS

The PBC representatives will review the submitted Statements of Qualifications in accordance with the evaluation criteria set forth herein. PBC representatives may request additional documentation and may request to meet with Respondent to verify qualifications and capability and capacity to successfully perform as general contractor for the subject projects. After evaluating all relevant information, PBC representatives will determine which Respondents are eligible for pre-qualification. The Executive Director will identify eligible firms in a report to the PBC Board of Commissioners. Once approved, Respondents will be notified.

The PBC reserves the right to reject any and all Statements of Qualifications and to waive any informality in the submittals whenever it determines such rejection or waiver is in its best interest.

The PBC reserves the right to cancel this pre-qualification process whenever the best interest of the PBC is served. The PBC shall not be liable for costs incurred by Respondents associated with this procurement process.

III. PRE-QUALIFICATION OF JOINT VENTURES

A. Minority-owned and Women-owned Business (MBE/WBE) Participation

It is the PBC’s policy to provide for maximum contracting opportunities for MBE/WBEs on all PBC projects and MBE/WBEs are encouraged to apply to be pre-qualified to bid as a General Contractor.

The PBC also strongly encourages the participation of MBEs and WBEs as joint venture general contractors. Therefore, the PBC permits contractors who are pre-qualified through this process to bid as joint ventures with MBE/WBE contractors. The joint venture can be established after the pre-qualification process is concluded (but before the bid is due). The MBE/WBE joint venture partner does *not* need to be pre-qualified; however the firm with controlling interest in the joint venture must be pre-qualified.

Joint ventures of MBE/WBE contractors and non-MBE/WBE contractors are also invited to apply directly for pre-qualification.

B. Evaluating Joint Venture Qualification

Joint ventures of contractors with appropriate qualifications, financial capacity and combined construction experience and capacity are eligible to be considered for this pre-qualification. Joint ventures applying for pre-qualification must complete and submit separate Sections A., B., C., and D. for *each* joint venture partner. A completed Section E. Disclosure Affidavit must also be submitted for the joint venture entity; and Respondent must submit a copy of the entity’s joint venture agreement which clearly identifies the resources, capabilities and capacity of each joint venture firm available to be allocated to the performance of the selected Project.

IV. EVALUATION CRITERIA

Firms interested in providing general construction work for Henderson, Peck and Dunbar Career Academy Elementary Schools must submit a Statement of Qualifications (SOQ) that addresses the following evaluation criteria. Respondents are encouraged to organize their submissions in such a way as to follow the general evaluation criteria listed below. Information included within the SOQ may be used to evaluate your firm as part of any criteria regardless of where that information is found within the SOQ. Information obtained from the SOQ and from any other relevant source may be used in the evaluation and selection process.

A. Technical Competence and Specific Past Performance

HENDERSON and PECK ELEMENTARY SCHOOL RENOVATION

Eligible respondents must possess verifiable past experience and demonstrate successful past performance performing construction on comparable public or private K-12 education projects with construction costs between **\$5,000,000 and \$10,000,000** that involved logistically challenging , exterior envelope repairs, overall building MEP upgrades, window replacement, interior renovation, asbestos abatement, ADA and accessibility upgrades, conversion of existing classroom spaces into other uses performed in phases during ongoing school operations with compressed schedules or summer critical construction work. Verifiable past experience must demonstrate respondent's ability to manage significant construction manpower (more than 150 construction personnel on site at one time), high velocity of work put in place (in excess of \$1,500,000 per month for at least three consecutive months), and multiple shifts of work on a congested site. Describe all comparable projects completed in the last (5) years. References for the firm, superintendent and project manager will be required for each project.

DUNBAR CAREER ACADEMY RENOVATION

Eligible respondents must possess verifiable past experience and demonstrate successful past performance performing construction on comparable public or private K-12 education projects with construction costs between **\$13,000,000 and \$18,000,000** that involved logistically challenging , exterior envelope repairs, window replacement and curtain wall replacement, overall building mechanical, electrical and plumbing system upgrades, interior renovation, asbestos abatement, and ADA and accessibility upgrades, performed in phases during ongoing school operations with compressed schedules or summer critical construction work. Verifiable past experience must demonstrate respondent's ability to manage significant construction manpower (more than 150 construction personnel on site at one time), high velocity of work put in place (in excess of \$1,500,000 per month for at least three consecutive months), and multiple shifts of work on a congested site. Describe all comparable projects completed in the last (5) years. References for the firm, superintendent and project manager will be required for each project.

B. Qualifications and Relevant Experience of Management Staff

Eligible respondents must employ and have available appropriately qualified and experienced personnel (Project Manger, Superintendents, Scheduler, Quality Control Manager, and Safety Manager) as needed to provide required management services on these projects. Respondent must provide resumes.

C. Minority and Women Owned Business Participation

Eligible respondents must have a positive history of achieving M/WBE participation commitments on previous projects.

D. Equal Employment Opportunity and City of Chicago Residency Participation

Eligible respondents must have a positive history of achieving commitments made to employ minority, female and Chicago resident labor on previous projects.

E. Safety Program

Eligible respondents must demonstrate the quality of their overall safety program as evidenced by their history of citations, OSHA incident rate and Experience Modification Rate (EMR). If rating is greater than 1.0 please attach the NCCI rating information for the current year and each of the past four years and a written explanation.

F. Legal History

Eligible respondents must demonstrate a performance history without an indication of recurring defaults or terminations on projects, failures to complete projects on schedule, litigation history, including judgments and outstanding lawsuits, and violation of laws relevant to the evaluation of this criterion.

G. Financial Capacity, Bonding Capacity and Licensing

Eligible respondents must have demonstrated financial resources, bonding capacity and licenses necessary to successfully complete the project work.

V. SUBMITTAL REQUIREMENTS

Format: Submit 1 signed original, 4 copies, plus 1 additional copy unbound and 1 copy on compact disk (CD) in a sealed envelope or container.

A. Technical Competence and Past Performance

Complete Experience and Qualifications, Comparable Experience and Past Performance for each project offered by Respondent; refer to Section B. Part II. Respondents may provide a separate narrative of not more than 2 pages describing their experience and qualifications.

B. Qualifications and Relevant Experience of Management Staff

Identify Respondent's qualified and experienced personnel (Project Manager, Superintendents, Scheduler, Quality Control Manager, and Safety Manager) needed to provide required management services on the projects. Submit resumes or other evidence of qualifications and experience.

C. Minority and Women Owned Business Participation

Respondent may supplement the information provided in response to IV. C. above with a narrative or summary report describing its history and commitment to MBE/WBE participation.

D. Equal Employment Opportunity and City of Chicago Residency Participation

Respondent may supplement the information provided in response to IV. D. above with a narrative or summary report describing its history and commitment to providing employment opportunities for minorities, women and local residents.

E. Narrative or Continuation Sheet

If there is not sufficient space on the application to answer a question, attach a continuation or narrative sheet of not more than 2 pages, which clearly identifies the project and or question for which the information is provided. This should not be done for each and every question. Note in the appropriate answer space on the

application that information is being provided on an attachment. Clearly label the attachments in an indexed fashion and make sure your firm's name is on all attachments.

F. CURRENT DOCUMENTS ON FILE:

Respondent is required to submit the following documents. However, **if current** versions of the following documents are **on file** with the Commission and a material change in previously submitted documents has not occurred then the "No Change Affidavit" must be submitted.

1. Safety

Complete Section B, page 17, Part III **Safety** and provide copies of 1) the table of contents of the Respondent's Company Safety Program and 2) A photocopy of the applicant's Experience Modification Rate Factors (EMRF) from the National Council on Compensation Insurance (NCCI; www.ncci.com), **for the current year and each of the past four years**. Your insurance carrier may provide this information on their letterhead.

2. Legal Actions/History

Complete Section C, page 18, Legal Actions.

3. Bonding Capacity, Licensing and MBE/WBE CERTIFICATIONS

Complete Section A, page 10, General Information.

4. Financial Statement

Attach Respondent's audit financial statement with notes for the last fiscal year as detailed Section D, page 19, Part III. Financial Statement.

5. Disclosure Affidavit

Complete Section E. Disclosure Affidavit.

NO CHANGE AFFIDAVIT

Respondent must complete the No Change Affidavit to attest that there have not been any material changes in previously submitted material. The information provided herein may be audited or verified by the Commission as provided by law.

Upon penalty of perjury, the undersigned certifies that he/she is the _____ of
(Authorized Official's Title)

_____ and that he/she is authorized by the contractor to execute this affidavit
(Contractor Name)

on the Contractor's behalf and attests to the accuracy and truthfulness of the information on the affidavit and its supporting documentation.

The Affiant affirms that the following applicable documents, indicated below by check mark, are on file with the Commission. Please provide the date of the original application for qualification when the documents were submitted to the Commission: _____.

(Application date of original SOQ)

The Affiant further affirms that there have been no material changes in the information provided in each of the indicated documents affecting _____:
(Contractor Name)

- | | |
|--|---|
| <input type="checkbox"/> Financial Statement | <input type="checkbox"/> Disclosure Affidavit |
| <input type="checkbox"/> Legal Actions/History | <input type="checkbox"/> Bonding Capacity |
| <input type="checkbox"/> Safety | <input type="checkbox"/> MBE/WBE CERTIFICATIONS |
| <input type="checkbox"/> Business License(s) | |

Applicant must provide the Commission written notification immediately of the occurrence of any material changes in the information provided.

I understand and acknowledge that to fraudulently obtain eligibility, and to willfully make a false statement to an official for the purpose of influencing qualification eligibility will cause immediate termination of consideration of eligibility.

Note: An Authorized Official of the Contractor must sign below.

Printed Name of Authorized Official

Signature of Authorized Official

Date

NOTARY: Signed and sworn to before me on this _____ day of _____, 20____

Notary Public Signature and Seal
Commission expires: _____

SECTION A: GENERAL INFORMATION

I. LICENSING

Is your firm licensed to do business in the City of Chicago, Cook County, Illinois? Yes No

If yes, **ATTACH** a copy of your City of Chicago Business License.

Provide a copy of your firm's General Contractor's license indicating the Category Class for which your firm is licensed to do general construction work.

List categories in which the firm or venture is licensed to do business and indicate registration or license numbers, if applicable. Please indicate which government entity issued the license. **ATTACH a copy of each license listed.**

Category	Registered License (or license number)	Organization Issuing License	Expiration Date
_____	_____	_____	_____
_____	_____	_____	_____

II. SURETY BONDING

Provide the current level of performance bonding (in dollar amount) authorized by the surety as of the date of this Qualification Submittal (or as of the date last bonded, including said date):

Single Limit: \$ _____ Maximum Limit: \$ _____ A.M. Best Rating: _____

Total Bonding Capacity: \$ _____ as of: _____

During the past five years, have any demands been placed on a bid bond, payment bond, or performance bond of the applicant?
 Yes No If the answer is "yes," **ATTACH** a statement listing and describing the date, location, project, and dollar amount of each demand, and explaining the circumstances.

Provide information regarding the surety that will provide the Payment and Performance Bond for project(s) to be awarded by or on behalf of the PBC.

Name of Surety: _____

Street Address: _____

City, State, Zip: _____

Telephone No: (_____) _____ Fax No: (_____) _____

III. MBE/WBE CERTIFICATIONS

Is your organization currently certified as a MBE or WBE with any of the following agencies or organizations?

* If yes, please check and **ATTACH copy of current Letter of Certification.**

Check the appropriate box(es)

- City of Chicago
- Cook County
- Metropolitan Water Reclamation District
- Chicago Minority Business Development Council (MBE only)
- State of Illinois – Central Management Services
- Women's Business Development Center (WBE only)

SECTION B: EXPERIENCE AND QUALIFICATIONS

I. TECHNICAL COMPETENCE AND PAST PERFORMANCE

LIST ALL PROJECTS COMPLETED IN THE LAST 5 YEARS COMPARABLE TO HENDERSON AND PECK ELEMENTARY SCHOOL RENOVATION AS DESCRIBED BELOW.

HENDERSON AND PECK ELEMENTARY SCHOOL RENOVATION

Henderson Elementary School Renovation, 5650 South Wolcott Avenue, Chicago, Illinois 60636

This project is a school improvement program for a 3-story building constructed in 1922. The following elements are included in the scope of work: Site and Parking Improvements, Tuck-point Point Facades and Parapets, Terra Cotta Repairs, Lintel Repairs, Cupola Repairs, Interior and Exterior ADA upgrades, Toilet Room Renovations, Corridor and Classrooms Painting, Replacement of select Classroom Floors, minor Lunchroom Renovations, and Gymnasium Renovations. Building system upgrades include: Replacement of all galvanized piping throughout the building, new hot water condensing boilers and new hot water piping, new Return Fans Systems, renovate the existing Air Handling Units, new BAS System, new Dehumidification Systems including a new Chiller and Dual Temperature Coils, and a new Generator.

Peck Elementary School Renovation, 3826 West 58th Street, Chicago, Illinois 60629

School Improvement Program comprised of renovations to 1926 2-story Main Building and Boiler Building. Work to include Site Improvements, Sewer Replacement, Masonry Reconstruction, Structural Tunnel and Beam Repair, Roofing Replacement, Window Replacement, Elevator, Lift, Interior Water Damage Repairs, Electrical Upgrade, New Transformer installation, New Fire Alarm System installation, Lighting Efficiency Upgrade, Interior and Exterior ADA Upgrades, Warming Kitchen Renovation, Mechanical System Optimization, Dehumidification, Plumbing Upgrades, and other Life Safety Work.

PROJECT NAME	COMPLETION DATE	CONTRACT VALUE
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____
4. _____	_____	\$ _____
5. _____	_____	\$ _____

II. **TECHNICAL COMPETENCE AND PAST PERFORMANCE** (Duplicate form as necessary.)

HENDERSON AND PECK ELEMENTARY SCHOOL RENOVATION.

Describe your experience and past performance on comparable public or private K-12 education projects with construction costs between **\$5,000,000 and \$10,000,000** that involved;

- Logistically challenging, exterior envelope repairs, window replacement, structural upgrades and repairs, overall building MEP upgrades, interior renovation, asbestos abatement, ADA and accessibility upgrades, performed in phases during ongoing school operations with compressed schedules or summer critical construction work.
- Verifiable past experience must demonstrate respondent's ability to manage significant construction manpower (more than 150 construction personnel on site at one time), high velocity of work put in place (in excess of **\$1,500,000 per month** for at least three consecutive months), and multiple shifts of work on a congested site.
- Describe all comparable projects completed in the last five (5) years.
- References for the firm, superintendent and project manager will be required for each project.
- Describe how the projects you listed above are comparable in scope and complexity to the Henderson and Peck Elementary School Renovation projects described in this pre-qualification.

Make copies, complete and submit for each project offered by Respondent to demonstrate experience on comparable projects.

Name / Location of Project: _____
Project Duration (Month/Year): Start: _____ Finish: _____
Total new construction square footage: _____
Describe project work: _____

Total square footage renovation scope of work: _____

Describe Renovation Scope of Work: _____

Describe the schedule requirements for the project. Specifically address project duration, cost, manpower managed and any compressed schedule or summer critical work performed and the time in which it was performed (e.g. construction dollar volume per month; number of personnel on site during compressed or schedule critical activities; second or third shifts, etc.)

Did project receive LEED certification? No Yes Level: _____

Was Project Completed on Schedule? Yes No *If No, explain below or attach explanation.*

Final Dollar Value of General Contract *: \$ _____

Describe Project scope: _____

Was Project Completed on Budget? Yes No *If No, explain below or attach explanation.*

** If Respondent was not the General Contractor, attach description of Respondent's role on the Project and relevance of that experience to this SOQ.*

Owner Reference(s):
Name: _____

Project Role † : _____

† E.g., Owner or Owner's Designer, Engineer, Program Manager, Construction Manager

Phone Numbers / email: _____

Alternate Reference(s): _____

Respondent's Project Manager: _____

Did Respondent Self Perform the Work? Yes No. *If No, identify subcontractor(s):*

Subcontractor(s): _____

Scope(s) and \$ Values of Subcontracted Work: _____

MBE/WBE: Did the Project include goals or Contractor commitments for the participation of minority-owned and/or women-owned businesses? Yes No. *If yes, describe the goals/commitments and explain how and to what extent they were met:* _____

Employment Opportunity: Did the Project include goals for the employment of minorities, women and/or local residents in the construction of the project? Yes No. *If yes, describe the goals/commitments and explain how and to what extent were they met:* _____

Explanations and other relevant features of referenced project

I. TECHNICAL COMPETENCE AND PAST PERFORMANCE

LIST ALL PROJECTS COMPLETED IN THE LAST 5 YEARS COMPARABLE TO DUNBAR CAREER ACADEMY RENOVATION AS DESCRIBED BELOW.

DUNBAR CAREER ACADEMY RENOVATIONS, 3000 South King Drive, Chicago, Illinois 60616

This project is a School Improvement Program for a 328,800 SF Career Academy constructed in 1956. Work includes minor landscape improvements, Masonry and Lintel repairs, Window and Curtain Wall replacement, Exterior Door Replacement, and Interior renovations. Building system upgrades includes: Classroom Ventilator Refurbishment, Rooftop Exhaust Fan Replacement, Steam Trap Replacement, Piping repairs, Auditorium Smoke Evacuation System, Main Electrical Switchgear and sub=panel replacement, Lighting upgrades and Plumbing Piping repairs.

PROJECT NAME(S)	COMPLETION DATE	CONTRACT VALUE
1. _____	_____	\$ _____
2. _____	_____	\$ _____
3. _____	_____	\$ _____
4. _____	_____	\$ _____
5. _____	_____	\$ _____
6. _____	_____	\$ _____

II. **TECHNICAL COMPETENCE AND PAST PERFORMANCE** (Duplicate form as necessary.)

DUNBAR CAREER ACADEMY RENOVATIONS

Describe your experience and past performance on comparable public or private K-12 education projects with construction costs between **\$13,000,000 and \$18,000,000** that involved;

- Logistically challenging, exterior envelope repairs, window replacement and curtain wall replacement, overall building MEP upgrades, interior renovation, asbestos abatement, ADA and accessibility upgrades, performed in phases during ongoing school operations with compressed schedules or summer critical construction work.
- Verifiable past experience must demonstrate respondent's ability to manage significant construction manpower (more than 150 construction personnel on site at one time), high velocity of work put in place (in excess of \$1,500,000 per month for at least three consecutive months), and multiple shifts of work on a congested site.
- Describe all comparable projects completed in the last five (5) years.
- References for the firm, superintendent and project manager will be required for each project.
- Describe how the projects you listed above are comparable in scope and complexity to the Dunbar Career Academy Renovation project described in this pre-qualification.

Make copies, complete and submit for each project offered by Respondent to demonstrate experience on comparable projects.

Name / Location of Project: _____
Project Duration (Month/Year): Start: _____ Finish: _____
Total new construction square footage (if any): _____

Total square footage renovation scope of work: _____

Describe Renovation Scope of Work: _____

Describe the schedule requirements for the project. Specifically address project duration, cost, manpower managed and any compressed schedule or summer critical work performed and the time in which it was performed (e.g. construction dollar volume per month; number of personnel on site during compressed or schedule critical activities; second or third shifts, etc.)

Did project receive LEED certification? No Yes Level: _____

Was Project Completed on Schedule? Yes No *If No, explain below or attach explanation.*

Final Dollar Value of General Contract *: \$ _____

Describe Project scope: _____

Was Project Completed on Budget? Yes No *If No, explain below or attach explanation.*

** If Respondent was not the General Contractor, attach description of Respondent's role on the Project and relevance of that experience to this SOQ.*

Owner Reference(s):

Name: _____

Project Role † : _____

† E.g., Owner or Owner's Designer, Engineer, Program Manager, Construction Manager

Phone Numbers / email: _____

Alternate Reference(s): _____

Respondent's Project Manager: _____

Did Respondent Self Perform the Work? Yes No. *If No, identify subcontractor(s):*

Subcontractor(s): _____

Scope(s) and \$ Values of Subcontracted Work: _____

MBE/WBE: Did the Project include goals or Contractor commitments for the participation of minority-owned and/or women-owned businesses? Yes No. *If yes, describe the goals/commitments and explain how and to what extent they were met:* _____

Employment Opportunity: Did the Project include goals for the employment of minorities, women and/or local residents in the construction of the project? Yes No. *If yes, describe the goals/commitments and explain how and to what extent were they met:* _____

Explanations and other relevant features of referenced project

III. SAFETY

PBC is committed to working with safe general contractors. To that end, the PBC has developed a safety questionnaire to aid in selection of general contractors with good safety records. As a part of the general contractor solicitation process you must answer the questions below.

1. Does your organization have a safety program? Yes No

If yes, provide the following information:

Month and Year first implemented _____

Method of review of program _____

Whether regular work site safety meetings are held and how frequently _____

Copy of table of contents of safety/loss control manual

2. Have any citations been issued to your organization during the period of the last three years for workplace safety law violation. Yes No. If yes, provide detailed information for each occurrence regarding:

The nature of the violation for which your organization was cited. _____

Summary of your position of the matter. _____

Official resolution of violation _____

3. Provide your organization's OSHA reportable incident rate: _____. If this is greater than 3.0, please attach your OSHA Form 300A Summaries for the last three years and a written explanation to the qualification questionnaire (attach as necessary).

Provide a copy of your organization's NCCI current experience modification (EMR) rating worksheet. If the rating is greater than 1.0 please attach the NCCI rating information for the last 3 years and a written explanation to the qualification questionnaire. As a follow up, you may be asked to provide your written safety plan.

END OF SECTION B.

SECTION C: LEGAL ACTIONS

I. Legal Actions

Provide the information below. If the answer to any of the questions is "Yes", provide a brief description or explanation on a separate sheet.

Question	Yes	No
1. Has the firm or venture been issued a notice of default on any contract awarded in the last three years?		
2. Does the firm or venture have any judgments, claims (liquidated damages, or other), arbitration proceedings or suits pending or outstanding against the firm or venture or its officers? If yes, include the dollar amount of claims or judgments and the contract value of the contract on which the claim was filed. Attach explanation.		
3. Within the past three years, has the firm or venture been a party to any lawsuits or arbitration proceedings with regard to any contracts?		
4. Within the last three years, has any officer or principal of the firm or venture ever been an officer or principal of another organization that failed to complete any contract as a result of termination, litigation, arbitration or similar matter?		
5. Has any key person with the firm or venture or its predecessor ever been convicted of or charged with any state or federal crime (excluding traffic violations), including but not limited to, embezzlement, theft, forgery, bribery, falsification or destruction of records, receipt of stolen property, criminal anti-trust violations, bid rigging or bid-rotating?		
6. Has the firm or venture ever been temporarily or permanently debarred from a contract awarded by any federal, state, or local agency?		
7. Within the last three years, has the firm or venture been assessed penalties for any statutory or administrative violations, including MBE, WBE and EEO?		
8. Has the firm or venture ever failed to complete any work awarded to it?		

SECTION D: FINANCIAL CAPACITY

I. Banking. Provide the following information about the firm's or ventures primary commercial bank.

Name of Bank: _____

Address: _____ Phone No.: _____

City, State, ZIP _____ Fax No.: _____

Contact Officer: _____ Phone No.: _____

In what year was the banking relationship established? _____

Does your firm or venture have access to a line of credit? Yes No

If yes, indicate upper limit. \$ _____ How much is currently available? \$ _____

Other sources of capital: _____

II. Gross Revenues. Indicate below the amount of revenues earned by the firm or venture from construction-related activities for the last three (3) years.

Year	Amount
_____	\$ _____
_____	\$ _____
_____	\$ _____

III. Financial Statement. ***Attach*** Respondent's audited financial statement with notes for the last fiscal year. If a joint venture, submit financial statements for the joint venture name and each joint venture partner. Independent accountant may provide a copy of a complete financial statement. All notes and schedules must be provided.

Independent Accountant Information (Contact Name): _____

Telephone (____) _____

Year ending financial statement date: ____/____/____ [

SELECT ONE: Audit Review Compile

Consolidated financial statement: Yes No

SECTION E: DISCLOSURE AFFIDAVIT

Part A. History and Ownership of Respondent Firm

Name of Firm: _____ Phone No. (____) _____

Address: _____ Fax No. (____) _____

City, State, ZIP: _____

Contact Person: _____ E-mail: _____

How many years has the firm or venture been in business under its present name? _____

Under what other names, if any, has the firm or venture operated? _____

Firm Name: _____

Address: _____

DISCLOSURE OF OWNERSHIP INTERESTS

Pursuant to Resolution No. 5371 of the Board of Commissioners of the Public Building Commission of Chicago, Respondent shall provide the following information. If the question is not applicable, answer "NA". If the answer is none, please answer "none".

Respondent is a: Corporation LLC Joint Venture Not-for-Profit Corporation
 Partnership LLP Sole Proprietorship Other

1. FOR PROFIT CORPORATIONS

State of Incorporation _____

Authorized to do business in the State of Illinois: Yes No

Names of all officers of corporation

(Or attach list):

Name (Print or Type) Title (Print or Type)

Names of all directors of corporation

(Or attach list):

Name (Print or Type) Title (Print or Type)

Is the corporation owned partially or completely by one or more other corporations? Yes No

If "yes" provide the above information, as applicable, for each such corporation.

Indicate here or attach a list names and addresses of all shareholders owning shares equal to or in excess of seven and one-half percent (7.5%) of the proportionate ownership of the corporation and indicate the percentage interest of each.

Name of Shareholders (Print or Type)	Percentage Interest
	%
	%

2. PARTNERSHIPS and JOINT VENTURES

If Respondent is a partnership or joint venture, indicate the name of each partner and the percentage of interest of each therein. Also indicate, if applicable, whether general partner (GP) or limited partner (LP).

Name of Partners

Percentage Interest

_____ %

_____ %

3. SOLE PROPRIETORSHIPS

Is Respondent a sole proprietorship: Yes / No If Yes and Respondent is acting in a representative capacity on behalf of any beneficiary complete items a. and b. below.

- a. If the sole proprietorship is held by an agent(s) or a nominee(s), name the principal(s) for whom the agent or nominee holds such interest.
- b. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, provide the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised.
- c.
- d. If the interest of a spouse or any other party is constructively controlled by another person or legal entity, state the name and address of such person or entity possessing such control and the relationship under which such control is being or may exercised.

Name(s)

Address(es)

Part B. LAND TRUSTS, BUSINESS TRUSTS, ESTATES & OTHER ENTITIES

If the bidder/proposer or Contractor is a land trust, business trust, estate or other similar commercial or legal entity, identify any representative, person or entity holding legal title as well as each beneficiary in whose behalf title is held including the name, address and percentage of interest of each beneficiary.

Name(s)

Address(es)

SECTION 5. NOT-FOR-PROFIT CORPORATIONS

a. State of incorporation _____

Name of all officers and directors of corporation (or attach list):

Name (Print or Type)	Title (Print or Type)	Name (Print or Type)	Title (Print or Type)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

NOTE: The Public Building Commission of Chicago may require additional information from any entity or individual to achieve full disclosure relevant to the transaction. Further, any material change in the information required above must be provided by supplementing this statement at any time up to the time the Public Building Commission of Chicago takes action on the contract or other action requested of the Public Building Commission.

II. CONTRACTOR CERTIFICATION

A. CONTRACTOR

The Contractor, or any affiliated entities of the Contractor, or any responsible official thereof, or any other official, agent or employee of the Contractor, any such affiliated entity, acting pursuant to the direction or authorization of a responsible official thereof has not, during a period of three years prior to the date of execution of this certification:

Bribed or attempted to bribe, or been convicted of bribery or attempting to bribe a public officer or employee of the City of Chicago, the State of Illinois, any agency of the federal government or any state or local government in the United States (if an officer or employee, in that officer's or employee's official capacity); or

Agreed or colluded, or been convicted of agreement or collusion among bidders or prospective bidders in restraint of freedom of competition by agreement to bid a fixed price or otherwise; or

Made an admission of such conduct described in 1(a) or (b) above which is a matter of record but has not been prosecuted for such conduct.

The Contractor or agent, partner, employee or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rigging² in violation of Section 3 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-3), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rigging during a period of five years prior to the date of submittal of this bid, proposal or response.³

The Contractor or any agent, partner, employee, or officer of the Contractor is not barred from contracting with any unit of state or local government as a result of engaging in or being convicted of bid-rotating⁴ in violation of Section 4 of Article 33E of the Illinois Criminal Code of 1961, as amended (720 ILCS 5/33E-4), or any similar offense of any state or the United States which contains the same elements as the offense of bid-rotating.

The Contractor understands and will abide by all provisions of Chapter 2-56 of the Municipal Code entitled "Office of the Inspector General" and all provisions of the Public Building Commission Code of Ethics Resolution No.5339, as amended by Resolution No. 5371.

The Contractor certifies to the best of its knowledge and belief, that it and its principals:

Are not presently debarred, suspended, proposed for debarment, and declared ineligible or voluntarily excluded from covered transactions by any federal, state or local department or agency.

b. Have not within a three-year period preceding this bid or proposal been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes; commission of embezzlement, theft, forgery, bribery, falsification or destruction of records; making false statements; or receiving stolen property;

c. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the offenses enumerated in paragraph (5)(b) above; and

Have not within a three-year period preceding this bid or proposal had one or more public transactions (federal, state or local) terminated for cause or default.

B. SUBCONTRACTORS

The Contractor has obtained from all subcontractors being used in the performance of this contract or agreement, known by the Contractor at this time, disclosures substantially in the form of Section 1, and certifications substantially in the form of Section 2, of this Disclosure Affidavit. Based on such disclosures and certification(s), and any other information known or obtained by the Contractor, is not aware of any such subcontractor or subcontractor's affiliated entity or any agent, partner, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A) (1)(a) or (b) of this certification; (b) bid-rigging, bid-rotating, or any similar offense of any state or the United States which contains the same elements as bid-rigging or bid-rotating, or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is matter of record but has/have not been prosecuted for such conduct.

The Contractor will, prior to using them as subcontractors, obtain from all subcontractors to be used in the performance of this contract or agreement, but not yet known by the Contractor at this time, certifications substantially in the form of this certification. The Contractor shall not, without the prior written permission of the Commission, use any of such subcontractors in the performance of this contract if the Contractor, based on such certifications or any other information known or obtained by Contractor, became aware of such subcontractor, subcontractor's affiliated entity or any agent, employee or officer of such subcontractor or subcontractor's affiliated entity having engaged in or been convicted of (a) any of the conduct describe in Section II(A)(1)(a) or (b) of this certification or (b) bid-rigging, bid-rotating or any similar offenses of any state or the United States which contains the same elements as bid-rigging or bid-rotating or having made an admission of guilt of the conduct described in Section II(A)(1)(a) or (b) which is a matter of record but has/have not been prosecuted for such conduct. The Contractor shall cause such subcontractors to certify as to Section II(A)(5). In the event any subcontractor is unable to certify to Section II(A)(5), such subcontractor shall attach an explanation to the certification.

For all subcontractors to be used in the performance of this contract or agreement, the Contractor shall maintain for the duration of the contract all subcontractors' certifications required by Section II(B)(1) and (2) above, and Contractor shall make such certifications promptly available to the Public Building Commission of Chicago upon request.

The Contractor will not, without the prior written consent of the Public Building Commission of Chicago, use as subcontractors any individual, firm, partnership, corporation, joint venture or other entity from whom the Contractor is unable to obtain a certification substantially in the form of this certification.

The Contractor hereby agrees, if the Public Building Commission of Chicago so demands, to terminate its subcontractor with any subcontract if such subcontractor was ineligible at the time that the subcontract was entered into for award of such subcontract. The Contractor shall insert adequate provisions in all subcontracts to allow it to terminate such subcontract as required by this certification.

C. STATE TAX DELINQUENCIES

The Contractor is not delinquent in the payment of any tax administered by the Illinois Department of Revenue or, if delinquent, the Contractor is contesting, in accordance with the procedures established by the appropriate Revenue Act, its liability for the tax or amount of the tax.

Alternatively, the Contractor has entered into an agreement with the Illinois Department of Revenue for the payment of all such taxes that are due and is in compliance with such agreement.

If the Contractor is unable to certify to any of the above statements [(Section II (C))], the Contractor shall explain below. Attach additional pages if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

If any subcontractors are to be used in the performance of this contract or agreement, the Contractor shall cause such subcontractors to certify as to paragraph (C)(1) or (C)(2) of this certification. In the event that any subcontractor is unable to certify to any of the statements in this certification, such subcontractor shall attach an explanation to this certification.

D. OTHER TAXES/FEES

The Contractor is not delinquent in paying any fine, fee, tax or other charge owed to the City of Chicago.

If Contractor is unable to certify to the above statement, Contractor shall explain below and attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

E. PUNISHMENT

A Contractor who makes a false statement material to Section II(A)(2) of this certification commits a Class 3 felony. 720 ILCS 5/33E-11(b).

F. JUDICIAL OR ADMINISTRATIVE PROCEEDINGS

The Contractor is not a party to any pending lawsuits against the City of Chicago or the Public Building Commission of Chicago nor has Contractor been sued by the City of Chicago or the Public Building Commission of Chicago in any judicial or administrative proceeding.

If the Contractor cannot certify to the above, provide the (1) case name; (2) docket number; (3) court in which the action is or was pending; and (4) a brief description of each such judicial or administrative proceeding. Attach additional sheets if necessary.

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

CERTIFICATION OF ENVIRONMENTAL COMPLIANCE

Neither the Contractor nor any affiliated entity of the Contractor has, during a period of five years prior to the date of execution of this Affidavit: (1) violated or engaged in any conduct which violated federal, state or local Environmental Restriction⁵; (2) received notice of any claim, demand or action, including but not limited to citations and warrants, from any federal, state or local agency exercising executive, legislative, judicial, regulatory or administrative functions relating to a violation or alleged violation of any federal, state or local statute, regulation or other Environmental Restriction; or (3) been subject to any fine or penalty of any nature for failure to comply with any federal, state or local statute, regulation or other Environmental Restriction.

If the Contractor cannot make the certification contained in Paragraph A of Section III, identify any exceptions:

(Attach additional pages of explanation to this Disclosure Affidavit, if necessary.)

If the letters "NA", the word "None" or no response appears on the lines above, it will be conclusively presumed that the Undersigned certified to the above statements.

Without the prior written consent of the Public Building Commission of Chicago, Contractor will not employ any subcontractor in connection with the contract or proposal to which this Affidavit pertains without obtaining from such subcontractor a certification similar in form and substance to the certification contained in Paragraph A of this Section III prior to such subcontractor's performance of any work or services or furnishing any goods, supplies or materials of any kind under the proposal or the contract to which this Affidavit pertains.

Until completion of the Contract's performance under the proposal or contract to which this Affidavit pertains, the Contractor will not violate any federal, state or local statute, regulation or other Environmental Restriction, whether in the performance of such contract or otherwise.

INCORPORATION INTO CONTRACT AND COMPLIANCE

The above certification shall become part of any contract awarded to the Contractor set forth on page 1 of this Disclosure Affidavit and are a material inducement to the Public Building Commission of Chicago's execution of the contract, contract modification or contract amendment with respect to which this Disclosure Affidavit is being executed and delivered on behalf of the Contractor. Furthermore, Contractor shall comply with these certifications during the term and/or performance of the contract.

(Signature page follows)

VERIFICATION

Under penalty or perjury, I certify that I am authorized to execute this Disclosure Affidavit on behalf of the Contractor set forth on page 1, that I have personal knowledge of all the certifications made herein and that the same are true.

The Contractor must report any change in any of the facts stated in this Affidavit to the Public Building Commission of Chicago within 14 days of the effective date of such change by completing and submitting a new Disclosure Affidavit. Failure to comply with this requirement is grounds for your firm to be deemed non-qualified to do business with the PBCC. Deliver any such new Disclosure Affidavit to: Public Building Commission of Chicago, Director of Procurement, 50 W. Washington, Room 200, Chicago, IL 60602.

Signature of Authorized Officer

Name of Authorized Officer (Print or Type)

Title

Telephone Number

State of _____

County of _____

Signed and sworn to before me on this _____ day of _____, 20____ by

_____ (Name) as _____ (Title) of

_____ (Bidder/Proposer or Contractor)

Notary Public Signature and Seal

SUBMITTAL REQUIREMENTS CHECKLIST

FORMAT: NUMBER OF COPIES

- 1 signed original copy
- 4 copies
- 1 additional copy unbound
- 1 Compact Disk (CD) of the electronic (pdf) copy

- Letter of Commitment
- Technical Competence (Experience and Qualifications)

SAFETY: a copy of;

- Table of Contents of safety/loss control manual
- OSHA Incident Rating
- EMR Sheets
- No Change Affidavit

FINANCIALS, DISCLOSURE, RESUMES & LEGAL ACTIONS

- Financial Statement or No Change Affidavit

- Disclosure Affidavit or No Change Affidavit

- Resumes
- Legal Actions (if applicable) or No Change Affidavit

LICENSE, BONDING & CERTIFICATION(s)

- Business License or No Change Affidavit

- General Contractor's License or No Change Affidavit
- No Change Affidavit

- Bonding Letter from surety or No Change Affidavit
(if applicable) Statement listing explanation of any demands been placed on a bid bond, payment bond,
or performance bond

- MBE / WBE current certification letter or No Change Affidavit

- Narrative and or Continuation Sheet(s)